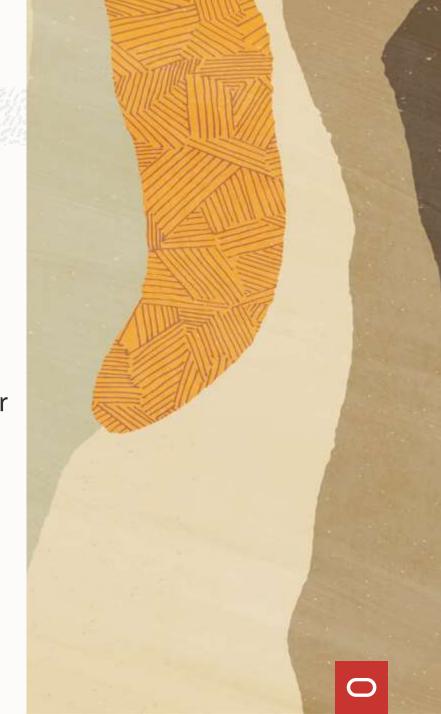




## **Mike Dietrich**

Distinguished Product Manager Database Upgrade and Migrations

- https://MikeDietrichDE.com
- @MikeDietrichDE
- in mikedietrich





# **Daniel Overby Hansen**

Senior Principal Product Manager Cloud Migration

- https://dohdatabase.com
- @dohdatabase
- in dohdatabase





#### **Download**

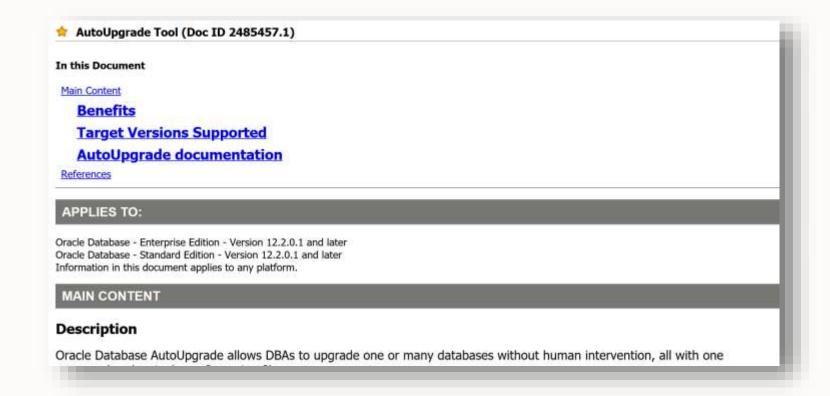
Configure

Analyze

Check

Upgrade

## Always download <u>latest version</u> from MOS





#### **Download**

Configure

Analyze

Check

Upgrade

#### Check your version

```
$ java -jar autoupgrade.jar -version
build.hash 04dd9f2
build.version 19.7.5
build.date 2020/02/11 15:28:49
build.max target version 19
build.type production
```

## Compare to latest version on MOS

#### Download

The most recent version of AutoUpgrade can be downloaded via this link: versior 20201023.



#### **Download**

Configure

Analyze

Check

Upgrade

#### AutoUpgrade handles older releases as well

```
$ java -jar autoupgrade.jar -version
build.hash 8ee6880
build.version 21.1.1
build.date 2020/12/14 14:41:34
build.max_target_version 21
build.supported_target_versions 12.2,18,19,21
```

#### Download

### Configure

Analyze

Check

Upgrade

#### Shortest possible config file version

```
upg1.source_home=/u01/app/oracle/product/12.2.0.1
upg1.target_home=/u01/app/oracle/product/19
upg1.sid=CDB1
```

## Or, generate a sample config file

```
$ java -jar autoupgrade.jar -create_sample_file config
Created sample configuration file /home/oracle/sample_config.cfg
```

Pro tip: upg1 is a prefix that you decide. Use it to define multiple databases



Download

Configure

#### **Analyze**

Check

Upgrade

#### Analyze your database

```
$ java -jar autoupgrade.jar -config CDB1.cfg -mode analyze
...
upg> Job 100 completed

Please check the summary report at:
/u01/app/oracle/cfgtoollogs/autoupgrade/cfgtoollogs/upgrade/auto/status/status.html
/u01/app/oracle/cfgtoollogs/autoupgrade/cfgtoollogs/upgrade/auto/status/status.log
```

Pro tip: Analyze is similar to running preupgrade.jar



Download

Configure

Analyze

#### Check

Upgrade

#### Summary report - text

```
Autoupgrade Summary Report
                Tue Jan 12 10:26:19 CET 2021
[Date]
[Number of Jobs] 1
[Job ID] 100
[DB Name]
                        CDB1
[Version Before Upgrade] 12.2.0.1.0
[Version After Upgrade] 19.9.0.0.0
[Stage Name]
               PRECHECKS
          SUCCESS
[Status]
[Start Time] 2021-01-12 10:25:58
[Duration]
          0:00:20
[Log Directory] /u01/app/oracle/upg/CDB1/100/prechecks
               /u01/app/oracle/upg/CDB1/100/prechecks/cdb1 preupgrade.log
[Detail]
               Precheck passed and no manual intervention needed
```

Download

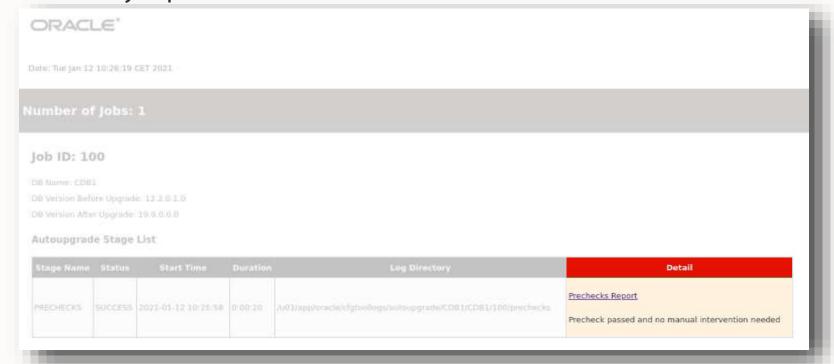
Configure

Analyze

#### Check

Upgrade

Summary report - HTML

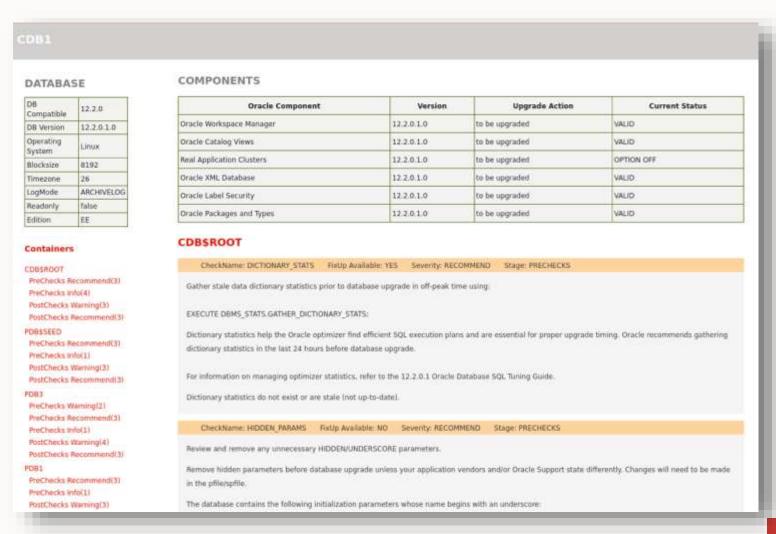




Download Configure Analyze

#### Check

Upgrade



Download

Configure

Analyze

#### Check

Upgrade

Preupgrade report comes in:

- HTML
- Text
- JSON



Download

Configure

Analyze

Check

Upgrade

## Upgrade

\$ java -jar autoupgrade.jar -config CDB1.cfg -mode deploy

Have a cup of coffee and wait, or ...



Download

Configure

Analyze

Check

Upgrade

#### Monitor



Download

Configure

Analyze

Check

## Upgrade

#### All the details

```
upg> status -job 101
Progress
Start time: 20/11/24 13:38
Elapsed (min): 13
Last update:
                2020-11-24T13:48:52.139
Stage:
               DBUPGRADE
Operation: EXECUTING
Status:
                RUNNING
Stage summary:
                     <1 min
   SETUP
                    <1 min
   GRP
   PREUPGRADE
                    <1 min
   PRECHECKS
                    <1 min
                    8 min
   PREFIXUPS
                    <1 min
   DRAIN
                    3 min (IN PROGRESS)
   DBUPGRADE
Job Logs Locations
            /home/oracle/autoupg default/CDB1/CDB1
Logs Base:
Job logs:
             /home/oracle/autoupg default/CDB1/CDB1/101
             /home/oracle/autoupg default/CDB1/CDB1/101/dbupgrade
Stage logs:
             /home/oracle/autoupg default/CDB1/CDB1/temp
TimeZone:
```

Download

Configure

Analyze

Check

Upgrade

#### All the details - continued

```
Additional information

Details:
[Upgrading] is [0%] completed for [cdb1-cdb$root]

+-----+
| CONTAINER| PERCENTAGE|
+-----+
| CDB$ROOT| UPGRADE [12%]|
| PDB$SEED|UPGRADE PENDING|
| PDB3|UPGRADE PENDING|
+-----+

Error Details:
None
```

Download

Configure

Analyze

Check

### **Upgrade**

#### Success

#### And it includes:

- Recompilation (utlrp.sql)
- Time zone file upgrade
- Postupgrade fixups
- ... and so much more



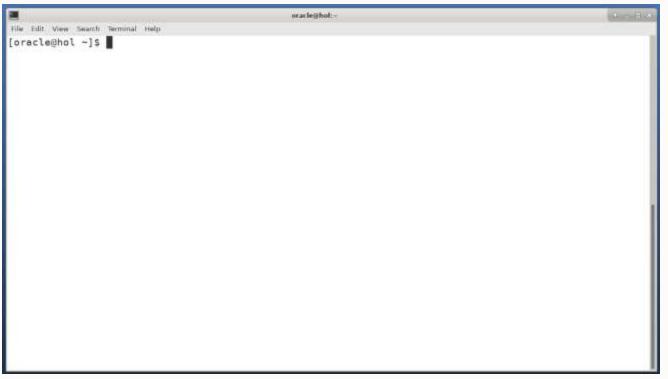
Download

Configure

Analyze

Check

Upgrade



Watch on YouTube



#### One-liner using environment variables

```
export ORACLE_SID=CDB1
export ORACLE_HOME=/u01/app/oracle/product/12.2.0.1
export ORACLE_TARGET_HOME=/u01/app/oracle/product/19

java -jar autoupgrade.jar -config_values -mode analyze
```

## One-liner using config\_values

```
java -jar autoupgrade.jar \
  -config_values "sid=CDB1,source_home=/u01/app/oracle/product/12.2.0.1,target_home=/u01/app/oracle/product/19" \
  -mode analyze
```



# AutoUpgrade Advanced Options



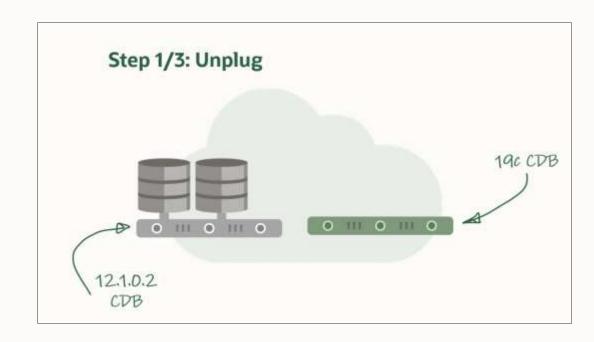


**Unplug-Plug Upgrade** 

## Unplug-plug | Overview

## Upgrade a single PDB

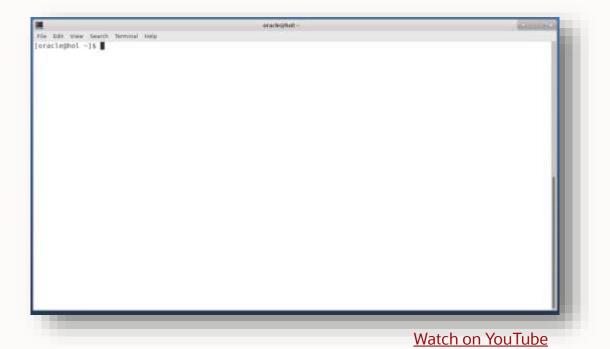
- Faster
- More flexible
- Requires <u>compatible target CDB</u>
- Not compatible with Flashback Database
  - Consider using <u>Refreshable PDBs</u>
  - Copy data files (target pdb copy option)





# Unplug-plug | Demo

```
upg1.sid=CDB12102
upg1.target_cdb=CDB19
upg1.pdbs=pdb1
upg1.source_home=/u01/app/oracle/product/12102
upg1.target_home=/u01/app/oracle/product/19
```





## **Unplug-plug | Options**

#### Upgrade several PDBs

```
upg1.pdbs=pdb1,pdb2,pdb3
```

#### Rename a PDB

```
upg1.pdbs=pdb1
upg1.target_pdb_name.pdb1=sales
```

#### Copy data files on plug-in

```
upg1.pdbs=pdb1
upg1.target_pdb_copy_option.pdb1=file_name_convert=('pdb1','sales')
```



# **Unplug-plug | Good To Know**

#### **Current limitations:**

- Does not support Data Guard
- Does not support TDE Tablespace Encryption

# **Unplug-plug | Further Reading**



https://dohdatabase.com/how-to-upgrade-a-single-pdb





# **PDB Conversion**

## PDB Convert | Re-use data files

## Fully automated plug-in, re-use data files

```
upg1.source_home=/u01/app/oracle/product/19
upg1.target_home=/u01/app/oracle/product/19
upg1.sid=DB19
upg1.target_cdb=CDB2
```

#### Command

```
java -jar autoupgrade.jar -config DB19.cfg -mode deploy
```

## PDB Convert | Copy data files

#### Fully automated plug-in, copy data files

```
upg1.source_home=/u01/app/oracle/product/19
upg1.target_home=/u01/app/oracle/product/19
upg1.sid=DB19
upg1.target_cdb=CDB2
upg1.target_pdb_name=SALES
#Copy files and perform search/replace on file names
upg1.target_pdb_copy_option=file_name_convert=('DB19','SALES')
#Copy files and generate new OMF file names
upg1.target_pdb_copy_option=file_name_convert=none
```

#### Command

```
Java -jar autoupgrade.jar -config DB19.cfg -mode deploy
```



## PDB Convert | Plug in and upgrade

#### Upgrade - and plug in

```
upg1.source_home=/u01/app/oracle/product/12.2.0.1
upg1.target_home=/u01/app/oracle/product/19
upg1.sid=DB12
upg1.target_cdb=CDB2
#Optionally, rename PDB
#upg1.target_pdb_name=SALES
```

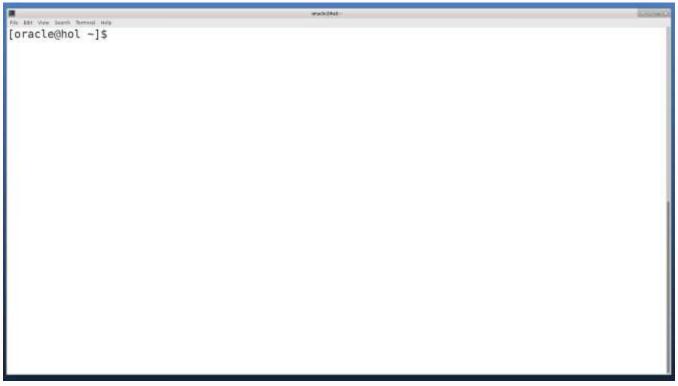
#### Command

```
java -jar autoupgrade.jar -config DB19.cfg -mode deploy
```

Pro tip: You can find more details in <u>Oracle</u> <u>AutoUpgrade between two servers – and Plugin?</u>



# PDB Convert | Demo



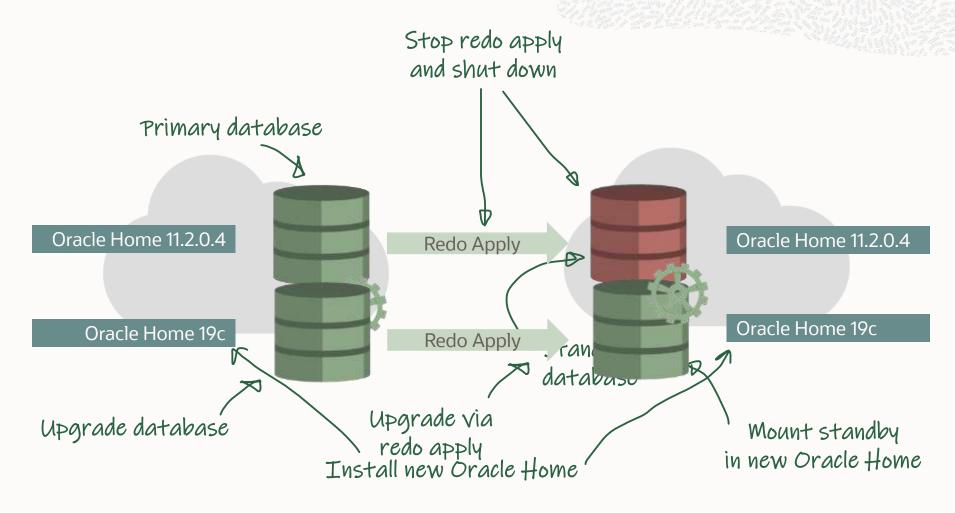
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**AutoUpgrade with Data Guard** 

## **Data Guard | Concept**

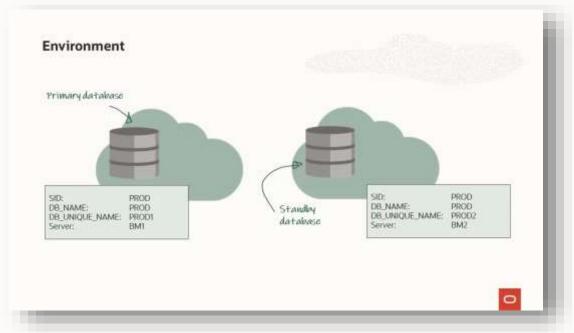


Remember use latest Release Update



## **Data Guard | Overview**

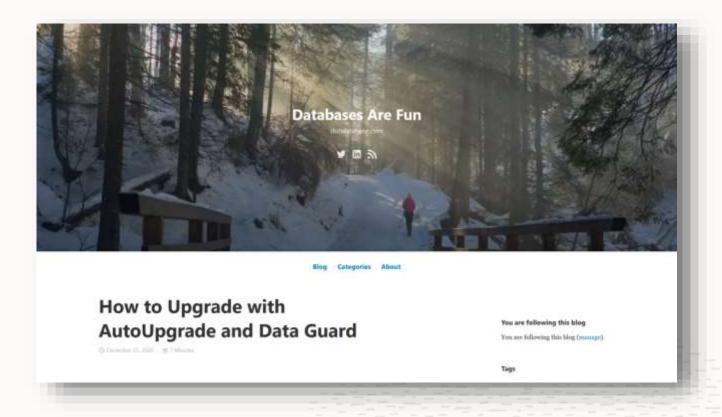
- Detected by AutoUpgrade automatically
- Works for broker-managed and manual Data Guard environments
- Primary database handled by AutoUpgrade Standby database handled manually



Watch on YouTube



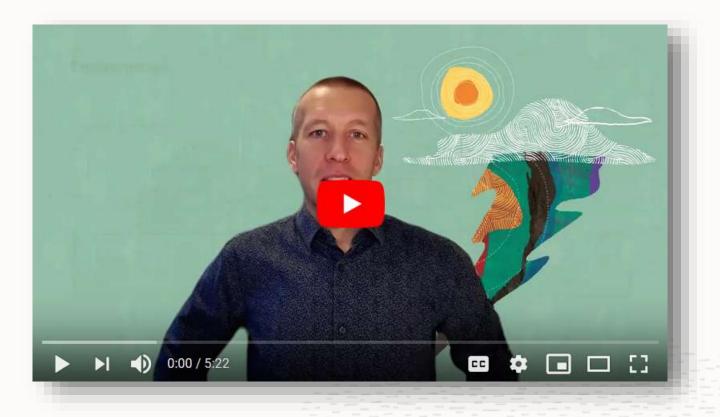
## **Data Guard | Further Reading**



https://dohdatabase.com/how-to-upgrade-with-autoupgrade-and-data-guard



## **Data Guard | YouTube Video**



https://www.youtube.com/watch?v=JcIMIEQLWd0



## **Data Guard | MAA Approach**

- Keep standby online during upgrade
- Allows for faster go-live after upgrade
- Move standby database to new Oracle Home before upgrade
- Keep redo transport and redo apply on during upgrade





# **AutoUpgrade to a New Server**

## **Upgrade and Move to New Server | Overview**

# **Source System**

autoupgrade.jar

-analyze

-fixups

\$ java -jar autoupgrade.jar -mode analyze ...

# **Target System**

autoupgrade.jar

-upgrade

```
$ java -jar autoupgrade.jar -mode fixups ...
$ java -jar autoupgrade.jar -mode upgrade ...
```



## **Upgrade and Move to New Server | Details**

#### **Source** Server

upg1.source\_home=/u01/app/oracle/product/12
upg1.target\_home=/u01/app/oracle/product/19
upg1.sid=DB12

- -mode analyze
- -mode fixups
- shutdown immediate

#### **Target Server**

- Update /etc/oratab
- Prepare ?/network/admin files

Copy database including redo logs, control files, SPFILE, password file

STARTUP UPGRADE

```
upg1.source_home=/tmp
upg1.target_home=/u01/app/oracle/product/19
upg1.sid=DB12
```

-mode upgrade

Pro tip: Find more details in blog post Oracle AutoUpgrade between two servers



## **Upgrade and Move to New Server | Demo**



Watch on YouTube





# Compatible

## **Compatible | Recommendation**

When should you change COMPATIBLE?

A week or two after the upgrade - requires a database restart

Caution: When you change COMPATIBLE you can't:

- Flashback to restore point
- Downgrade



## **Compatible | Recommendation**

#### Which value should you use for COMPATIBLE?

- The default of the database release
- 11.2.0
- 12.1.0
- 12.2.0
- 18.0.0
- 19.0.0

### Should you change COMPATIBLE when patching?

- NEVER!
  - Except for ...



## **Compatible | AutoUpgrade**

AutoUpgrade does not change COMPATIBLE

### **BREAKING NEWS**

Next version of AutoUpgrade (21.1.3) supports changing compatible

```
upg1.drop_grp_after_upgrade=yes
upg1.raise_compatible=yes
```



# **Compatible | Demo**





Watch on YouTube





## Restore

## **Restore | Overview**

#### Use AutoUpgrade to:

- Flashback the database
- Revert a plug-in operation (only when data files are copied)
- Revert a non-CDB to PDB conversion (only when data files are copied)

```
java -jar autoupgrade.jar -restore -jobs n
```

If you revert or restore in any other way, you must tell AutoUpgrade

## **Restore | Flashback**

### Standard technique in AutoUpgrade

• COMPATIBLE must not be changed

Pre Upgrade Environment	Post Upgrade Environment
CREATE RESTORE POINT grpt GUARANTEE FLASHBACK DATABASE;	
	UPGRADE
	SHUTDOWN IMMEDIATE
	STARTUP MOUNT;
	FLASHBACK DATABASE TO RESTORE POINT grpt;
	SHUTDOWN IMMEDIATE
STARTUP MOUNT;	
ALTER DATABASE OPEN RESETLOGS;	
DROP RESTORE POINT grpt;	

## **Restore | Overview**

#### **Guaranteed Restore Points**

```
upg1.source_home=/u01/app/oracle/product/12.2.0.1
upg1.target_home=/u01/app/oracle/product/19
upg1.sid=CDB1
upg1.restoration=yes
upg1.drop_grp_after_upgrade=no
```

- Default behavior:
  - AutoUpgrade creates GRP except for
    - Standard Edition 2
    - restoration=no
  - GRP will be kept
  - GRP needs to be removed manually except for
    - drop\_grp\_after\_upgrade=yes will only remove it when upgrade completed successfully



## **Restore | Demo**



Watch on YouTube



## **Restore | What if ... you need to restart?**

If you revert or restore in any other way, you must tell AutoUpgrade

1. Clear recovery data for a specific job

```
java -jar autoupgrade.jar -config DB.cfg -clear_recovery_data -job n
```

#### 2. Clear all recovery data

```
java -jar autoupgrade.jar -config DB.cfg -clear_recovery_data
```

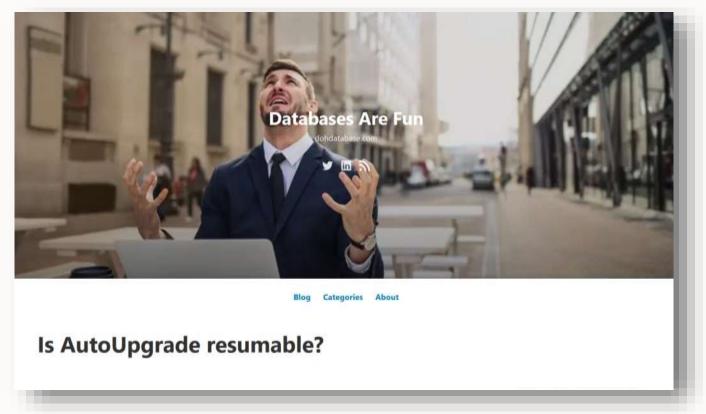
3. Remove AutoUpgrade log directories - use with caution

```
global.autoupg_log_dir=/u01/app/oracle/cfgtoollogs/autoupgrade
upg1.log_dir=/u01/app/oracle/admin/DB1/upglogs

rm -rf /u01/app/oracle/cfgtoollogs/autoupgrade
rm -rf /u01/app/oracle/admin/DB1/upglogs
```



## **Restore** | What if ... you need to restart?



https://dohdatabase.com/is-autoupgrade-resumable/



# **Change Parameters**

## **Change Parameters | Overview**

Update initialization parameters as part of the upgrade

#### You can:

- Add or remove parameters
- Before, during or after upgrade
- For a single or every database



## **Change Parameters | Examples**

#### Remove a parameter during a specific upgrade

```
upg1.del_during_upgrade_pfile=/home/oracle/global_del_during.ora

# Example: global_del_during.ora

optimizer_features_enable
```

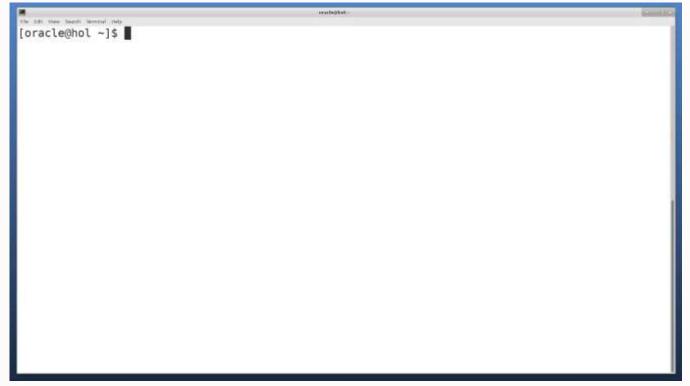
#### Add parameters to all databases after upgrade

```
# Example: global_add_after.ora

deferred_segment_creation=false
_cursor_obsolete_threshold=1024
_sql_plan_directive_mgmt_control=0
_use_single_log_writer=true
```



## **Change Parameters | Demo**



Watch on YouTube





**Execute Scripts** 

## **Execute Scripts | Overview**

Execute your own scripts as part of the upgrade

#### You can:

- Before and after upgrade
- Halt or continue on error
- For a single or every database

#### Ideas:

- **Enterprise Manager configuration**
- Backup configuration
- Interact with apps using the database



## **Execute Scripts | Example**

```
global.before_action=/database/scripts/set_blackout.sh

upg1.source_home=/u01/app/oracle/product/12.2.0.1
upg1.target_home=/u01/app/oracle/product/19
upg1.sid=CDB1
upg1.after_action=/database/scripts/start_level0.sh
```

#### Permitted extension options:

- Unix shell (.sh)
- Microsoft Windows batch (.bat, .cmd)
- Microsoft Windows PowerShell (.ps1)

Pro tip: before\_action and after\_action works on all upgrades or a specific upgrade



## **Execute Scripts | Return Code**

#### Default - AutoUpgrade does not react on return code

upg1.before\_action=/database/scripts/run\_this\_on\_UPG1\_before.sh

#### Optionally - AutoUpgrade halts on non-zero return code

upg1.before\_action=/database/scripts/run\_this\_on\_UPG1\_before.sh Y





After an upgrade, or after other database configuration changes, Oracle strongly recommends that you regather fixed object statistics after you have run representative workloads on Oracle Database.

Database 19c Upgrade Guide, chapter 7

# Never run it right after upgrade



Ask yourself: Do you remember this?

If not, DBMS\_SCHEDULER to the rescue



#### 1. Create a .sql script

```
BEGIN

DBMS_SCHEDULER.CREATE_JOB (
    job_name => '"SYS"."GATHER_FIXED_OBJECTS_STATS_ONE_TIME"',
        job_type => 'PLSQL_BLOCK',
        job_action => 'BEGIN DBMS_STATS.GATHER_FIXED_OBJECTS_STATS; END;',
        start_date => SYSDATE+7,
        auto_drop => TRUE,
        comments => 'Gather fixed objects stats after upgrade - one time'
);
DBMS_SCHEDULER.ENABLE (
        name => '"SYS"."GATHER_FIXED_OBJECTS_STATS_ONE_TIME"'
);
END;
//
```

#### 2. Create a .sh script

```
$ORACLE_HOME/perl/bin/perl $ORACLE_HOME/rdbms/admin/catcon.pl \
   -n 4 -e \
   -C 'PDB$SEED' \
   -b sched_gfos -d /home/oracle/sched_gfos/ sched_gfos.sql
```

#### 3. Execute .sh script after upgrade

```
upg1.after_action=/home/oracle/sched_gfos/sched_gfos.sh
```

Further information and non-CDB example in **blog post** 





# Monitoring

## **Monitoring | Status page**

#### Use Python SimpleHTTPServer

```
$ cd <au_global_log_dir>/cfgtoollogs/upgrade/auto
$ python -m SimpleHTTPServer 8888
```

#### Then open your browser (http://127.0.0.1:8000/state.html)



Pro tip: More details in blog post <u>AutoUpgrade</u>: <u>Refresh Status Information Automatically</u>



## **Monitoring | Demo**



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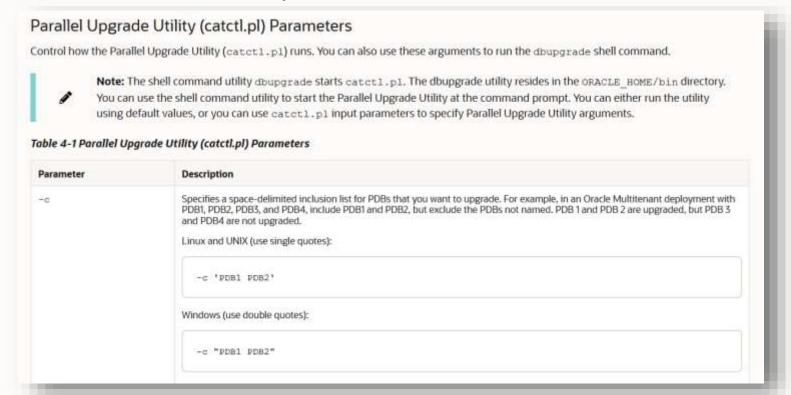


# **Catctl Options**

## **Catctl Options | Overview**

### AutoUpgrades uses <a href="Parallel Upgrade Utility">Parallel Upgrade Utility</a> (catctl)

#### Catctl has <u>advanced options</u>





## **Catctl Options | AutoUpgrade**

### Some are available in **AutoUpgrade**

```
upg1.catctl_options=-n 64 -N 8
```

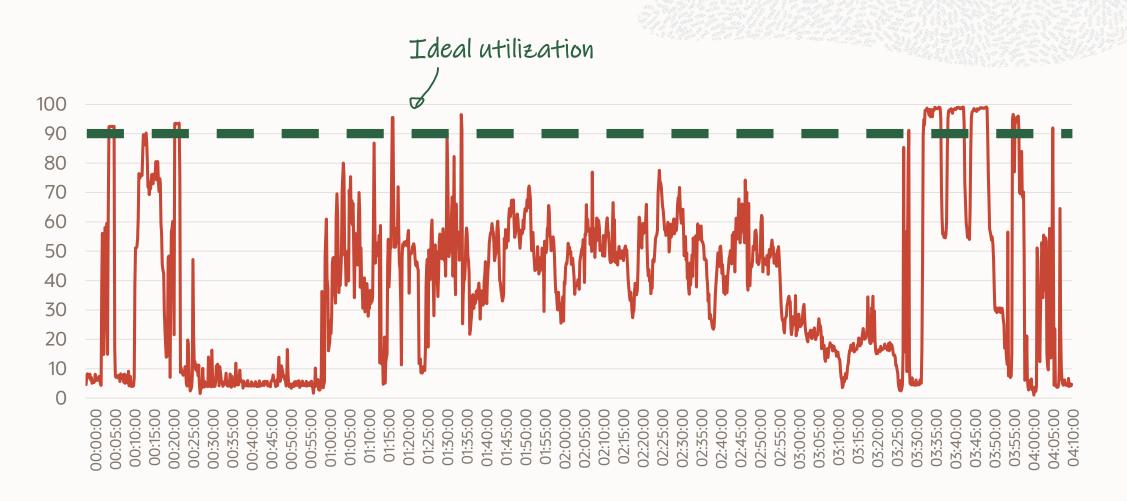
-n	Total number of parallel processes (min 4, max unlimited, default CPU_COUNT)
-N	Number of parallel processes per PDB (min 1, max 8, default 2)

Concurrent PDB upgrades: n / N



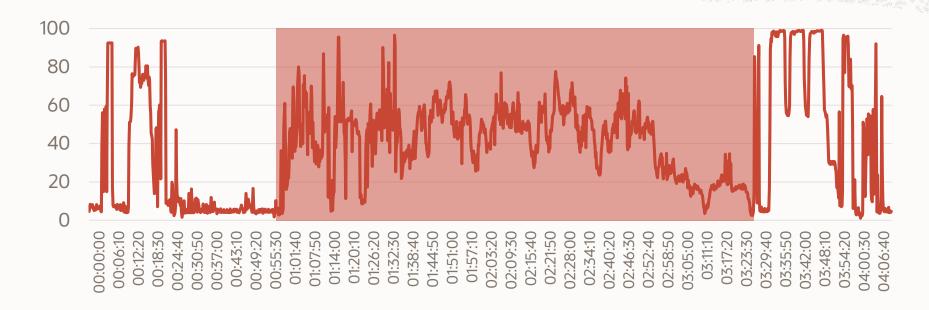
- OCI Bare Metal host
  - 16 OPCUs
  - 768 GB memory
  - NVMe disks
- CDB with 52 PDBs
  - CPU COUNT = 32
  - SGA TARGET = 80G
  - PGA AGGREGATE TARGET = 20G
- Many database components (17 in total)

Upgrade from 12.1.0.2 to 19



Total upgrade time: 4 hours 8 minutes

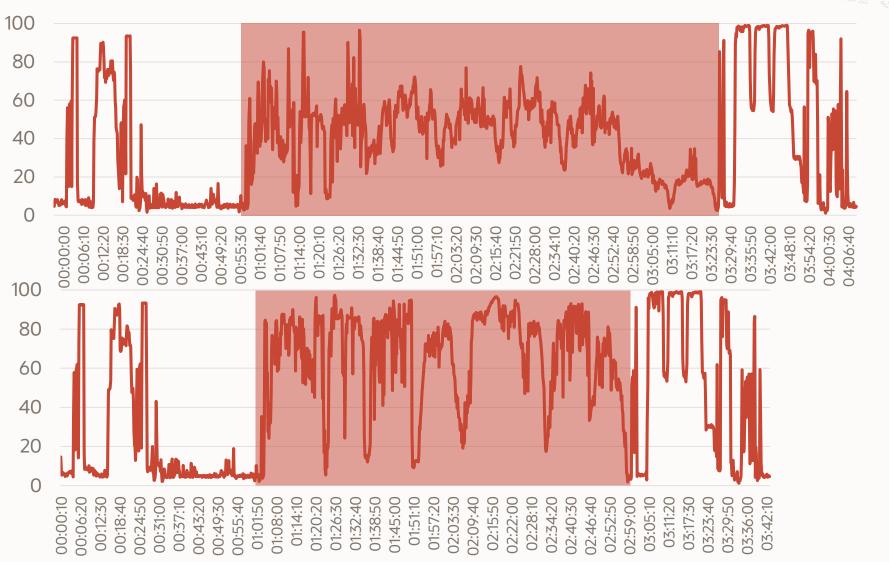




### **Upgrade PDB\$SEED and user PDBs**

- Add more PDBs (catctl -n)
- Keep parallel processes per PDB at default (2)
- Remove components from PDBs





32 parallel processes

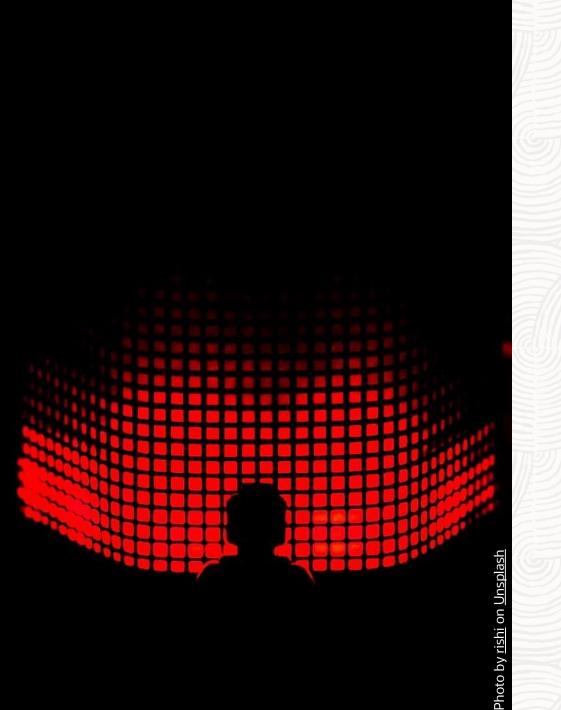
54 parallel processes

upg1.catctl\_options=-n 54

26 minutes faster

Pro tip: Remember to increase PROCESSES dramatically





**Zip Options** 

### **Zip Option | Overview**

1. Create zip file

```
$ java -jar autoupgrade.jar -config config.cfg -zip
```

2. Optionally, add opatch lsinventory

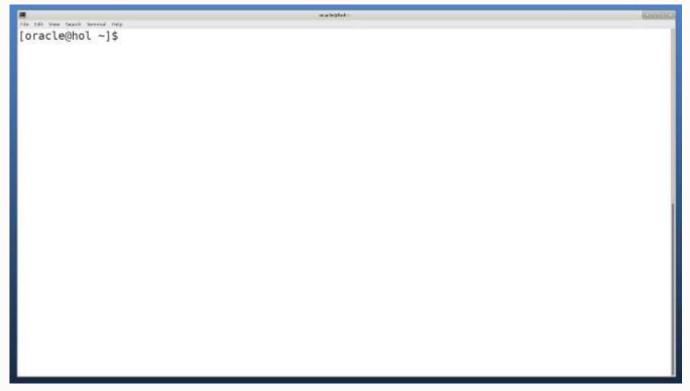
```
$ $ORACLE_HOME/OPatch/opatch lsinventory > opatch.txt
$ zip -r AUPG_210419_0735_461.zip opatch.txt
```

**3**. Upload it to My Oracle Support



# **Zip Option | Demo**





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# **Error Codes**

### **Error Codes | Overview**

#### What does an error mean?

```
$ java -jar autoupgrade.jar -error_code UPG-1400

ERROR1400.ERROR = UPG-1400

ERROR1400.CAUSE = Database upgrade failed with errors
```

### Omit the error code and get a list of all error codes

```
$ java -jar autoupgrade.jar -error_code

ERROR1000.ERROR = UPG-1000

ERROR1000.CAUSE = It was not possible to create the data file where the jobsTable is being written or there was a problem during the writing, it might be thrown due to a permission error or a busy resource scenario

ERROR1001.ERROR = UPG-1001

ERROR1001.CAUSE = There was a problem reading the state file perhaps there was corruption writing the file and in the next write it might be fixed

.
```

## **Error Codes | Demo**

```
[oracle@hol -]$ more config/DB12.cfg
global.autoupg_log_dir=/u01/app/oracle/cfgtoollogs/autoupgrade
upgl.source_home=/u01/app/oracle/product/12.2.0.1
upgl.target_home=/u01/app/oracle/product/19
upgl.sid=DB12
[oracle@hol ~]$
```

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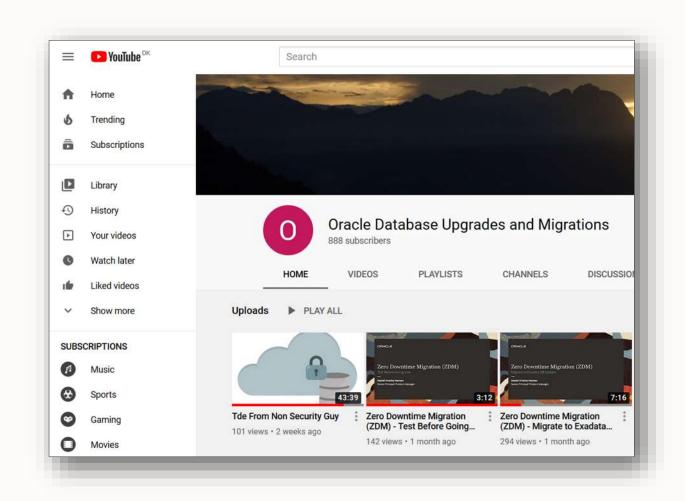


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### https://dohdatabase.com/webinars/

- Release and Patching Strategy
- AutoUpgrade to Oracle Database 19c
- Performance Stability, Tips and Tricks and Underscores
- Migration to Oracle Multitenant
- Migration Strategies Insights, Tips and Secrets
- Move to the Cloud Not only for techies
- Cool Features Not only for DBAs
- Database Upgrade Internals and so much more
- Performance Stability for Cloud Migration

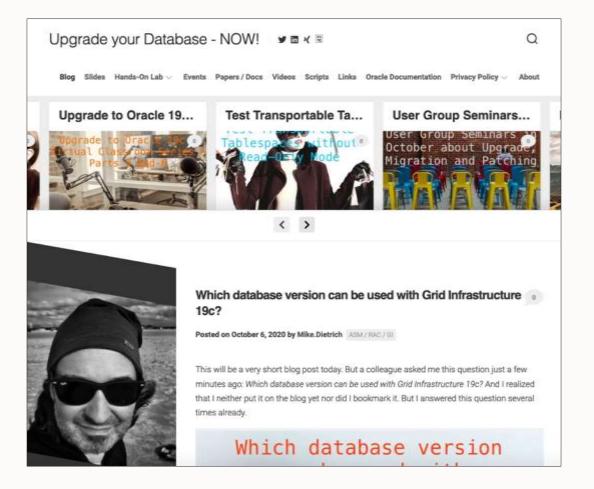
## YouTube | Oracle Database Upgrades and Migrations



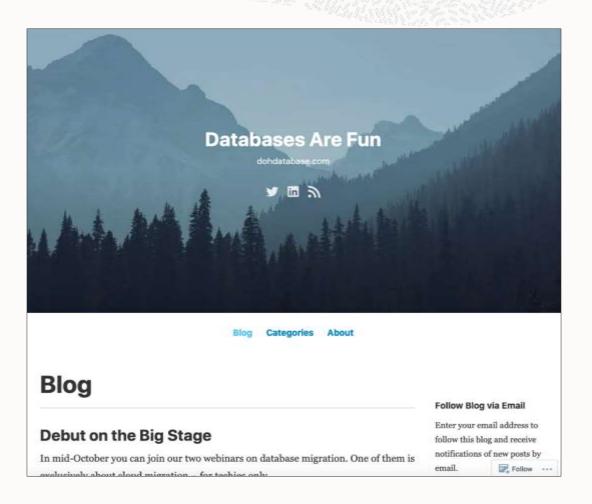
YouTube Channel



### https://MikeDietrichDE.com



### https://DOHdatabase.com





Thank you!

