

The Oracle logo is displayed in a red, sans-serif font. It is positioned in the upper left area of the slide, overlaid on a background image of a forest and mountains. The background image features a dirt road winding through a dense forest towards distant mountains under a hazy sky. There are decorative elements in the top left corner, including a pattern of orange 'x' marks and a wavy line graphic.

ORACLE

# Multitenant Introduction, Migration and Operations

Oracle Database 23ai

Oracle

**DBAs**

run the world





## ROY SWONGER

Vice President

Database Upgrade, Utilities & Patching



royfswonger





---

## MIKE DIETRICH

Senior Director Product Management  
Database Upgrade, Migrations & Patching



mikedietrich



@mikedietrichde



<https://mikedietrichde.com>





---

### **DANIEL OVERBY HANSEN**

Senior Principal Product Manager  
Database Upgrade, Migrations & Patching



dohdatabase



@dohdatabase



<https://dohdatabase.com>



## RODRIGO JORGE

Senior Principal Product Manager  
Database Upgrade, Migrations & Patching



rodrigoaraujorge



@rodrigojorgedba



<https://dbarj.com.br/en>



---

## ALEX ZABALLA

Distinguished Product Manager  
Database Upgrade, Migrations & Patching



alexzaballa



@alexzaballa



<https://alexzaballa.com>

# Find Slides and Much More on Our Blogs



MikeDietrichDE.com

Mike.Dietrich@oracle.com



dohdatabase.com

Daniel.Overby.Hansen@oracle.com



DBArj.com.br

Rodrigo.R.Jorge@oracle.com



AlexZaballa.com

Alex.Zaballa@oracle.com

## Web Seminar

### Episode 16

(replaces Episode 1 from Feb 2021)

Oracle Database Release and Patching Strategy for 19c and 23c

115 minutes – May 10, 2023

## Slides



### Episode 17

From SR to Patch – Insights into the Oracle Database Development process

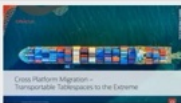
55 minutes – June 22, 2023



### \*NEW\* Episode 18

Cross Platform Migration – Transportable Tablespaces to the Extreme

145 min – February 22, 2024



### Episode 2

AutoUpgrade to Oracle Database 19c

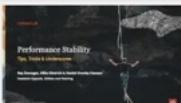
115 minutes – Feb 20, 2021



### Episode 3

Performance Stability, Tips and Tricks and Underscores

120 minutes – Mar 4, 2021



### Episode 4

Migration to Oracle Multitenant



# Recorded Web Seminars

<https://MikeDietrichDE.com/videos>

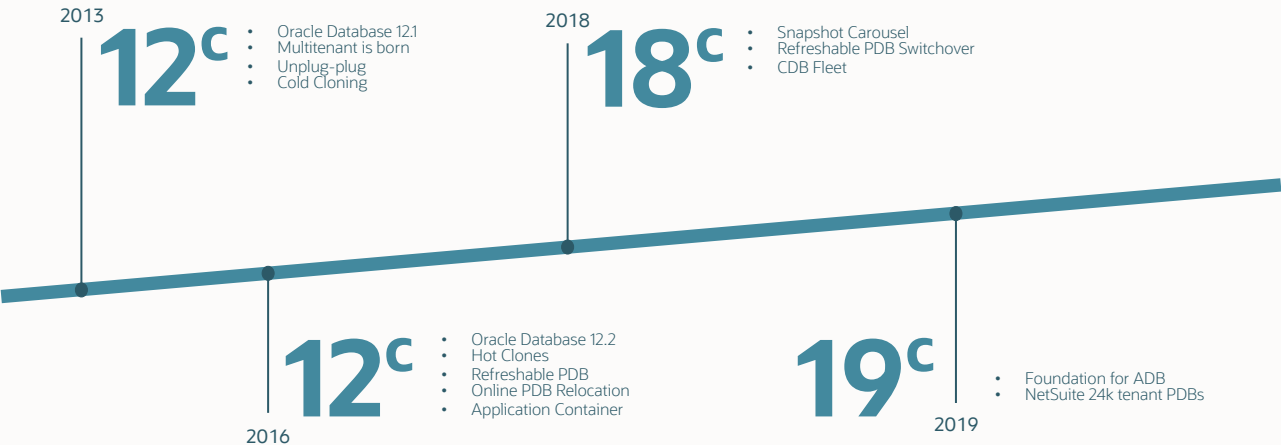
More than 35 hours of technical content,  
on-demand, anytime, anywhere





# Architecture

# Multitenant Evolution





*Starting with Oracle Database 21c,  
installation of non-CDB Oracle Database architecture  
is **no longer supported***

Upgrade Guide, 23ai

*Once you upgrade **beyond** Oracle Database 19c,  
you must convert to the multitenant architecture*

*Oracle Database 19c is the **last release**  
to support the non-CDB architecture*

Next Long Term Support release

# Oracle Database 23ai

---

Upgrade possible only from:

- Oracle Database 19c
- Oracle Database 21c



Multitenant enables an Oracle database to function as a container database



Generally, you don't need to change your application to use a pluggable database

# Single vs. Multitenant



## Single Tenant

One PDB  
No extra license



## Multitenant

Multiple PDBs  
Extra license if more than 3 PDBs

- Use up to 3 user-created PDBs without a license for Multitenant
- Does not include CDB\$ROOT and PDB\$SEED
- Applies to Oracle Database 19c and newer, including SE2

```
alter system set max_pdb=3;
```



# Multitenant

1



2



3



---

You always create a new CDB

- `CREATE DATABASE ... ENABLE PLUGGABLE DATABASE`
- Using DBCA

Or clone an existing CDB

# Multitenant

1



2



3



---

When you create a new CDB, it contains:

- The root container
- The seed container

# Multitenant

1



2



3



---

You can create PDBs:

- From the seed container
- By cloning other PDBs
- By converting a non-CDB



Be cautious making changes to *PDB\$SEED*

- Your own customizations do not belong *PDB\$SEED*

# Containers

CDB\$ROOT  
is always 1

PDB\$SEED  
is always 2

User-created PDBs  
have ID 3 or above

```
SQL> select con_id, name  
       from v$containers;
```

CON_ID	NAME
1	CDB\$ROOT
2	PDB\$SEED
3	PDB1
4	PDB2



```
alter session set container=CDB$ROOT;  
show con_id
```

CON\_ID

-----

1

```
alter session set container=PDB1;  
select sys_context('USERENV', 'CON_ID') as con_id from dual;
```

CON\_ID

-----

3



You can switch between containers,  
but a transaction belong to one PDB only



```
alter session set container=PDB1;  
insert into table1 values (...);
```

```
alter session set container=PDB2;  
insert into table2 values (...);
```

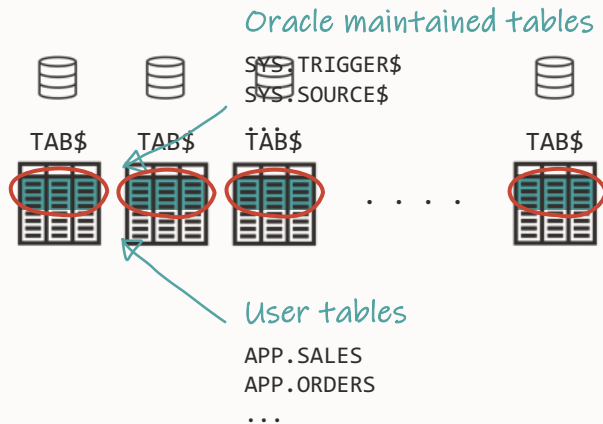
ORA-65023: active transaction exists in container PDB1



Multitenant implements  
data dictionary separation

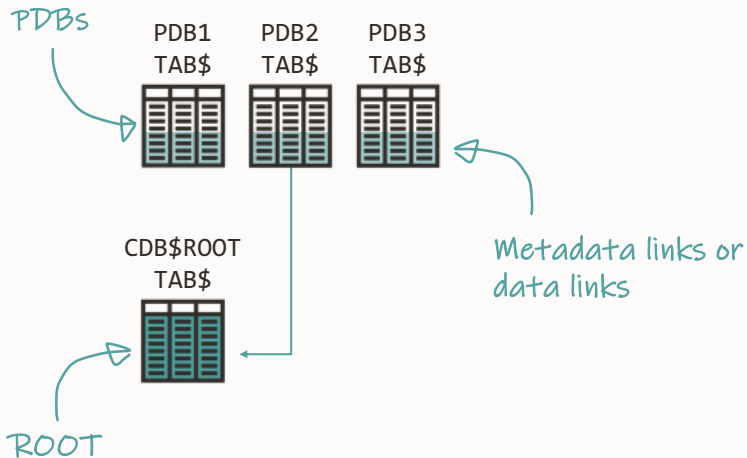
# Data Dictionary Architecture

**Non-CDB**



# Data Dictionary Architecture

**Multitenant**



# Data Dictionary Architecture



## Deduplication

By storing data just once, you can save space in the data dictionary.



## Faster

Smaller dictionaries take less time to patch or upgrade.



## Easier

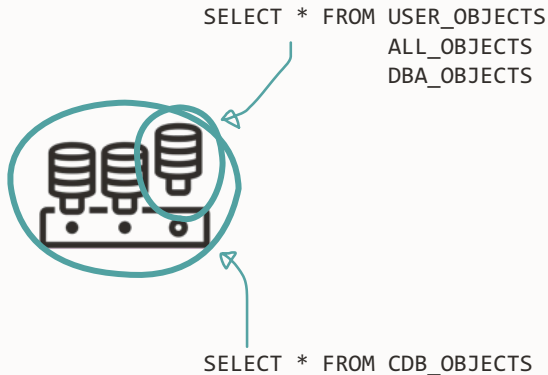
With much metadata stored in root, there is less work for a patch or upgrade.



CDB views describe the entire CDB including all PDBs

- Column **CON\_ID** indicates the originating container

# Data Dictionary Architecture



*Applies to any  
data dictionary view*

CDB\_ALL\_TABLES  
CDB\_ANALYTIC\_VIEW\_ATTR\_CLASS  
.  
.  
.  
CDB\_XTERNAL\_TAB\_SUBPARTITIONS



```
alter session set container=CDB$ROOT;
```

```
select con_id, tablespace_name  
from cdb_tablespaces;
```

Originating  
container

CON\_ID TABLESPACE\_NAME

-----  
1 SYSTEM  
1 SYSAUX  
1 UNDOTBS1  
1 TEMP  
1 USERS  
2 SYSTEM  
2 SYSAUX  
2 UNDOTBS1  
2 TEMP  
4 SYSTEM  
4 SYSAUX  
4 UNDOTBS1  
4 TEMP

PDB\$SEED information,  
hidden by default

```
alter session set container=PDB1;
```

```
select tablespace_name from dba_tablespaces;
```

TABLESPACE\_NAME

-----  
SYSTEM  
SYSAUX  
UNDOTBS1  
TEMP



A PDB never sees information  
from other PDBs

```
alter session set container=CDB$ROOT;  
select count(*) from cdb_objects;
```

COUNT(\*)

114658

```
alter session set container=PDB1;  
select count(*) from cdb_objects;
```

COUNT(\*)

23980



You define common objects in root,  
and they are available in all PDBs

- Most options in application containers

```
alter session set container=CDB$ROOT;
```

```
create user C##OPS identified by oracle;  
grant create session to C##OPS container=all;
```

```
create pluggable database pdb1 ... ;  
alter pluggable database pdb1 open;
```

```
conn C##OPS/oracle@pdb1  
Connected.
```

# Common Objects

You can define common:

- Profiles
- Roles
- User
- Audit configuration
- Other objects available in application containers

Useful for:

- Maintenance and monitoring users
- Self-service functionality
- Separation of duties
- Enforcing security



You can change the common prefix with **COMMON\_USER\_PREFIX**

- We do not recommend changing it
- Use extreme care if you choose to do so



Create same set of common objects in all CDBs to avoid issues during clone/relocate





The database creates common directories

```
alter session set container=PDB1;
```

```
select directory_path, origin_con_id  
from cdb_directories  
where directory_name='DATA_PUMP_DIR';
```

PDB GUID

```
DIRECTORY_PATH  
/u01/app/oracle/admin/CDB2/dpdump/13D6BC6605416ECEE065000000000001
```

```
ORIGIN_CON_ID  
1
```

Common directory,  
created in root

```
create or replace directory DATA_PUMP_DIR AS '/tmp';
```

ERROR at line 1:  
ORA-65040: operation not allowed from within a pluggable database

```
drop directory DATA_PUMP_DIR;
```

ERROR at line 1:  
ORA-65040: operation not allowed from within a pluggable database



Use your own directories  
if you want to decide on the directory path



You make most configuration  
in the root container

```
alter session set container=PDB1;
```

```
alter database backup controlfile to trace;
```

ORA-65040: operation not allowed from within a pluggable database

# Non-CDB Compatible

- Some ALTER DATABASE and ALTER SYSTEM commands fail in a PDB
- Enable non-CDB compatibility by setting NONCDB\_COMPATIBLE=TRUE
  - When you can't change the application
  - When you accept the reduced security

```
SQL> alter system set noncdb_compatible=true;  
SQL> shutdown immediate  
SQL> startup
```

```
SQL> alter system set noncdb_compatible=true;
```

```
SQL> shutdown immediate
```

```
SQL> startup
```

```
SQL> alter session set container=PDB1;
```

```
SQL> alter database backup controlfile to trace;
```

Database altered.





## Fine-tune PDBs with instance parameters

- Parameters apply to PDBs as well
- Some parameters are PDB modifiable

```
SQL> select name from v$system_parameter where ispdb_modifiable='TRUE';
```

NAME

---

adg\_account\_info\_tracking

allow\_rowid\_column\_type

approx\_for\_aggregation

approx\_for\_count\_distinct

approx\_for\_percentile

.

.

.

xml\_handling\_of\_invalid\_chars

246 rows selected.



## Use ORAdiff to find PDB modifiable parameters

- Free tool
- <https://oradiff.oracle.com>



A cloned or moved PDB  
keeps the changed parameters

- Certain exceptions exist

--Find specific parameters that has been defined in a specific PDB

select name, value from v\$system\_parameter where con\_id=<id>;

# Parameters

Customer Recommended Initialization parameters in a Multitenant database -  
Facts and additional information (Doc ID [2101596.1](#))



Share resources between PDBs

# Resource Consolidation

Non-CDB  
database



Memory



Background processes



Files

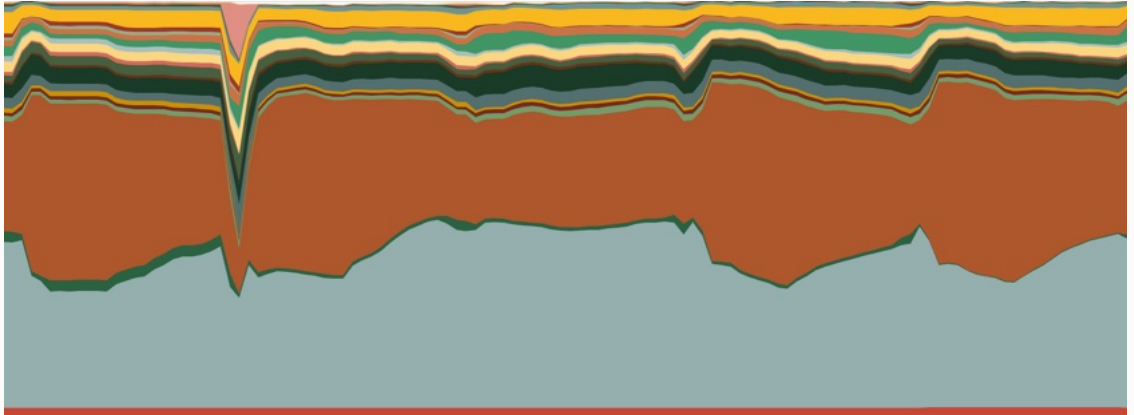




# Resource Consolidation

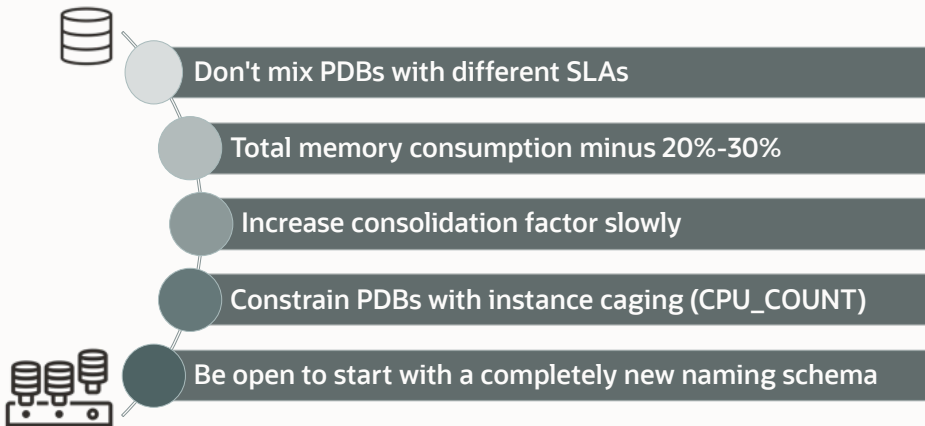


# Resource Consolidation



# Consolidation Strategies?

There is no "*best*" strategy



Using a Swingbench benchmark,  
a single-core machine could host **nine non-CDBs**  
before reaching 75 % CPU utilization

By going multitenant the number of databases reached **123 PDBs**

*A US Health Care provider managed to*

- Reduce the number of database instances by 7x
- Reduce the number of physical servers by 50 %



You can run multiple CDBs on the same host and out of the same Oracle home

# Consolidation



Schema consolidation



Virtual Private Database



**PDB consolidation**

- Less complexity
- Better isolation
- Operational benefits
- Easier cloning

*A global provider of financial services states*

*The multitenant architecture gives us **complete client separation out of the box**, without having to maintain a Virtual Private Database setup.*

*We went away from Virtual Private Database and consolidated our different clients in individual PDBs.*

*This reduced the complexity of our database implementation and **made operations much easier**.*





The *many-as-one* principle  
eases maintenance operations

# Many-as-one



Patch databases  
one by one

# Many-as-one



Patch all containers  
in one operation

# Many-as-one



## Applies to:

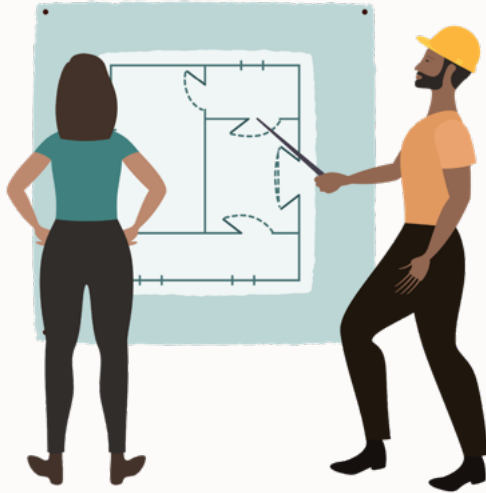
- Upgrading
- Patching
- Configuring and performing backups backups
- Configuring Data Guard
- Configuring RAC
- Monitoring
- ... and many other operations

# Benefits



The **multitenant** architecture enables

- 1 Self-contained PDBs
- 2 Common and easier operations
- 3 Resource sharing and consolidation



# Create

# Create Container Database



**1** Character set

**2** Components

**3** COMPATIBLE

# Create Container Database

## 1 Character set

- Always choose AL32UTF8
- Allows PDBs with any character set

## 2 Components

## 3 COMPATIBLE

Database Configuration Assistant - Create 'ABCD' database - Step 9 of 14

**Specify Configuration Options** 23<sup>c</sup> ORACLE Database

Database Operation  
Creation Mode  
Deployment Type  
Database Identification  
Storage Option  
Fast Recovery Option  
Network Configuration  
Database Options  
Configuration Options  
User Credentials  
Creation Option  
Summary  
Progress Page  
Finish

**Character sets**

The database character set determines how character data is stored in the database.

☒ Use Unicode (AL32UTF8)  
Setting character set to Unicode (AL32UTF8) enables you to store multiple language groups.

☐ Use OS character set (WE8MSWIN1252)  
Character set is based on the language setting of this operating system.

☐ Choose from the list of character sets

Database character set: AL32UTF8 - Unicode UTF-8 Universal character set

☒ Show recommended character sets only

National character set: AL16UTF16 - Unicode UTF-16 Universal character set

Default language: American

Default territory: United States



# Create Container Database

## 1 Character set

## 2 Components

- Install as many as you need
- No more than that

## 3 COMPATIBLE

Database Configuration Assistant - Create 'ABCD' database - Step 8 of 14

### Select Database Options

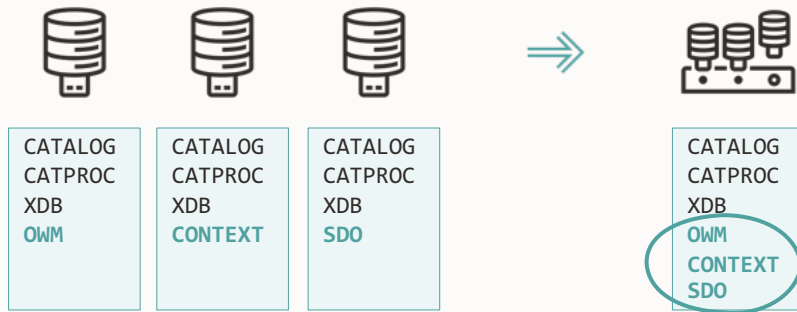
**23<sup>c</sup> ORACLE Database**

**Database components**

Select the standard database components you want to configure for use in your database. Oracle recommends that you always install these components in your database. Deselecting these components may cause you to no longer be able to choose some components on the subsequent page.

Select Component	Tablespace	Include in PDBs
<input checked="" type="checkbox"/> Oracle JVM	SYSTEM	<input type="checkbox"/>
<input checked="" type="checkbox"/> Oracle Text	SYSAUX	<input type="checkbox"/>
<input type="checkbox"/> Oracle OLAP	SYSAUX	<input type="checkbox"/>
<input checked="" type="checkbox"/> Oracle Spatial	SYSAUX	<input type="checkbox"/>
<input type="checkbox"/> Oracle Label Security	SYSTEM	<input type="checkbox"/>
<input type="checkbox"/> Oracle Database Vault	SYSAUX	<input type="checkbox"/>

# Components




# Create Container Database

1 Character set

2 Components

3 COMPATIBLE

- Keep at the default setting, 23.4.0
- Unless you want the option of downgrade

All initialization parameters			
 Update the initialization parameters only when it is required. Refer to the Oracle documentation to learn more about each initialization parameter and its valid set of values.			
(Storage related parameter(s) value is shown in MB) <input type="checkbox"/> Show advanced parameters			
Name ▲	Value	Include in spfile	Category
cluster_database	FALSE	<input type="checkbox"/>	Cluster Database
<b>compatible</b>	<b>19.0.0</b>	<input checked="" type="checkbox"/>	<b>Miscellaneous</b>
control_files	('/u02/oradata/{DB_UNI...	<input checked="" type="checkbox"/>	File Configuration
<b>db_block_size (bytes)</b>	<b>8192</b>	<input checked="" type="checkbox"/>	<b>Cache and I/O</b>
db_create_file_dest	/u02/oradata/{DB UNIQUE ...	<input type="checkbox"/>	File Configuration

```
-- Always set compatible to the default of a release  
-- Use three digits only
```

```
alter system set compatible='23.4.0' scope=spfile;
```

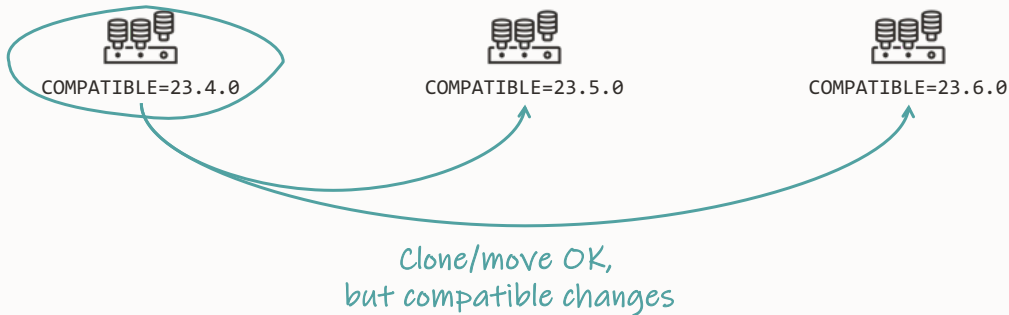
```
-- Should I change compatible when patching?  
-- No, this is a bad idea
```

```
alter system set compatible='23.5.0' scope=spfile;
```

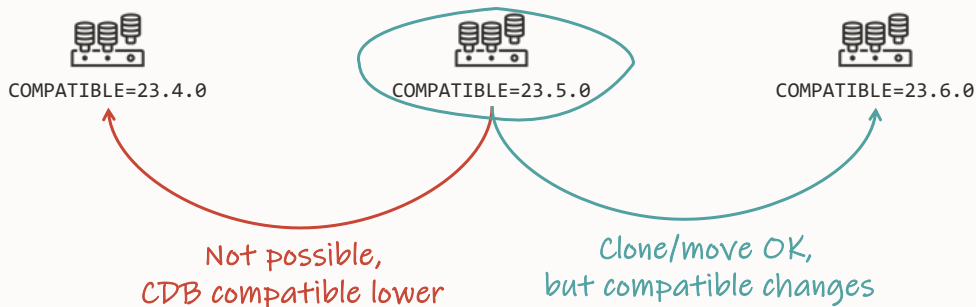
# Compatible

- On plug-in, a PDB adopts COMPATIBLE of the CDB **silently** and **without confirmation**
- Changing COMPATIBLE is irreversible
- Might prevent future move or clone of the PDB

# Compatible



# Compatible





Keep **COMPATIBLE** at the same setting  
in all CDBs on the same release



--Allows CDB views to include information on PDB\$SEED objects.

--By default, such information is hidden.

--[https://mikedietrichde.com/2017/07/21/why-exclude\\_seed\\_cdb\\_view-is-now-an-underscore-in-oracle-12-2/](https://mikedietrichde.com/2017/07/21/why-exclude_seed_cdb_view-is-now-an-underscore-in-oracle-12-2/)

```
alter system set "_exclude_seed_cdb_view"=false;
```

```
alter session set container=CDB$ROOT;
```

```
alter system set "_exclude_seed_cdb_view"=false;
```

```
select con_id, tablespace_name  
from   cdb_tablespaces;
```

```
CON_ID TABLESPACE_NAME
```

```
-----
```

```
1 SYSTEM  
1 SYSAUX  
1 UNDOTBS1  
1 TEMP  
1 USERS  
2 SYSTEM  
2 SYSAUX  
2 UNDOTBS1  
2 TEMP  
4 SYSTEM  
4 SYSAUX  
4 UNDOTBS1  
4 TEMP
```

# Create Container Database

<https://mikedietrichde.com/2018/08/08/creating-cdb-non-cdb-with-less-options/>

<https://mikedietrichde.com/2017/07/11/always-create-custom-database/>

<https://mikedietrichde.com/2017/07/26/remove-clean-components-oracle-11-2-12-2/>



# Migration



Migration to multitenant is a one-time operation that requires downtime

- No downtime when using Oracle GoldenGate

# Migration



**1** Plug in

**2** Convert

# Migration



## 1 Plug in

First, check if database is compatible with CDB

1. Generate manifest file in non-CDB
2. Check compatibility in CDB

```
--In source, generate manifest file  
--You can also generate a manifest file of a remote database using a db link  
--You can generate a manifest file on a running database  
  
exec dbms_pdb.describe('/tmp/DB19.xml');
```



# Manifest File

What is a **manifest** file

- Data files
- Components
- Parameters
- Services
- Patch level
- Time zone
- ... and more

```
<?xml version="1.0" encoding="UTF-8"?>
<PDB>
  <xmlversion>1</xmlversion>
  <pdname>DB12</pdname>
  <cid>0</cid>
  <byteorder>1</byteorder>
  <vs>203424000</vs>
  <vsns>
    <vsnum>12.2.0.1.0</vsnum>
    <cdbcompt>12.2.0.0.0</cdbcompt>
    <pdcompt>12.2.0.0.0</pdcompt>
    <vslibnum>0.0.0.0.24</vslibnum>
    <vsnsql>24</vsnsql>
    <vsnsbv>8.0.0.0.0</vsnsbv>
  </vsns>
  <dbid>1852833295</dbid>
  <ncdb2pdb>1</ncdb2pdb>
  <cdbid>1852833295</cdbid>
  <guid>86D5DC2587337002E0532AB2A8C0A57C</guid>
  <uscnbas>4437941</uscnbas>
  <uscncwrp>0</uscncwrp>
  <undocn>8</undocn>
  <rdba>4194824</rdba>
  <tablespace>
    <name>SYSTEM</name>
    <type>0</type>
    <tsn>0</tsn>
    <status>1</status>
    <issft>0</issft>
    <isnft>0</isnft>
    <encts>0</encts>
    <flags>0</flags>
    <bmunitsize>8</bmunitsize>
    <file>
      <path>/u02/oradata/DB12/system01.dbf</path>
      <afn>1</afn>
      <rfn>1</rfn>
    </file>
  </tablespace>
</PDB>
```

```
--In CDB, check compatibility
--If PDB name changes, add parameter to check_plug_compatibility

set serveroutput on
BEGIN
  IF dbms_pdb.check_plug_compatibility('/tmp/DB19.xml') THEN
    dbms_output.put_line('PDB compatible? ==> Yes');
  ELSE
    dbms_output.put_line('PDB compatible? ==> No');
  END IF;
END;
/
```

--Always check the details

```
select type, message
from   pdb_plug_in_violations
where  name='DB19' and status<>'RESOLVED';
```

TYPE	MESSAGE
ERROR	'19.9.0 Release_Update' is installed in the CDB but no release updates are installed in the PDB
ERROR	DBRU bundle patch 201020: Not installed in the CDB but installed in the PDB
ERROR	PDB's version does not match CDB's version: PDB's version 12.2.0.1.0. CDB's version 19.0.0.0.0.
WARNING	CDB parameter compatible mismatch: Previous '12.2.0' Current '19.0.0'
WARNING	PDB plugged in is a non-CDB, requires noncdb_to_pdb.sql be run.



Always check **PDB\_PLUG\_IN\_VIOLATIONS**  
after any plug-in operation

- An error prevents the PDB from opening unrestricted

# Migration



## 1 Plug in

Then, perform plug-in

1. Shut down non-CDB
2. Plug into CDB



# Migration

## 1. Restart database in read-only mode

```
SQL> shutdown immediate  
SQL> startup mount  
SQL> alter database open read only;
```

## 2. Generate manifest file and shut down

```
SQL> exec dbms_pdb.describe('/tmp/DB19.xml');  
SQL> shutdown immediate;
```

## 3. In CDB, create PDB from manifest file

```
SQL> create pluggable database DB19 using '/tmp/DB19.xml';
```

# Migration



**1** Plug in

**2** Convert

# Migration



## 2 Convert

1. Complete conversion with `noncdb_to_pdb.sql`
2. Requires downtime, but you run it only once
3. Irreversible





# Migration

## 1. Open PDB

```
SQL> alter pluggable database DB19 open;  
SQL> alter session set container=DB19;
```

## 2. Convert

```
SQL> @?/rdbms/admin/noncdb_to_pdb.sql
```

## 3. Restart PDB

```
SQL> alter pluggable database DB19 close;  
SQL> alter pluggable database DB19 open;
```



# Migration

## 4. Check plug-in violations

```
SQL> select type, message  
       from   pdb_plug_in_violations  
       where  name='DB19' and status<>'RESOLVED';
```

## 5. Ensure PDB is open READ WRITE and unrestricted

```
SQL> select open_mode, restricted from v$pdb;
```

## 6. Configure PDB to auto-start

```
SQL> alter pluggable database DB19 save state;
```



You can rename a database on plug-in

- PDB name is unique in a CDB
- Check **target\_pdb\_name**



The CDB registers all services from the non-CDB on plug-in

- Service name is unique in a CDB
- Other PDBs might use the same service name



## Don't save state when Grid Infrastructure manages the database

- If you set a service to start in Grid Infrastructure, the corresponding PDB starts as well

# Demo

---

Multitenant migration  
19c non-CDB

[Watch on YouTube](#)

# Downtime



*How much downtime  
do we need?*



## `noncdb_to_pdb.sql`

- Runtime varies, typically 10-20 minutes
- Depends on dictionary complexity
- Not database size

## Pre-tasks and post-tasks

- Follow best practices
- Data Guard

## Expect total downtime 1-2 hours

- Simple databases even faster
- It's a migration, don't rush it

# MIGRATION

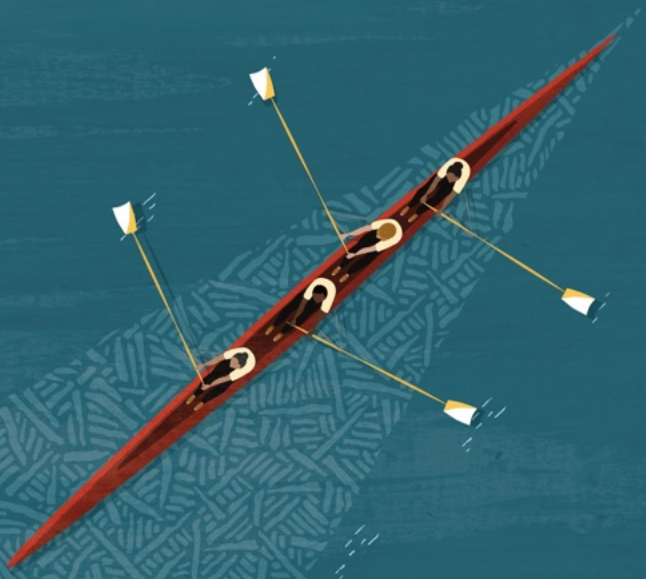
options

Other Options

Refreshable

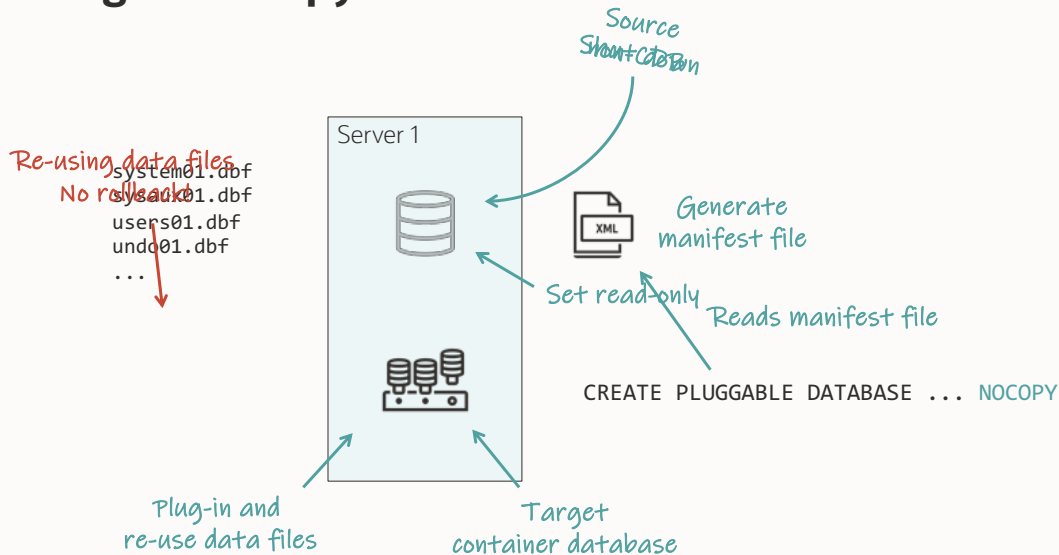
Plug-in Copy

**Plug-in NoCopy**





# Plug-in NoCopy



#AutoUpgrade config file

#Convert DB12 to PDB in CDB2 - re-use data files

upg1.source\_home=/u01/app/oracle/product/19.22.0

upg1.target\_home=/u01/app/oracle/product/19.23.0

upg1.sid=DB12

upg1.target\_cdb=CDB2

#Fully automated migration

java -jar autoupgrade.jar -config db12.cfg -mode deploy

```
#AutoUpgrade config file
#Convert DB12 to PDB in CDB2 - re-use data files
#Upgrade from Oracle Database 19c to 23ai
upg1.source_home=/u01/app/oracle/product/19.22.0
upg1.target_home=/u01/app/oracle/product/23.4.0
upg1.sid=DB12
upg1.target_cdb=CDB2
```

# Demo

---

Multitenant migration  
Including upgrade to Oracle Database 23ai  
Using AutoUpgrade

[Watch on YouTube](#)



Consider using **MOVE** instead of **NOCOPY** to move files into proper directory

- Use **FILE\_NAME\_CONVERT** clause

# MIGRATION

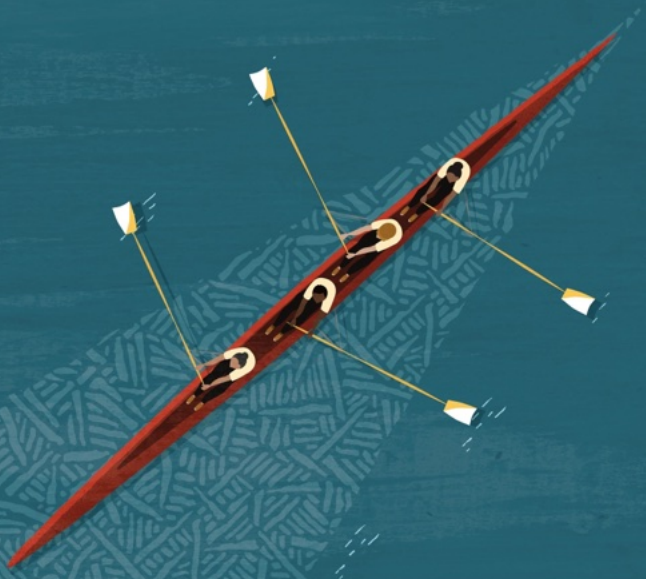
options

Other Options

Refreshable

**Plug-in Copy**

Plug-in NoCopy



# Plug-in Copy

Source preserved  
for rollback

system01.dbf  
sysaux01.dbf  
users01.dbf  
undo01.dbf  
...

system01.dbf  
sysaux01.dbf  
users01.dbf  
undo01.dbf  
...

Plug-in and  
copies data files



Target  
container database

Source  
Schema



Generate  
manifest file

Set read-only

Reads manifest file

CREATE PLUGGABLE DATABASE ... COPY

```
#AutoUpgrade config file
#Convert DB12 to PDB in CDB2 - copy data files
upg1.source_home=/u01/app/oracle/product/19.22.0
upg1.target_home=/u01/app/oracle/product/19.23.0
upg1.sid=DB12
upg1.target_cdb=CDB2
upg1.target_pdb_copy_option=db12=file_name_convert('/u01', '/u02')

#Fully automated migration
java -jar autoupgrade.jar -config db12.cfg -mode deploy
```



#AutoUpgrade config file

#Convert DB12 to PDB in CDB2 - copy data files

#Generate OMF names - also for ASM

upg1.source\_home=/u01/app/oracle/product/19.22.0

upg1.target\_home=/u01/app/oracle/product/19.23.0

upg1.sid=DB12

upg1.target\_cdb=CDB2

upg1.target\_pdb\_copy\_option.db12=file\_name\_convert=NONE

*Generate OMF names  
Also for ASM*





Be sure to shut down the source database.  
Use *prefix.close\_source=yes*

# MIGRATION

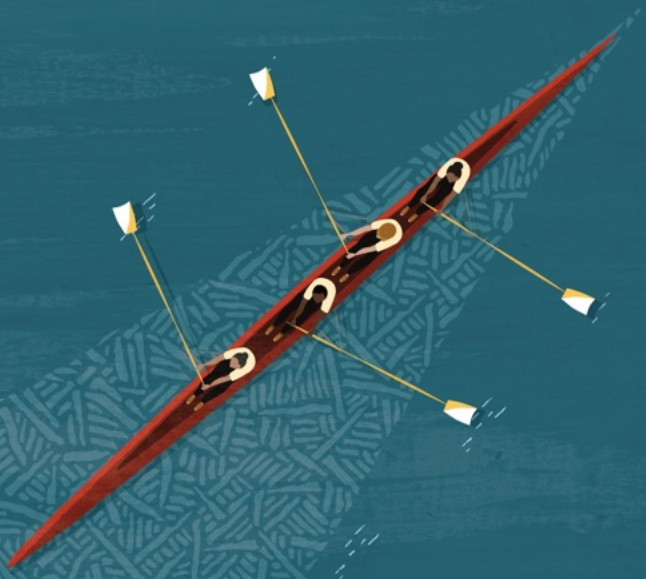
options

Other Options

**Refreshable**

Plug-in Copy

Plug-in NoCopy



# Refreshable Clone



## CREATE

Create PDB from non-CDB over a database link



## REFRESH

Apply redo from non-CDB to keep PDB up-to-date



## OUTAGE

Disconnect users and refresh PDB for the last time

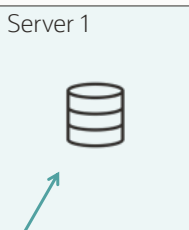


## CONVERT

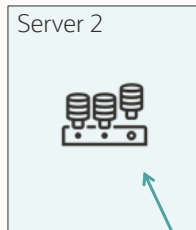
To become a proper PDB, it must be converted

# Refreshable Clone PDB

system01.dbf  
sysaux01.dbf  
users01.dbf  
undo01.dbf  
...



Source  
non-CDB



Target  
CDB

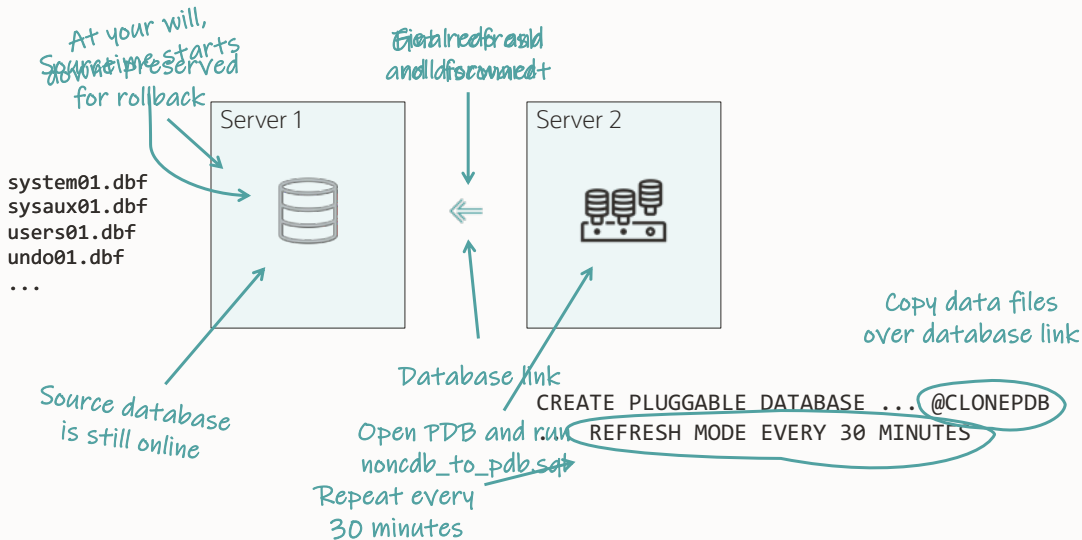
# Refreshable Clone PDB

system01.dbf  
sysaux01.dbf  
users01.dbf  
undo01.dbf  
...



*Could be same  
server as well*

# Refreshable Clone PDB



# Refreshable Clone

Source non-CDB

Target CDB



```
CREATE USER dblinkuser  
  IDENTIFIED BY ... ;  
  
GRANT CREATE SESSION,  
  CREATE PLUGGABLE DATABASE,  
  SELECT_CATALOG_ROLE TO dblinkuser;  
  
GRANT READ ON sys.enc$ TO dblinkuser;
```

```
CREATE DATABASE LINK CLONEPDB  
  CONNECT TO dblinkuser  
  IDENTIFIED BY ...  
  USING 'noncdb-alias';
```



# Refreshable Clone

Source non-CDB

Target CDB



```
upg1.source_home=/u01/app/oracle/product/19
upg1.target_home=/u01/app/oracle/product/23
upg1.sid=NONCDB1
upg1.target_cdb=CDB1
upg1.source_dblink.NONCDB1=CLONEPDB
upg1.target_pdb_name.NONCDB1=PDB1
```

```
--Specify relative start time
--upg1.start_time=+1h30m
```

# Refreshable Clone

Source non-CDB

Target CDB



```
upg1.source_home=/u01/app/oracle/product/19
upg1.target_home=/u01/app/oracle/product/23
upg1.sid=NONCDB1
upg1.target_cdb=CDB1
upg1.source_dblink.NONCDB1=CLONEPDB 300
upg1.target_pdb_name.NONCDB1=PDB1
```

```
--Specify relative start time
--upg1.start_time=+1h30m
```

# Refreshable Clone

Source non-CDB

Target CDB



```
upg1.source_home=/u01/app/oracle/product/19
upg1.target_home=/u01/app/oracle/product/23
upg1.sid=NONCDB1
upg1.target_cdb=CDB1
upg1.source_dblink.NONCDB1=CLONEPDB 300
upg1.target_pdb_name.NONCDB1=PDB1
upg1.start_time=19/05/2024 02:00:00
--Specify relative start time
--upg1.start_time=+1h30m
```



# Refreshable Clone

## 1

Run on source

```
autoupgrade.jar ... -mode analyze
```

```
autoupgrade.jar ... -mode fixups
```

## 2

Run on target

```
autoupgrade.jar ... -mode deploy
```

# Refreshable Clone

**1.**

PDB  
is created

**2.**

Data files  
are copied

**3.**

Redo is  
applied

**4.**

Final refresh

**5.**

Disconnect  
and convert

`autoupgrade.jar ... -mode deploy`

`upg1.start_time=19/05/2024 02:00:00`



The source non-CDB stays intact  
to allow rollback

# Demo

---

Multitenant migration  
Upgrade to Oracle Database 19c  
Using Refreshable Clone PDBs

[Watch on YouTube](#)



## Refreshable clone works only with deferred recovery on standby database

- You must restore the PDB on standby database after disconnect from non-CDB



# Refreshable Clone PDB

- After creating the refreshable clone PDB, don't restart the source database  
ORA-00283: recovery session canceled due to errors  
ORA-65339: unsupported operation on the source PDB
- In the source database, refreshable clone PDB supports:
  - Creating new tablespaces
  - Extending existing data files
  - Adding new data files



Ensure archive logs are available  
on disk during migration



Works cross-platform, but not cross-endian

- Use cross-platform transportable tablespaces or Data Pump



Source database release must be eligible for direct upgrade to target release

- 19c to 23ai is possible
- 12.2 to 23ai is not possible



You can set source database  
in read-only mode before the final refresh

- Ideal for migrations

# Cloning



## CLONING

AutoUpgrade uses **CREATE PLUGGABLE DATABASE** statement with **PARALLEL** clause which clones the database using multiple parallel processes



## PARALLEL

Based on system resources and current utilization the database automatically determines a proper parallel degree



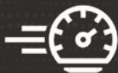
## TRANSFER

A new file transfer protocol that can bypass several layers in the database to achieve very high transfer rates



## NETWORK

Watch out for network saturation. Control parallelism in the AutoUpgrade config file



The database applies automatic parallelism based on available system resources

- Control using *prefix.parallel\_pdb\_creation\_clause*

```
SQL> select message, sofar, totalwork,time_remaining as remain, elapsed_seconds as ela
      from v$session_longops
      where opname='kpdbfCopyTaskCbk' and sofar != totalwork;
```

MESSAGE	SOFAR	TOTALWORK	REMAIN	ELA
kpdbfCopyTaskCbk: /u01/app/oracle/oradata/CDB2/EDA 3: 643199 out of 1310720 Blocks done	643199	1310720	134	129
kpdbfCopyTaskCbk: /u01/app/oracle/oradata/CDB2/EDA 3: 443007 out of 1310720 Blocks done	443007	1310720	213	109
kpdbfCopyTaskCbk: /u01/app/oracle/oradata/CDB2/EDA 3: 436351 out of 1310720 Blocks done	436351	1310720	216	108
kpdbfCopyTaskCbk: /u01/app/oracle/oradata/CDB2/EDA 3: 370431 out of 1310720 Blocks done	370431	1310720	256	101



```
SQL> select message, sofar, totalwork,time_remaining as remain, elapsed_seconds as ela
       from v$session_longops
       where opname='kpdbfCopyTaskCbk' and sofar != totalwork;
```

MESSAGE	SOFAR	TOTALWORK	REMAIN	ELA
kpdbfCopyTaskCbk: /u01/app/oracle/oradata/CDB2/EDA 3: 643199 out of 1310720 Blocks done	643199	1310720	134	129
kpdbfCopyTaskCbk: /u01/app/oracle/oradata/CDB2/EDA 3: 443007 out of 1310720 Blocks done	443007	1310720	213	109
kpdbfCopyTaskCbk: /u01/app/oracle/oradata/CDB2/EDA 3: 436351 out of 1310720 Blocks done	436351	1310720	216	108
kpdbfCopyTaskCbk: /u01/app/oracle/oradata/CDB2/EDA 3: 370431 out of 1310720 Blocks done	370431	1310720	256	101

```
SQL> select sql_text
       from v$sql s, v$session_longops l
       where s.sql_id=l.sql_id and l.opname='kpdbfCopyTaskCbk';
```

```
SQL_TEXT
/* SQL Analyze(256,0) */ SELECT /*+PARALLEL(4) NO_STATEMENT_QUEUEING */ * FROM X$KXFTASK /*kpdbfParallelCopyOrMove,PDB_FILE_COPY*/
```



Zürcher  
Kantonalbank

# Customer Case | Zürcher Kantonalbank

## Customer

Project

Constraints

Preparation

Migration

Success?

Remarks

A reliable partner for over 150 years

- The bank for the people of Zurich since 1870
- With over 5'100 employees one of the largest employers in the canton of Zurich
- Globally networked full-service bank with strong regional and local roots



# Customer Case | Zürcher Kantonalbank

Customer

**Project**

Constraints

Preparation

Migration

Success?

Remarks

Current situation

- Oracle databases on old OS and on Oracle Exadata
- 2023:
  - Migrate everything to Exadata until end of 2023
  - Consolidation to Multitenant and to the next long-term support release

Planned solution: AutoUpgrade

# Customer Case | Zürcher Kantonalbank

Customer

Project

Constraints

**Preparation**

Migration

Success?

Remarks

Test setup

- 3 non-CDB databases of different size

Source	Size / GB
TEST40 (108)	165
TEST42 (107)	555
TEST41 (106)	18'496

- Exadata X6-2 compute node
- 7 storage cells (2x X6-2L / 3x X7-2L / 2x X8-2L)
- Oracle Database 19.15.0
- No additional options

# Customer Case | Zürcher Kantonalbank

Customer

Cloning user

Project

```
create user dblinkuser identified by Oracle_4UOracle_4U;
```

Constraints

Permissions

**Preparation**

```
grant CONNECT, RESOURCE, CREATE PLUGGABLE DATABASE,  
    SELECT_CATALOG_ROLE to dblinkuser;  
grant ALL ON SYS.ENC$ to dblinkuser;
```

Migration

Success?

Database link

Remarks

```
create database link TEST42.DOMAIN connect to dblinkuser  
identified by oracle_4uoracle_4u using 'test42.domain';
```

# Customer Case | Zürcher Kantonalbank

Customer

Project

Constraints

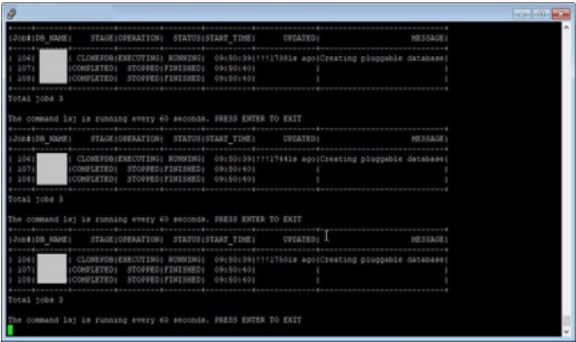
Preparation

Migration

Success?

Remarks

Migration in progress



Source	Runtime/Min
TEST40 (108)	26
TEST42 (107)	ongoing
TEST41 (106)	ongoing



# Customer Case | Zürcher Kantonalbank

Customer

Project

Constraints

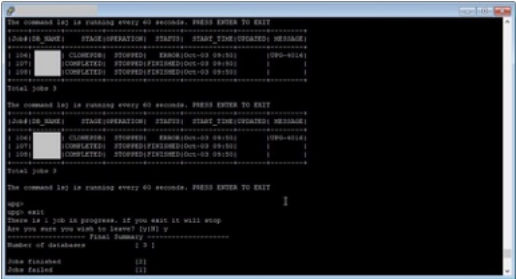
Preparation

Migration

Success?

Remarks

Migration completed



Source	Runtime/Min
TEST40 (108)	26
TEST42 (107)	226 (~3.5h)
TEST41 (106)	1770 (29h)



# Customer Case | Zürcher Kantonalbank

Customer

Project

Constraints

Preparation

Migration

**Success**

Remarks

First non-CDBs migrated successfully

- Project is ongoing

# Customer Case | Zürcher Kantonalbank

Customer

Project

Constraints

Preparation

Migration

Success?

**Remarks**

For large databases, make sure archives aren't cleaned up

- Solution: restore archivelogs from backup

User profile with IDLE\_TIME lead to kill of the session

- Solution: assign a different profile to the clone user

# Summary

- Very comfortable to use
  - Everything happens automatically
  - Does not require user interaction
- Simple syntax
- No license costs associated
- Perfect for pre-migration test
- Very Stable



# MIGRATION

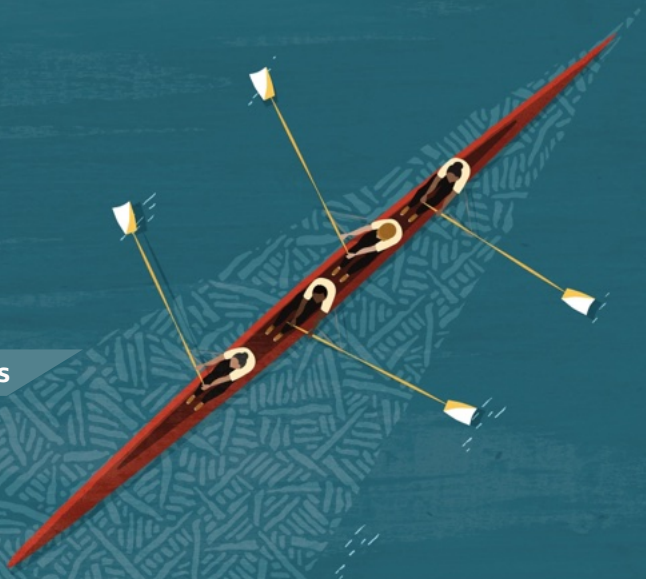
options

## Other Options

Refreshable

Plug-in Copy

Plug-in NoCopy





# Other Options

It is also possible to migrate using

**1** Data Pump

**2** Transportable Tablespaces

**3** GoldenGate

- Well-known and proven method
- Extremely flexible
- Migrate from lower version
- Migrate from cross-Endian
- Preserves source database for fallback



# Other Options

It is also possible to migrate using

**1** Data Pump

**2** Transportable Tablespaces

**3** GoldenGate

- Faster for larger databases
- Migrate from lower version
- Migrate from cross-Endian
- Preserves source database for fallback



# Other Options

It is also possible to migrate using

**1** Data Pump

**2** Transportable Tablespaces

**3** GoldenGate

- Only zero downtime option
- Migrate from lower version
- Migrate from cross-Endian
- Preserves source database for fallback
- Active-active replication for ultimate solution



comparing

# MIGRATION

options

	Plug-in NoCopy	Plug-in Copy	Refreshable Clone PDB	Data Pump	Transportable	GoldenGate
<b>Downtime</b>	Less	Considerable	Less	Considerable	Minimal	None
<b>Rollback</b>	No	Yes	Yes	Yes	Yes	Yes
<b>Cross-platform</b> (same Endian)	Yes	Yes	Yes	Yes	Yes	Yes
<b>Cross-Endian</b>	No	No	No	Yes	Yes	Yes
<b>Cross-version</b>	No	No	Yes	Yes	Yes	Yes
<b>Complexity</b>	Low	Low	Low	Medium	Medium	High





## Best Practices for multitenant migration



## Gather dictionary stats before migration

- Preferably also after migration



Perform a dictionary check  
before migration

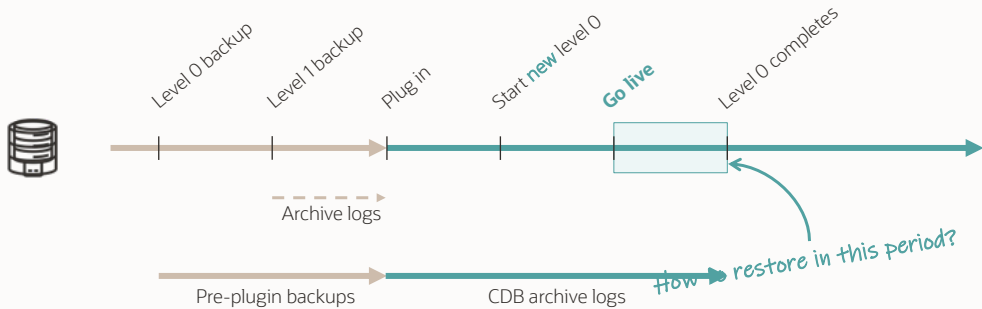
- Use `DBMS_DICTIONARY_CHECK`



## Backup your database after migration

- Level 0

# Recovery Strategy





## Practice restore with pre-plugin backups

- Check `DBMS_PDB.EXPORTMANBACKUP`



## What about Oracle RAC Database

# RAC

- Nothing special, it works seamlessly
- Connect to one instance and plug in
- No need to start with `CLUSTER_DATABASE=FALSE`





## What happens during plug-in with Oracle Data Guard

# Data Guard



*Plug-in on primary propagates  
to standby database via redo*

**1** Enabled recovery

**2** Deferred recovery



# Data Guard

## 1

### Enabled recovery

```
create pluggable database ... standbys=all
```

Standby records PDB creation

Standby locates data files

MRP applies redo to PDB

PDB is immediately protected

*Default*

## 2

### Deferred recovery



# Data Guard

## 1

### Enabled recovery

`create pluggable database ... standbys=all`

Standby records PDB creation

Standby locates data files

MRP applies redo to PDB

PDB is immediately protected

## 2

### Deferred recovery

`create pluggable database ... standbys=none`

Standby records PDB creation

Standby ignores data files

MRP skips redo

**PDB protected after restore**



You can specify recovery mode  
for each standby database

- `CREATE PLUGGABLE DATABASE ... STANDBYS=STDBY1,STDBY3`
- `CREATE PLUGGABLE DATABASE ... STANDBYS=ALL EXCEPT STDBY2`

--Check the recovery mode of each PDBs

--Possible values: ENABLED, DISABLED

```
select name, recovery_status from v$pdb;
```



In AutoUpgrade, specify recovery mode using *prefix.manage\_standbys\_clause*

- Value is inserted directly into **STANDBYS** clause in **CREATE PLUGGABLE DATABASE** statement

# Enabled Recovery

- A more complex approach
- Requires additional work before and during plug-in
- Standby database protects the PDB immediately after plug-in
- When you use STANDBYS=ALL or a list
- Default

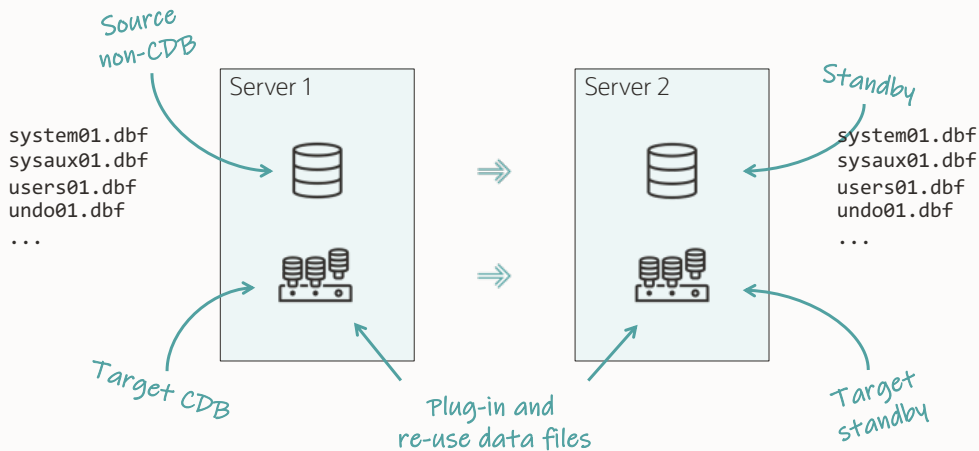


# Enabled Recovery

Enabled recovery applies to:

- All standby databases when using `STANDBYS=ALL`
- Or, those standby databases mention in `STANDBYS=<list>`
- Or, those not mentioned in `STANDBYS=ALL EXCEPT <list>`

# Enabled Recovery





All data files on primary and standby  
must be at the same SCN

# Reusing Data Files

## Primary

```
SQL> shutdown immediate
SQL> startup mount
SQL> alter system
      flush redo to stbby no confirm apply;
SQL> alter database open read only;
SQL> select checkpoint_change#
      from v$datafile_header where file#=1;
```

```
SQL> exec dbms_pdb.describe('/home/oracle/prmy.xml');
SQL> shutdown immediate
```

## Standby

```
DGMGRL> edit database stbby set state='APPLY-OFF';
SQL> shutdown immediate
SQL> startup
SQL> alter database
      recover managed standby database cancel;
```

Replace with checkpoint\_change#

```
SQL> alter database recover managed standby database
      until change 2319950;
SQL> select checkpoint_change#
      from v$datafile_header where file#=1;
```

```
SQL> shutdown immediate
```

MUST MATCH!

# Enabled Recovery

- The plug-in happens on the primary database
- The plug-in uses the manifest file
- The manifest file contains information on data files from the primary database only

## How does the standby database know which files to plug in?

# Enabled Recovery

How does the standby database know which files to plug in?

- 1 Regular files
- 2 OMF in regular file system
- 3 ASM

# Enabled Recovery

## 1 Regular files

- Standby search for data files at the same location as the primary
- Override with `DB_FILE_NAME_CONVERT`
- Or, override with `STANDBY_PDB_SOURCE_FILE_DIRECTORY`

# Enabled Recovery

## 2 OMF in regular file system

- Standby search for data files at the OMF location (**DB\_CREATE\_FILE\_DEST**)
- Move data files from non-CDB location into OMF location
- Or, create soft links in OMF location pointing to data file location



# Enabled Recovery

## 3 ASM

- Standby search for data files at the OMF location (`DB_CREATE_FILE_DEST`)
- Now, it becomes a little tricky...

# Enabled Recovery | ASM

23ai  
Non-CDB  
Primary



23ai  
Non-CDB  
Standby



```
SQL> select name from v$datafile;  
  
NAME  
-----  
+DATA/DB_BOSTON/DATAFILE/system.269.1103046537  
+DATA/DB_BOSTON/DATAFILE/sysaux.270.1103046537  
+DATA/DB_BOSTON/DATAFILE/users.273.1103046827
```

```
SQL> select name from v$datafile;  
  
NAME  
-----  
+DATA/DB_CHICAGO/DATAFILE/system.265.1103050007  
+DATA/DB_CHICAGO/DATAFILE/sysaux.266.1103050007  
+DATA/DB_CHICAGO/DATAFILE/users.269.1103050009
```

Same file,  
but different name

# Enabled Recovery | ASM

23ai  
Non-CDB  
Primary



23ai  
Non-CDB  
Standby



The manifest file contains

```
SQL> select db_name, pdb_name, file_name from v$asm_pdb_files where file_name like '%manifest_DB.xml';
```

- Not standby database

```
<?xml version="1.0" encoding="UTF-8"?>
<PDB>
  <xmlversion>1</xmlversion>
  <pdbname>PDB1</pdbname>
  ...
  <guid>DDB49CFEFD8ED4FCE053E801000A078C</guid>
  ...
  <tablespace>
    <name>USERS</name>
    ...
    <file>
      <path>+DATA/DB_BOSTON/DATAFILE/users.273.1103046827</path>
```

# Enabled Recovery | ASM

Target primary

23ai  
CDB  
Primary



```
SQL> create pluggable database PDB1 using '/tmp/manifest_DB.xml' ... ;
```



- Manifest file lists the location of data files on primary
- No information about standby databases

23ai  
CDB  
Standby



Target standby

# Enabled Recovery | ASM

23ai  
CDB  
Primary



23ai  
CDB  
Standby



+DATA/DB\_BOSTON/DATAFILE/users.273.1103046827

Redo record says:  
Plug in this data file

No good, data file  
has a different name

+DATA/DB\_CHICAGO/DATAFILE/users.269.1103050009

# Enabled Recovery | ASM

23ai  
CDB  
Primary



+DATA/DB\_BOSTON/DATAFILE/users.273.1103046827



23ai  
CDB  
Standby



*OK, let's check the OMF directory*

+DATA/DB\_CHICAGO/DATAFILE/users.269.1103050009

+DATA/CDB1\_CHICAGO/<PDB\_GUID>/DATAFILE

*It's empty*

# Enabled Recovery | ASM

23ai  
CDB  
Primary



+DATA/DB\_BOSTON/DATAFILE/users.273.1103046827



23ai  
CDB  
Standby



*OK, let's check the OMF directory*

+DATA/DB\_CHICAGO/DATAFILE/users.269.1103050009

+DATA/CDB1\_CHICAGO/<PDB\_GUID>/DATAFILE

*It's empty*



I'll just move the file in ASM





There's no move command in ASM.  
How about copying?

```
ASMCMD> cp users.269.1103050009  
+DATA/DB_CHICAGO/.../users.273.1103046827
```

```
ASMCMD-8016: copy source '+DATA/DB_BOSTON/.../users.269.1103050009' and target  
'+DATA/DB_CHICAGO/.../users.273.1103046827' failed
```

```
ORA-15056: additional error message
```

```
ORA-15046: ASM file name 'users.273.1103046827' is not in single-file creation form
```

```
ORA-06512: at "SYS.X$DBMS_DISKGROUP", line 617
```

```
ORA-06512: at line 3 (DBD ERROR: OCIStmtExecute)
```



Only a database can produce files  
with ASM/OMF data file names



There's no **move** command in ASM.  
But you can create *aliases*

- Similar to file system soft links

```
SQL> alter diskgroup data add alias  
      '+DATA/DB_CHICAGO/DATAFILE/users.269.1103050009'  
for  
      '+DATA/CDB1_CHICAGO/<PDB_GUID>/DATAFILE/users.269.1103050009':
```

Non-CDB standby data file



```
SQL> alter diskgroup data add alias  
      '+DATA/DB_CHICAGO/DATAFILE/users.269.1103050009'  
for  
      '+DATA/CDB1_CHICAGO/<PDB_GUID>/DATAFILE/users.269.1103050009':
```



```
SQL> alter diskgroup data add alias  
      '+DATA/DB_CHICAGO/DATAFILE/users.269.1103050009'  
for  
      '+DATA/CDB1_CHICAGO/<PDB_GUID>/DATAFILE/users.269.1103050009':
```



Standby PDB OMF location

```
SQL> alter diskgroup data add alias  
      '+DATA/DB_CHICAGO/DATAFILE/users.269.1103050009'  
for  
      '+DATA/CDB1_CHICAGO/<PDB_GUID>/DATAFILE/users.269.1103050009':
```



Name does not matter.  
Standby scans all files in OMF directory



# Data Guard | Re-use Data Files

23ai  
CDB  
Primary



23ai  
CDB  
Standby



- Standby database scans its own OMF directory for data files
- Standby ignores file names and look at file headers
- Standby will find aliases and find the real file locations

# Data Guard | Re-use Data Files

23ai  
CDB  
Primary



23ai  
CDB  
Standby



Looking for file like on primary



```
Recovery scanning directory +DATA/DB_BOSTON/... for any matching files
Deleted Oracle managed file +DATA/DB_BOSTON/...
Successfully added datafile 37 to media recovery
Datafile #37: +DATA/DB_CHICAGO/DATAFILE/users.269.1103050009
```



Follows alias and finds the real file

# Demo

---

Multitenant migration  
19c non-CDB to 23ai  
With Data Guard and re-using data files

[Watch on YouTube](#)



Move data files into proper OMF location  
and get rid of aliases

# Data File Location

23ai  
CDB  
Primary



23ai  
CDB  
Standby



```
SQL> select file#, name from v$datafile;
```

FILE#	NAME
-------	------

14	+DATA/DB_BOSTON/DATAFILE/system.269.1103046537
15	+DATA/DB_BOSTON/DATAFILE/sysaux.270.1103046537
16	+DATA/DB_BOSTON/DATAFILE/users.273.1103046827



Non-CDB OMF location



The database does not care.  
But humans might care

- A database can use files from a non-OMF location

# Online Data File Move

23ai  
CDB  
Primary



23ai  
CDB  
Standby



```
SQL> ALTER DATABASE MOVE DATAFILE 14;
```

```
SQL> select file#, name from v$datafile;
```

FILE#	NAME
-------	------

14	+DATA/CDB_BOSTON/<PDB_GUID>/DATAFILE/system.269.1103046537
----	--

15	+DATA/DB_BOSTON/DATAFILE/sysaux.270.1103046537
----	--

16	+DATA/DB_BOSTON/DATAFILE/users.273.1103046827
----	---

- The database copies the file, then drops the alias and original file
- Requires additional disk space
- Online operation

# Online Data File Move

23ai  
CDB  
Primary



23ai  
CDB  
Standby



- On standby, online datafile move works only when CDB and PDB are open
- Stop redo apply before opening, unless you have a license for Active Data Guard
- Requires time and disk space to hold a copy of the data file
- Removes ASM alias and original file upon completion



```
DGMGRL> edit database <STANDBY_UNQNAME> set state='apply-off';
```

```
DGMGRL> edit database <STANDBY_UNQNAME> set state='apply-off';
```

```
SQL> alter database open read only;
```

```
SQL> alter pluggable database PDB1 open read only;
```

```
SQL> alter session set container=PDB1;
```

```
SQL> alter database move datafile <file#>;
```

```
SQL> alter database move datafile <file#>;
```

```
SQL> ...
```

```
SQL> alter database move datafile <file#>;
```

```
DGMGRL> edit database <STANDBY_UNQNAME> set state='apply-off';
```

```
SQL> alter database open read only;
```

```
SQL> alter pluggable database PDB1 open read only;
```

```
SQL> alter session set container=PDB1;
```

```
SQL> alter database move datafile <file#>;
```

```
SQL> alter database move datafile <file#>;
```

```
SQL> ...
```

```
SQL> alter database move datafile <file#>;
```

```
srvctl stop database -d $ORACLE_UNQNAME -o immediate
```

```
srvctl start database -d $ORACLE_UNQNAME -o mount
```

```
DGMGRL> edit database <STANDBY_UNQNAME> set state='apply-off';
```

```
SQL> alter database open read only;
```

```
SQL> alter pluggable database PDB1 open read only;
```

```
SQL> alter session set container=PDB1;
```

```
SQL> alter database move datafile <file#>;
```

```
SQL> alter database move datafile <file#>;
```

```
SQL> ...
```

```
SQL> alter database move datafile <file#>;
```

```
srvctl stop database -d $ORACLE_UNQNAME -o immediate
```

```
srvctl start database -d $ORACLE_UNQNAME -o mount
```

```
DGMGRL> edit database <STANDBY_UNQNAME> set state='apply-on';
```

```
DGMGRL> edit database <STANDBY_UNQNAME> set state='apply-off';
```

```
SQL> alter database open read only;
```

```
SQL> alter pluggable database PDB1 open read only;
```

```
SQL> alter session set container=PDB1;
```

```
SQL> alter database move datafile <file#>;
```

```
SQL> alter database move datafile <file#>;
```

```
SQL> ...
```

```
SQL> alter database move datafile <file#>;
```

*Just move data files,  
if Active Data Guard*

```
srvctl stop database -d $ORACLE_UNQNAME -o immediate
```

```
srvctl start database -d $ORACLE_UNQNAME -o mount
```

```
DGMGRL> edit database <STANDBY_UNQNAME> set state='apply-on';
```





While relocating data files,  
apply lag increases if redo apply is off

- Redo transport is still active
- Switchover/failover time increases



What happens with enabled recovery if the standby fails to find the data files?

# Enabled Recovery | Missing Data Files

What if a standby database fails to find data files?

- If Active Data Guard and PDB Recovery Isolation is turned on
  - New feature in Oracle Database 21c
  - Recovery disabled for PDB
  - Recovery proceeds in the entire CDB, except in specific PDB
  - Standby automatically restores data files from primary and re-enables recovery afterward
  - PDB protected after auto-restore
- If not, recovery halts in the **entire** CDB
  - **This is a critical situation**





What about AutoUpgrade  
and enabled recovery?

# Enabled Recovery | AutoUpgrade

The current version (24.1) does not support plugging in with enabled recovery

- Enabled recovery requires work on both primary and standby hosts
- You must execute commands at specific times
- It's complicated - but we're working on it



## What about AS CLONE clause

- CREATE PLUGGABLE DATABASE ... AS CLONE

## Enabled Recovery | As Clone

- When you plug in a non-CDB the GUID doesn't change
  - Get GUID from the manifest file or `V$CONTAINERS`
  - GUID only changes when you use `AS CLONE` keyword
- Impossible to re-use standby data files when
  - Using OMF and `CREATE PLUGGABLE DATABASE ... AS CLONE`
  - Regardless of whether you use regular file system or ASM
  - Only works with regular files and non-OMF

# Enabled Recovery | As Clone

- Regular file system and non-OMF
  - Put data files at the same location as primary data files
  - Take `FILE_NAME_CONVERT` into account (`CREATE PLUGGABLE DATABASE`)
  - Adjust according to `DB_FILE_NAME_CONVERT`

# Data Guard | Enabled Recovery

[Reusing the Source Standby Database Files When Plugging a PDB into the Primary Database of a Data Guard Configuration \(Doc ID 2273829.1\)](#)

★ Reusing the Source Standby Database Files When Plugging a PDB into the Primary Database of a Data Guard Configuration (Doc ID 2273829.1)

**In this Document**

- [Goal](#)
- [Solution](#)
- [Prerequisites](#)
- [Steps](#)
- [Resolving Errors](#)
- [References](#)

**APPLIES TO:**

Oracle Database Cloud Service - Version N/A and later  
Oracle Database Exadata Express Cloud Service - Version N/A and later  
Oracle Database - Enterprise Edition - Version 12.1.0.2 and later  
Oracle Database Cloud Schema Service - Version N/A and later  
Gen 1 Exadata Cloud at Customer (Oracle Exadata Database Cloud Machine) - Version N/A and later  
Information in this document applies to any platform.

**GOAL**

To plug in an existing 12.1.0.2 or later PDB residing in a CDB as part of a Data Guard configuration into another CDB that is part of a different Data Guard configuration where the current Primary CDB and the target CDB both have standby databases and allow you to use the original Standby database's data files to update the destination CDB's Standby.

This note describes a multitenant migration option for maintaining standby databases when the source database is a PDB. If your source database is a non-CDB, please see [Document 2273304.1](#).

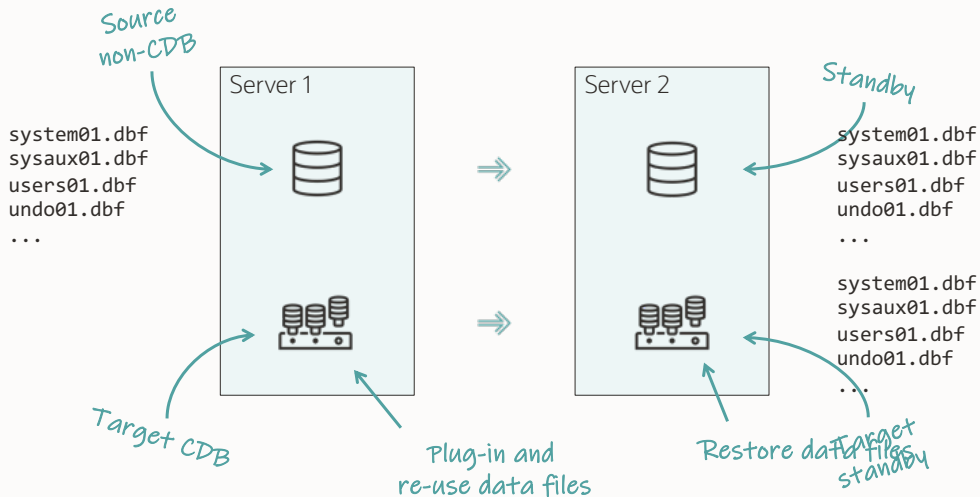
For Oracle RDBMS 19.15 and later, the Data Guard broker MIGRATE command has been enhanced to execute the steps contained in this document. It will manage configurations of the destination CDB containing a single physical standby database and will handle TDE enabled databases. Please see High Availability Overview and Best Practices - PDB Switchover and Failover in a Multitenant Configuration for more information on this feature.

Always test the steps in a dev/test environment prior to using in production. Since the original files are being modified directly by the plugin on the primary and by the consumption

# Deferred Recovery

- The simplest approach
- Requires additional work after plug-in
- You must restore the PDB and re-enabled recovery
- Standby database protects the PDB after restore
- When you use STANDBYS=NONE

# Deferred Recovery





# Deferred Recovery

Deferred recovery applies to:

- All standby databases when using `STANDBYS=NONE`
- Or, those standby databases mention in `STANDBYS=ALL EXCEPT <list>`
- Or, those not mentioned in `STANDBYS=<list>`

# Deferred Recovery



Source  
Non-CDB



Target  
Primary

```
SQL> create pluggable database ...  
standbys=none;
```



Target  
Standby

# Deferred Recovery



```
SQL> create pluggable database ...  
standbys=none;
```



PDB created  
Data files missing

# Deferred Recovery



```
SQL> show pdbs
```

CON_NAME	OPEN	MODE
PDB1	READ	WRITE

```
SQL> show pdbs
```

CON_NAME	OPEN	MODE
PDB1	MOUNTED	

# Deferred Recovery



```
SQL> select name, recovery_status  
       from v$pdb;
```

NAME	RECOVERY_STATUS
PDB1	DISABLED

# Deferred Recovery



```
RMAN> restore pluggable database  
... from service ... ;
```

```
SQL> alter pluggable database  
enable recovery;
```

```
SQL> alter database datafile  
... online;
```

# Deferred Recovery



```
RMAN> restore pluggable database  
... from service ... ;
```

```
SQL> alter pluggable database  
enable recovery;
```

```
SQL> alter database datafile  
... online;
```

- Automated process in Oracle Database 21c
- PDB Recovery Isolation
- Requires Active Data Guard

# Data Guard | Deferred Recovery

[Making Use Deferred PDB Recovery and the STANDBYS=NONE Feature with Oracle Multitenant \(Doc ID 1916648.1\)](#)

## ★ Making Use Deferred PDB Recovery and the STANDBYS=NONE Feature with Oracle Multitenant (Doc ID 1916648.1)

### In this Document

[Goal](#)

[Solution](#)

[Creating a PDB with the STANDBYS=NONE clause in a Data Guard configuration with 1 physical standby](#)

[Showing how the cloned PDB will appear in certain tables and views on the physical standby](#)

[Performing a Data Guard Role Transition with a PDB in DISABLED RECOVERY](#)

[The zero downtime instantiation process using RMAN for copying the files from the primary to standby](#)

[Steps required for enabling recovery on the PDB after the files have been copied](#)

[Steps to DISABLE RECOVERY of a Pluggable Database](#)

[Conclusion](#)

[References](#)

### APPLIES TO:

Oracle Cloud Infrastructure - Database Service - Version N/A and later  
Oracle Database Cloud Service - Version N/A and later  
Oracle Database - Enterprise Edition - Version 12.1.0.2 and later  
Oracle Database Cloud Schema Service - Version N/A and later  
Oracle Database Exadata Express Cloud Service - Version N/A and later  
Information in this document applies to any platform.



# Data Guard | Additional Information

## Data Guard Impact on Oracle Multitenant Environments (Doc ID 2049127.1)

The physical standby database and redo apply will normally expect a new PDB's datafiles to have been pre-copied to the standby site and be in such a state that redo received from the primary database can be immediately applied. The standby database ignores any file name conversion specification on the CREATE PLUGGABLE DATABASE statement and relies solely on the standby database's initialization parameter settings for DB\_CREATE\_FILE\_DEST and DB\_FILE\_NAME\_CONVERT for locations and file naming.

For these cases, Oracle recommends deferring recovery of the PDB using the STANDBYS=NONE clause on the CREATE PLUGGABLE DATABASE statement. Recovery of the PDB can be enabled at some point in the future once the PDB's data files have been copied from the primary database to the standby database in a manner similar to that documented in Document 1916648.1.



## Don't jeopardize your Data Guard

- Test the procedure and verify before go-live



## How a customer handled the migration

- Customer case



# Customer Case



Customer

Project

Result

Learnings

- **Swisscom** - Switzerland's leading telco
- One of the leading IT companies in Switzerland
- One of Switzerland's most sustainable and innovative companies

# Customer Case



# Customer Case

Customer

Project

Result

Learnings

## Oracle Siebel CRM



Non-CDB

- Database size: 18 TB
- Release: 19.17.0
- Average active users: 3000



Single-tenant architecture

# Customer Case

Customer

Project

Result

Learnings

## Oracle Siebel CRM



Non-CDB

- 6,000 tables
- 29,000 indexes
- Partitioning
- LOBs
- 51 bigfile tablespaces



Single-tenant architecture

# Customer Case



Data Guard with **five** standby databases



Each database is a 4-node **RAC** database



Running on **Exadata** Database Machine



Streaming data to microservices using **GoldenGate**





After consulting the business,  
a plan was made for the standby databases

# Customer Case



Standby 1: DR



Re-use data files, ASM alias



Standby 2-3: Auxiliary DR



Restore data files



Standby 4-5: Reporting



Restore data files



# Customer Case



Customer

Project

Result

Learnings

- Total downtime was 3 hours 30 minutes
  - Planned maintenance window was 4 hours
  - Most time spent on application work and GoldenGate configuration
- Database migration
  - `noncdb_to_pdb.sql`: 18 minutes



# Customer Case



Customer

Project

Result

Learnings

- 1 Test, test, and test
- 2 Create a detailed runbook
- 3 Remove complexity from the project to the extent possible
- 4 Work together and double-check all actions



## Converting on Exadata Database Service and Exadata Cloud@Customer

# Exadata Database Service

1. Use tooling to create a new CDB - or use an existing one
2. Plug in and convert using a method of choice
3. Tooling automatically adapts the new PDB after a while



## Converting Oracle E-Business Suite

# E-Business Suite

- Oracle E-Business Suite Release 12.2 and 12.1.3 support multitenant architecture
- But only in single-tenant architecture
- Useful MOS notes
  - FAQ: Oracle E-Business Suite and the Oracle Multitenant Architecture (Doc ID [25671051](#))
  - Interoperability Notes: Oracle E-Business Suite Release 12.2 with Oracle Database 19c (Doc ID [25521811](#))
  - Getting Started with Oracle E-Business Suite on Oracle Cloud Infrastructure (Doc ID [25170251](#))
  - Using Oracle 19c Oracle RAC Multitenant (Single PDB) with Oracle E-Business Suite Release 12.2 (Doc ID [25306651](#))





## Plugging in copies of the same database

# As Clone

Each PDB has a unique GUID

- Check V\$CONTAINERS

If you plug in the same database multiple times,  
there are conflicting GUIDs

Use `CREATE PLUGGABLE DATABASE ... AS CLONE` to generate new GUIDs on  
plug-in



## Migrating encrypted databases

- TDE Tablespace Encryption

# Encrypted Database

Encrypted database



system01.dbf  
sysaux01.dbf  
users01.dbf  
undo01.dbf  
...



Shut down



Export Generate  
encryption manifest file

Set read-only

ADMINISTER KEY MANAGEMENT EXPORT KEYS ...

Import encryption manifest file

ADMINISTER KEY MANAGEMENT IMPORT KEYS ...

Plug-in and  
re-use data files

Target  
container database



AutoUpgrade fully supports  
encrypted databases

# Encrypted Database

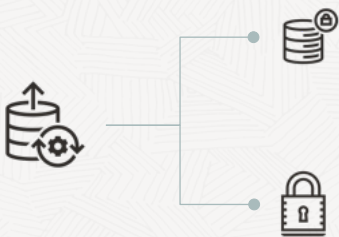
Certain database operations require passwords or secrets

```
CREATE PLUGGABLE DATABASE ... KEYSTORE IDENTIFIED BY <password>
```

```
ALTER PLUGGABLE DATABASE ... UNPLUG INTO ... ENCRYPT USING <secret>
```

```
CREATE PLUGGABLE DATABASE ... DECRYPT USING <secret>
```

```
ADMINISTER KEY MANAGEMENT ... KEYSTORE IDENTIFIED BY <password>
```



## Secure External Password Store

Operator stores database keystore password in a Secure External Password Store

## AutoUpgrade Keystore

Operator loads database keystore password into AutoUpgrade keystore ahead of upgrade

```
# Configure AutoUpgrade to work on encrypted databases  
# Specify path for AutoUpgrade keystore
```

```
global.keystore=/etc/oracle/keystores/autoupgrade/DB12  
global.autoupg_log_dir=/u01/app/oracle/cfgtoollogs/autoupgrade  
upg1.source_home=/u01/app/oracle/product/12.2.0.1  
upg1.target_home=/u01/app/oracle/product/19  
upg1.sid=DB12
```



# Encrypted Database

Analyze the database for upgrade readiness

```
$ java -jar autoupgrade.jar -config PDB1.cfg -mode analyze
```

Summary report will show which keystore passwords are needed

## REQUIRED ACTIONS

=====

1. Perform the specified action ...

ORACLE\_SID

Action Required

-----

CDB1

Add TDE password

CDB2

Add TDE password

# Demo

---

Multitenant migration  
Including upgrade to Oracle Database 19c  
Using AutoUpgrade

[Watch on YouTube](#)

# Oracle Key Vault

You can migrate an encrypted non-CDB using Oracle Key Vault

- Deploy an additional OKV client on the target database
- Configure both endpoints to point to the same default keystore



In the unlikely event of ...

- Rollback and fallback options



## PDB conversion is irreversible

- Not even Flashback Database can help

# Rollback Options | Before Go-Live



**1** Leave a copy

**2** RMAN Restore

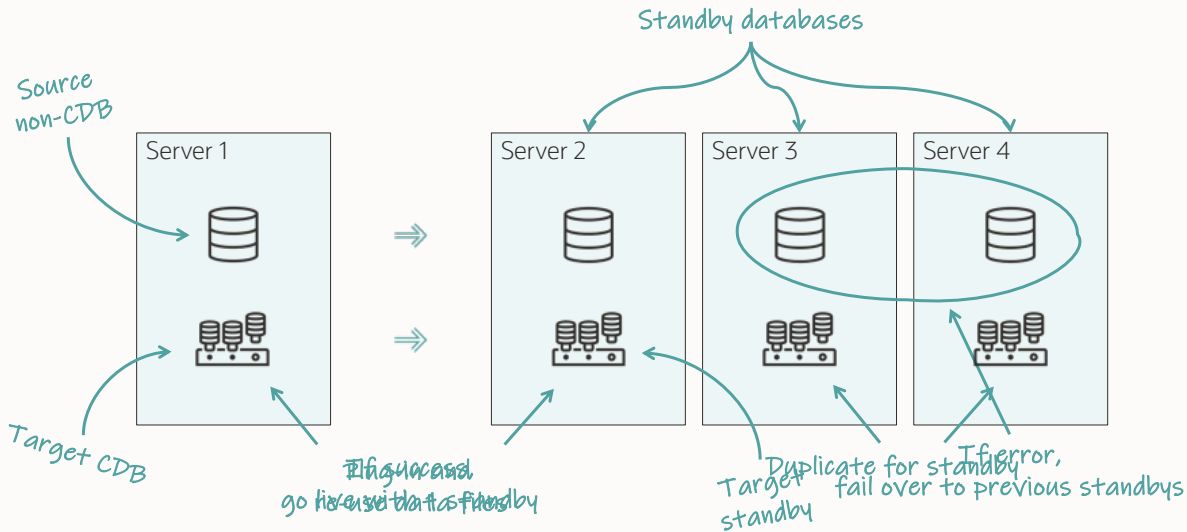
# Rollback Options | Before Go-Live



## 1 Leave a copy

- CREATE PLUGGABLE DATABASE ... COPY
- [Refreshable clone PDBs](#)
- Incrementally roll forward data files copies
- Leave a standby database behind

# Rollback Options | Before Go-Live





# Rollback Options | Before Go-Live



## 2 RMAN Restore

- Time-consuming
- May not satisfy business requirements

# Fallback Options | After Go-Live



1

## Back to non-CDB

- Data Pump
- Transportable Tablespaces
- GoldenGate



An alternative option to fall back  
from upgrade and PDB conversion



# Fallback Options | After Go-Live

If you upgraded and converted

- From Oracle Database 19c to 23ai

## 1 Back to 19c, stay multitenant

- Downgrade
- **COMPATIBLE** must be 19.0.0 in 23ai CDB

## 2 Back to 19c, back to non-CDB

- Follow 1
- Transportable tablespace back into non-CDB
- Alternatively, Data Pump from 23ai directly to 19c non-CDB



# Operations



## Connecting to a PDB

--A common user may switch into a different container, including root

```
alter session set container=pdb1;
```

```
create pluggable database blue ...
```

```
lsnrctl status
```

```
...
```

```
Service "blue" has 1 instance(s).
```

```
Instance "CDB23", status READY, has 1 handler(s) for this service...
```



```
sqlplus <user>@<hostname>/blue
```

```
<alias_name>=(DESCRIPTION=  
  (ADDRESS=  
    (PROTOCOL=TCP)(HOST=<hostname>)(PORT=1521)  
  )  
  (CONNECT_DATA=  
    (SERVER=DEDICATED)  
    (SERVICE_NAME=blue)  
  )  
)
```



Keep PDB names unique on the entire host  
- preferably in your entire environment

- Avoid service name collision



## MAA guidelines recommend creating your own service

- Avoid the default service

```
--For single instance databases  
alter session set container=blue;  
exec dbms_service.create_service('SALES', 'SALES');  
exec dbms_service.start_service('SALES', NULL);
```

--For single instance databases

```
alter session set container=blue;
```

```
exec dbms_service.create_service('SALES', 'SALES');
```

```
exec dbms_service.start_service('SALES', NULL);
```

--For single instance databases (Oracle Restart) or RAC databases

```
srvctl add service -d $ORACLE_UNQNAME -service SALES -pdb blue
```

```
srvctl start service -d $ORACLE_UNQNAME -service SALES
```

```
sqlplus <user>@<hostname>/sales
```

```
<alias_name>=(DESCRIPTION=  
  (ADDRESS=  
    (PROTOCOL=TCP)(HOST=<hostname>)(PORT=1521)  
  )  
  (CONNECT_DATA=  
    (SERVER=DEDICATED)  
    (SERVICE_NAME=sales)  
  )  
)
```

```
select pdb, name from gv$services order by name;
```

PDB	NAME
-----	-----
BLUE	blue
BLUE	SALES





What about `ORACLE_PDB_SID`?



```
$ export ORACLE_SID=CDB23
$ export ORACLE_PDB_SID=BLUE
$ sqlplus / as sysdba
```

```
SQL*Plus: Release 23.0.0.0.0 - Production on Fri Jun 14 07:54:05 2024
Version 23.4.0.24.05
```

```
Copyright (c) 1982, 2024, Oracle. All rights reserved.
```

```
Connected to:
Oracle Database 23c EE Extreme Perf Release 23.0.0.0.0 - Production
Version 23.4.0.24.05
```

```
SQL> show con_name
```

```
CON_NAME
-----
PDB1
```



This is **only** documented  
for use with Oracle E-Business Suite

- Not documented for use elsewhere

# Using ORACLE\_PDB\_SID

- Doesn't work on Windows
- Produces no error when
  - PDB is not started
  - PDB does not exist
  - You mistype the PDB name
  - Database is not started in normal mode

You end up in root instead - *silently*

# Using ORACLE\_PDB\_SID

- MOS note: Performing bequeath direct connections to PDB as SYS and SYSTEM (Doc ID [2728684.1](#))
- Blog post: [Pitfalls: Connect to a PDB directly with ORACLE\\_PDB\\_SID](#)



What about TWO\_TASK?



`TWO_TASK` is just a *shortcut* to a TNS alias

- Does not add any value when connecting to a PDB

--TWO\_TASK can hold a TNS alias.  
--Use TNS connection, instead of a bequeath connection  
export TWO\_TASK=my\_alias  
sqlplus system  
  
--It's basically the same as using @ to connect over TNS  
sqlplus system@my\_alias



## Migrating your *non-CDB* scripts



```
$ cat /etc/crontab
```

```
00 * * * * oracle important.sh
```

```
$ cat /etc/crontab
```

```
00 * * * * oracle important.sh
```

```
$ cat important.sh
```

```
export ORACLE_SID=NONCDB1  
sqlplus / <<EOF  
    exec shop.orders.process;  
    exec shop.sales.calculate;  
    exec shop.shipping.track;  
EOF
```



You must modify  
your scripts and procedures

```
$ cat /etc/crontab
```

```
00 * * * * oracle important.sh
```

```
$ cat important.sh
```

```
export ORACLE_SID=CDB1
```

```
sqlplus / <<EOF
```

```
    alter session set container=blue;
```

```
    exec shop.orders.process;
```

```
    exec shop.sales.calculate;
```

```
    exec shop.shipping.track;
```

```
EOF
```

```
$ cat /etc/crontab
```

```
00 * * * * oracle important.sh
```

```
$ cat important.sh
```

*Use Secure External Password Store*



```
sqlplus /@blue <<EOF
```

```
    exec shop.orders.process;  
    exec shop.sales.calculate;  
    exec shop.shipping.track;
```

```
EOF
```

# Scripts

- *Many-as-one* principle
- `catcon.pl`
- `DBMS_SCHEDULER`
- Enterprise Manager



Execute scripts in PDBs using `catcon.pl`



```
$ cat important.sql
```

```
exec shop.orders.process;  
exec shop.sales.calculate;  
exec shop.shipping.track;
```



```
$ cat important.sql
```

```
exec shop.orders.process;  
exec shop.sales.calculate;  
exec shop.shipping.track;
```

```
$ cd $ORACLE_HOME/rdbms/admin  
$ perl catcon.pl -b important -c blue important.sql
```

```
$ perl catcon.pl \  
    -u appuser/apppwd  
    -b important  
    -c blue,red,green,yellow,purple,orange  
    -n 3  
    -e -l /tmp/important_log  
important.sql
```

--Use command line help to see all options

```
perl catcon.pl -help
```



## Word of caution about `_oracle_script`

```
$ cd $ORACLE_HOME/rdbms/admin  
$ grep -i "_oracle_script" * | wc -l
```

188

# **\_oracle\_script**

- Default value is **FALSE**
- Undocumented
- Used internally by Oracle
- "\_ORACLE\_SCRIPT"=TRUE PARAMETER Should not be Invoked by Users (Doc ID [2378735.1](#))

```
conn appuser/apppwd
```

```
create table appuser.t1 (c1 number);  
alter session set "_oracle_script"=true;  
create table appuser.t2 (c1 number);
```



```
conn appuser/apppwd
```

```
create table appuser.t1 (c1 number);  
alter session set "_oracle_script"=true;  
create table appuser.t2 (c1 number);
```

```
select object_name, oracle_maintained from user_objects;
```

```
OBJECT_NAME ORACLE_MAINTAINED
```

```
-----
```

```
T1          N
```

```
T2          Y
```





Do **not** use `_oracle_script`  
to customize `PDB$SEED`

- Implement changes in *afterburner* script



Do **not** change `_oracle_script`  
except under guidance of Oracle Support



## Auto-starting PDBs

--A pluggable database does not start together with the CDB  
--You must instruct the CDB to start it  
--by saving state when the PDB is open

```
create pluggable database blue ... ;  
alter pluggable database blue open;  
alter pluggable database blue save state;
```

--The view contains the list of PDBs with a saved state  
--Any PDB not in this view will not auto-start

```
select con_name, state, restricted from dba_pdb_saved_states;
```

CON_NAME	STATE	RESTRICTED
BLUE	OPEN	NO



## Use Oracle Clusterware to start PDBs in Oracle Restart and Oracle RAC

- Discard saved state and rely on Oracle Clusterware

--If a service depends on a PDB, Clusterware starts the PDB for you  
--regardless of the saved state

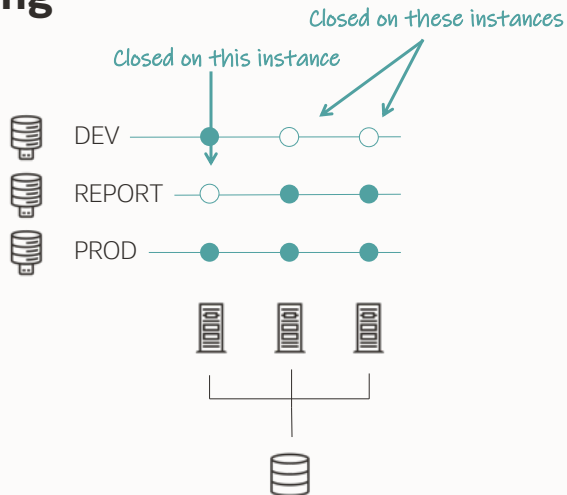
```
srvctl add service ... -pdbs BLUE
```



*PDB Subsetting* increase flexibility and  
allow you to use resources wisely



# PDB Subsetting



--By default, a service opens on all instances

--The service brings up the PDB on CDB restart

```
srvctl add service ... -pdb PROD
```

--Restrict a service to start on select instances only

```
srvctl add service ... -pdb REPORT -preferred inst2,inst3
```

```
srvctl add service ... -pdb DEV -preferred inst1
```



Work across PDBs  
with **CONTAINERS** clause

```
select con_id, tablespace_name, status  
from containers(dba_tablespaces);
```

```
CON_ID TABLESPACE_NAME STATUS
```

```
-----
```

1	SYSTEM	ONLINE
1	SYSAUX	ONLINE
1	UNDOTBS1	ONLINE
1	TEMP	ONLINE
1	USERS	ONLINE
3	SYSTEM	ONLINE
3	SYSAUX	ONLINE
3	UNDOTBS1	ONLINE
3	TEMP	ONLINE
3	USERS	ONLINE

```
select con_id, tablespace_name, status  
from containers(dba_tablespaces)  
where con_id = 3;
```

CON_ID	TABLESPACE_NAME	STATUS
--------	-----------------	--------

3	SYSTEM	ONLINE
3	SYSAUX	ONLINE
3	UNDOTBS1	ONLINE
3	TEMP	ONLINE
3	USERS	ONLINE

```
insert into containers(sh.sales)
      (con_id, country_name, amount)
values (7, 'Canada', 3000);
```

```
update containers(sh.sales)
set    country_name = 'USA'
where  con_id in (7,8);
```



## Tighten security with PDB Lockdown Profiles



# PDB Lockdown Profiles

You can restrict

- 1 Features
- 2 Options
- 3 Statements





# PDB Lockdown Profiles

## 1 Features

AWR  
Network access  
File access  
OS access  
... and more

```
alter lockdown profile sec_profile disable feature=('NETWORK_ACCESS');
```



# PDB Lockdown Profiles

## 2 Options

Partitioning  
Database queuing

```
alter lockdown profile sec_profile disable option=('PARTITIONING');
```



# PDB Lockdown Profiles

## 3 Statements

```
alter database  
alter pluggable database  
alter session  
create database link  
... and more
```

```
alter lockdown profile sec_profile  
  disable statement = ('ALTER PLUGGABLE DATABASE')  
  clause all except = ('DEFAULT TABLESPACE');
```

# PDB Lockdown Profiles

## 3 Statements

```
alter database  
alter pluggable database  
alter session  
create database link  
... and more
```

```
alter lockdown profile sec_profile  
  disable statement = ('ALTER SYSTEM')  
  clause = ('SET')  
  option = ALL EXCEPT ('PLSQL_WARNINGS', 'PLSQL_DEBUG');
```

--In root you can define the default lockdown profile

```
alter session set container=cdb$root;
```

```
alter system set pdb_lockdown=sec_profile;
```

--In a PDB you can override the default

--and set a specific profile

```
alter session set container=pdb1;
```

```
alter system set pdb_lockdown=very_sec_profile;
```

# Security

Tighten security even more:

- Allow a PDB to **only** write to a certain part of the file system  
`create pluggable database ... path_prefix = '/pdba/blue/'`
- Ensure a PDB interacts with OS using a specific user  
`alter system set pdb_os_credential=<credential_name>`



## *Avoid noisy neighbors*

- Allow sharing resources  
but everyone must get a fair share

# Method 1



## Instance caging

- Most simple
- Define `CPU_COUNT` for each PDB
- Hard limit



# Method 1



8 CPUs



CPU\_COUNT=3



CPU\_COUNT=2



CPU\_COUNT=2



All non-CDBs  
share 7 CPU

# Method 1



8 CPUs



CPU\_COUNT=7

CDB never uses more than 7 CPUs,  
despite sum of PDBs  
At peak, use more resources,  
but never deplete the CDB



CPU\_COUNT=4



CPU\_COUNT=4



CPU\_COUNT=4

PDBs might fight over CPUs,  
but each process gets a fair share

# Method 2



## Memory allocation

- Simple
- Define **SGA\_TARGET** for each PDB
- Hard limit

## Method 2



8 GB memory



SGA\_TARGET=7G



SGA\_TARGET=4G



SGA\_TARGET=4G



SGA\_TARGET=4G

*PDB may never use more than 4G  
of shared memory*



*If all PDBs are active,  
cache management comes into play*



## Requires use of Automatic Shared Memory Management

- Both in CDB and PDB



Optionally, allocate minimum shared pool and buffer cache for a PDB

- Use `SHARED_POOL_SIZE` and `DB_CACHE_SIZE`



You can combine method 1 and 2

- Instance caging and memory allocation

# Method 3



## Simple Resource Manager

- Elaborate, yet simple to implement
- Enable CDB resource manager
- Allocate minimum shares instead of hard limits
- For advanced use cases



# Method 3



8 CPUs



CPU\_COUNT=7



CPU\_MIN\_COUNT=2



CPU\_MIN\_COUNT=1



CPU\_MIN\_COUNT=1

At peak, may use up to 5 CPUs



4 CPUs are reserved,  
3 are free for all

# Method 3



8 GB memory



SGA\_TARGET=7G



SGA\_MIN\_SIZE=2G



SGA\_MIN\_SIZE=1G



SGA\_MIN\_SIZE=1G

*At peak, may use  
up to 4G shared memory*





Requires Resource Manager at root level

```
alter session set container=cdb$root;
```

```
-- Create an empty resource manager plan with no directives
```

```
exec dbms_resource_manager.clear_pending_area;
```

```
exec dbms_resource_manager.create_pending_area;
```

```
exec dbms_resource_manager.create_cdb_plan('CDB_PLAN');
```

```
exec dbms_resource_manager.validate_pending_area;
```

```
exec dbms_resource_manager.submit_pending_area;
```

```
-- Make plan active in root to enable CDB resource manager
```

```
alter system set resource_manager_plan=CDB_PLAN;
```

# Method 4

## Advanced Resource Manager



- Requires additional configuration, but much greater control
- Use directives instead of shares



You can still control resources inside a PDB  
with Resource Manager



## What about I/O?

- Exadata I/O Resource Management
- Or, **MAX\_MBPS** and **MAX\_IOPS**



You can run multiple CDBs on the same host and out of the same Oracle home



# Inter-instance Resource Management

Shares resources like with non-CDBs:

- CPU\_COUNT
- SGA\_MAX\_SIZE

Inter-instance CPU resource manager:

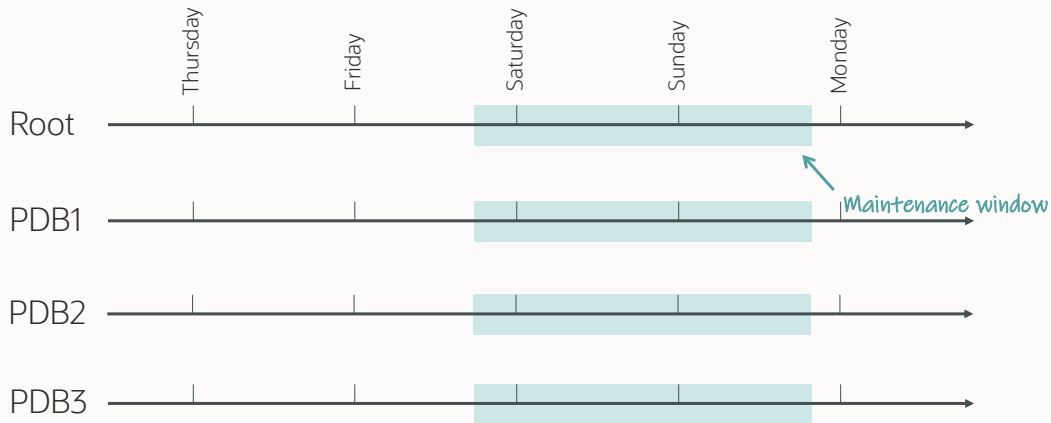
- Controls resource sharing using Linux c-groups
- Check [RESOURCE MANAGER CPU SCOPE](#)
- Exadata Database Machine and Autonomous Database



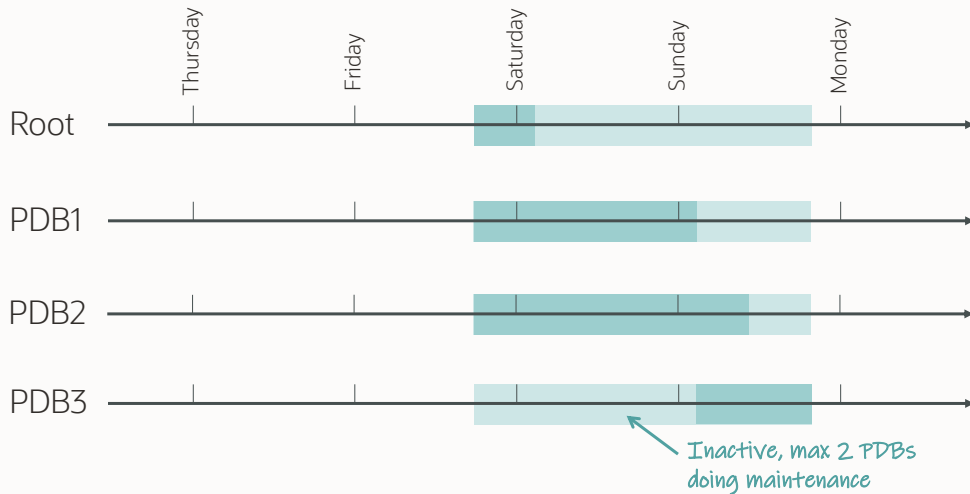


## A word about automated maintenance tasks

# Automated Maintenance Tasks



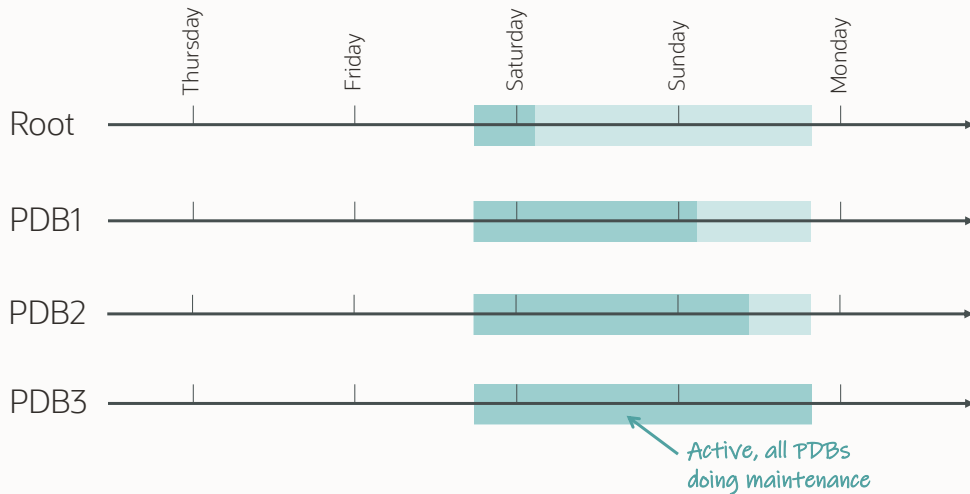
# Automated Maintenance Tasks



--Change the amount of PDBs that can run maintenance tasks at the same time  
--Default value 2

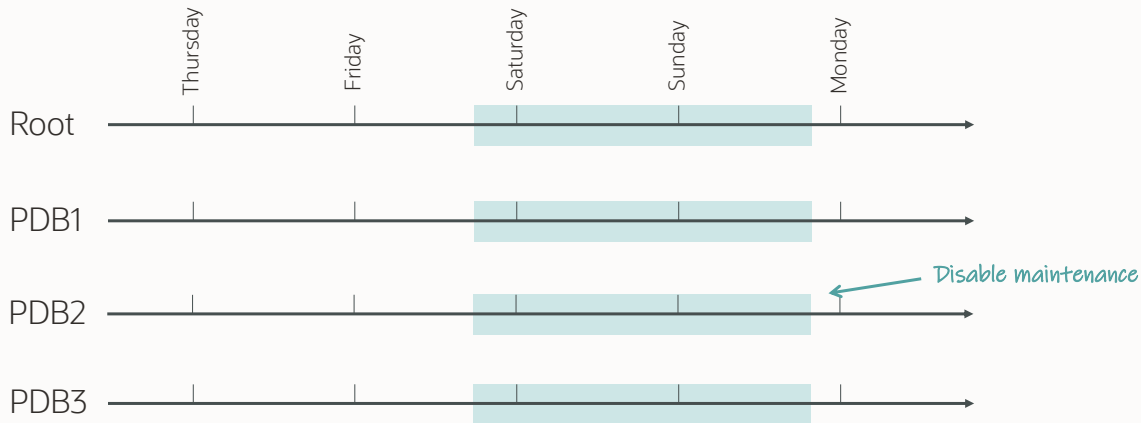
```
alter system set autotask_max_active_pdb=3;
```

# Automated Maintenance Tasks



```
--Selectively disable maintenance tasks in a PDB  
--For instance, test databases or databases that are rebuilt frequently  
  
alter session set container=PDB2;  
alter system set enable_automatic_maintenance_pdb=false;
```

# Automated Maintenance Tasks



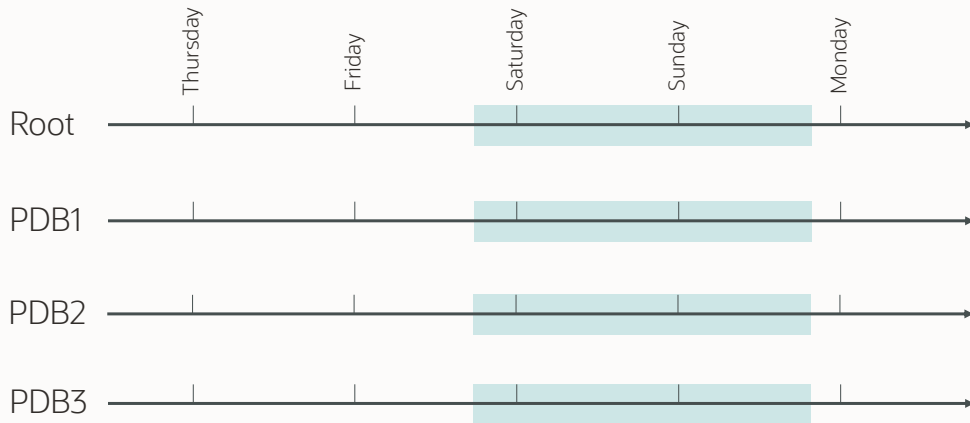




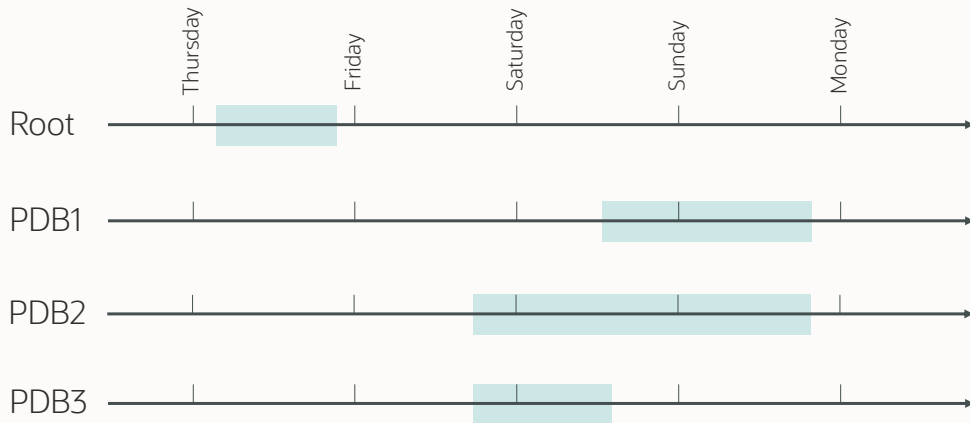
## Shift maintenance windows

- Optionally, shorten maintenance windows

# Automated Maintenance Tasks



# Automated Maintenance Tasks





## Selectively disable individual maintenance tasks using **DBMS\_AUTO\_TASK\_ADMIN**

- Does a test database need Automatic Segment Advisor?
- Or Evolve Advisor?



Resource Manager prevents maintenance tasks from *stealing* resources from users

- Consumer group `ORA$AUTOTASK`



## Using Automatic Workload Repository



The database gathers AWR data  
in the CDB and all PDB

- Default setting



## **PDB-level**

PDB statistics

Some global statistics



# AWR



## PDB-level

PDB statistics

Some global statistics

## CDB-level

Aggregated PDB statistics

All global statistics

# AWR



PDB-level

```
alter session set container=pdb1;  
exec dbms_workload_repository.create_snapshot;  
@?/rdbms/admin/awrrpt
```

CDB-level

```
alter session set container=cdb$root;  
exec dbms_workload_repository.create_snapshot;  
@?/rdbms/admin/awrrpt
```

# AWR



**PDB-level**

```
alter session set container=pdb1;  
exec dbms_workload_repository.modify_snapshot_settings(...
```

**CDB-level**

```
alter session set container=cdb$root;  
exec dbms_workload_repository.modify_snapshot_settings(...
```

# AWR



**PDB-level**

Stored in *SYSAUX* tablespace in PDB

**CDB-level**

Stored in *SYSAUX* tablespace in CDB

*Follows the PDB during  
clone, relocate and unplug*



--Disable collection of AWR data for a specific PDB  
--Default value: true

```
alter session set container=pdb1;  
alter system set awr_pdb_autoflush_enabled=false;
```

```
--Use the PDB lockdown profiles to disable the AWR functionality for a PDB  
  
alter lockdown profile profile_name disable feature=('AWR_ACCESS');
```



# Get AWR of a PDB

There are different ways to query the AWR data from within a PDB

- 1 AWR\_ROOT\_SNAPSHOT
- 2 AWR\_PDB\_SNAPSHOT
- 3 DBA\_HIST\_SNAPSHOT



# Get AWR of a PDB

There are different ways to query the AWR data from within a PDB

**1**    AWR\_ROOT\_SNAPSHOT

**2**    AWR\_PDB\_SNAPSHOT

**3**    DBA\_HIST\_SNAPSHOT

- Only show snapshots taken and stored on the CDB level.
- It will not show AWR data related to other PDBs.



# Get AWR of a PDB

There are different ways to query the AWR data from within a PDB

1 AWR\_ROOT\_SNAPSHOT

2 AWR\_PDB\_SNAPSHOT

3 DBA\_HIST\_SNAPSHOT

```
SQL> SHOW CON_NAME  
CDB$ROOT  
SQL> EXEC DBMS_WORKLOAD_REPOSITORY.CREATE_SNAPSHOT;
```





# Get AWR of a PDB

There are different ways to query the AWR data from within a PDB

**1**    `AWR_ROOT_SNAPSHOT`

**2**    `AWR_PDB_SNAPSHOT`

**3**    `DBA_HIST_SNAPSHOT`

- Only show snapshots taken and stored on the PDB level.
- It will not show AWR snapshots taken on the CDB.
- By default, snapshots at the PDB level are enabled, starting in 23ai.

# Get AWR of a PDB

There are different ways to query the AWR data from within a PDB

- 1 AWR\_ROOT\_SNAPSHOT
- 2 AWR\_PDB\_SNAPSHOT
- 3 DBA\_HIST\_SNAPSHOT

```
SQL> SHOW CON_NAME  
PDB01  
SQL> EXEC DBMS_WORKLOAD_REPOSITORY.CREATE_SNAPSHOT;
```



# Get AWR of a PDB

There are different ways to query the AWR data from within a PDB

**1** AWR\_ROOT\_SNAPSHOT

**2** AWR\_PDB\_SNAPSHOT

**3** DBA\_HIST\_SNAPSHOT

- Show snapshots taken and stored **both** on the CDB and PDB level.

# Get AWR of a PDB

There are different ways to query the AWR data from within a PDB

- 1 AWR\_ROOT\_SNAPSHOT
- 2 AWR\_PDB\_SNAPSHOT
- 3 DBA\_HIST\_SNAPSHOT

```
SQL> select snap_id, con_id  
       from dba_hist_snapshot;
```

SNAP_ID	CON_ID
-----	-----
98	0
99	0
100	0
101	0
1	3
2	3
3	3

7 rows selected.



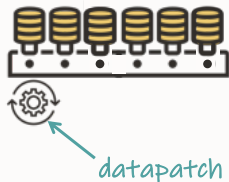
# Patching



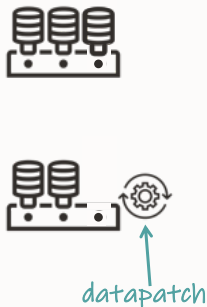
Patching Oracle home in a multitenant  
is the same as for non-CDB

# Multitenant Patching Approaches

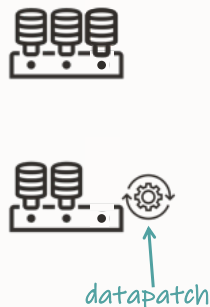
All at once



Unplug-plug



Refreshable clone







The database must be open  
Only open PDBs will be patched

- UPGRADE mode or restricted session is **not** needed

`$ORACLE_BASE/cfgtoollogs/sqlpatch/.../sqlpatch_invocation.log`

[2024-05-27 20:26:44] **Installation queue:**

[2024-05-27 20:26:44] For the following PDBs: **CDB\$ROOT PDB\$SEED**

[2024-05-27 20:26:44] No interim patches need to be rolled back

[2024-05-27 20:26:44] Patch 35643107 (Database Release Update : 19.21.0 (35643107)):

[2024-05-27 20:26:44] Apply from 19.1.0 Release to 19.21.0 Release\_Update 230930151951

[2024-05-27 20:26:44] The following interim patches will be applied:

[2024-05-27 20:26:44] 35648110 (OJVM RELEASE UPDATE: 19.21.0.0.231017 (35648110))

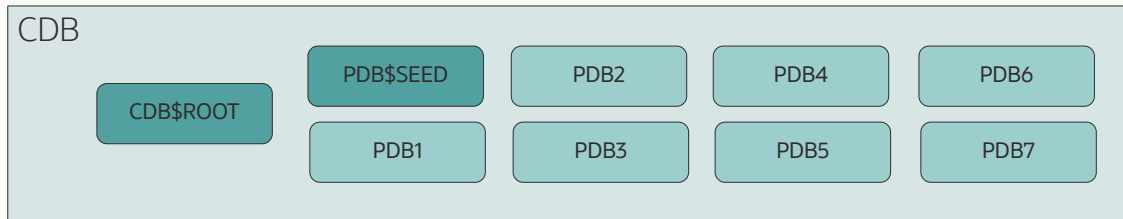
[2024-05-27 20:26:44] 35787077 (DATAPUMP BUNDLE PATCH 19.21.0.0.0)



Too many PDBs patched in parallel may cause contention and require lots of resources

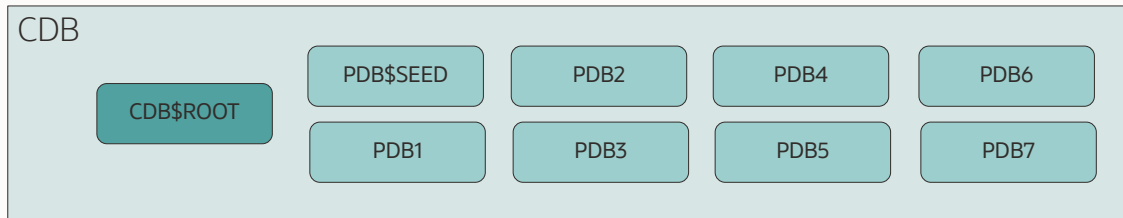
- Consider increasing the **PROCESSES** parameter

# Datapatch



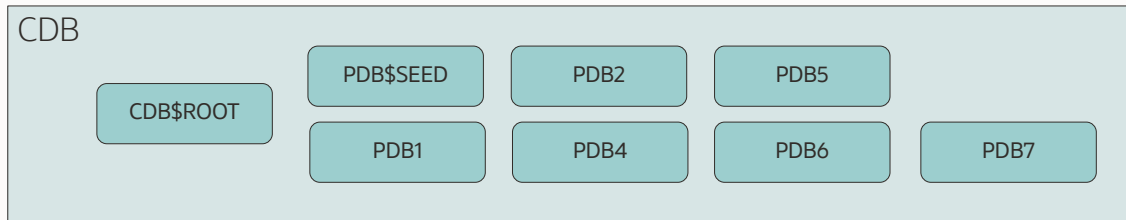
- Datapatch patches CDB\$ROOT and PDB\$SEED automatically

# Datapatch

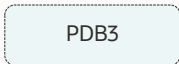


- Datapatch always patches CDB\$ROOT first

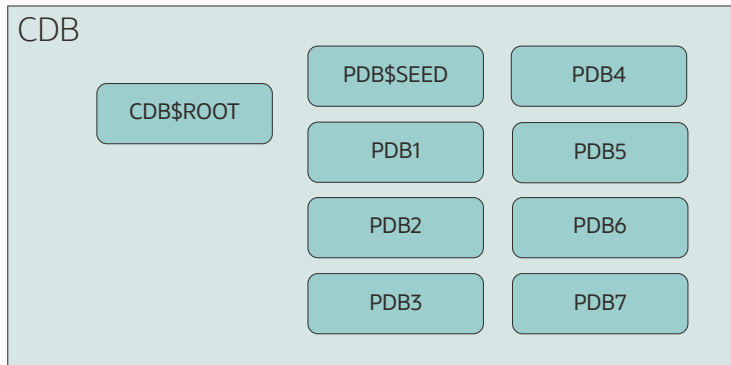
# Datapatch



- Datapatch only patches open PDBs



# Datapatch



- Datapatch determines parallel degree based on CPU count



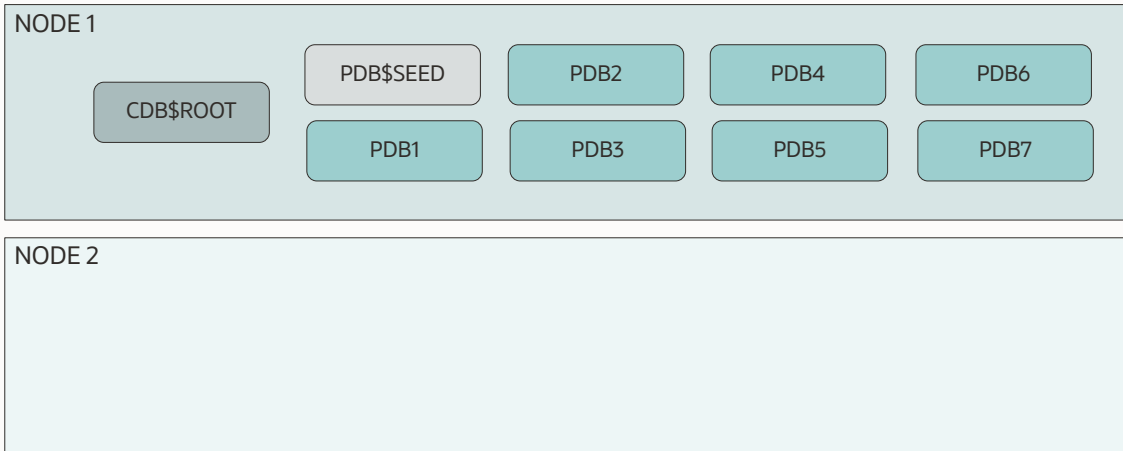
## Significantly speed up patching using AutoUpgrade

- Applies to multitenant databases on RAC only

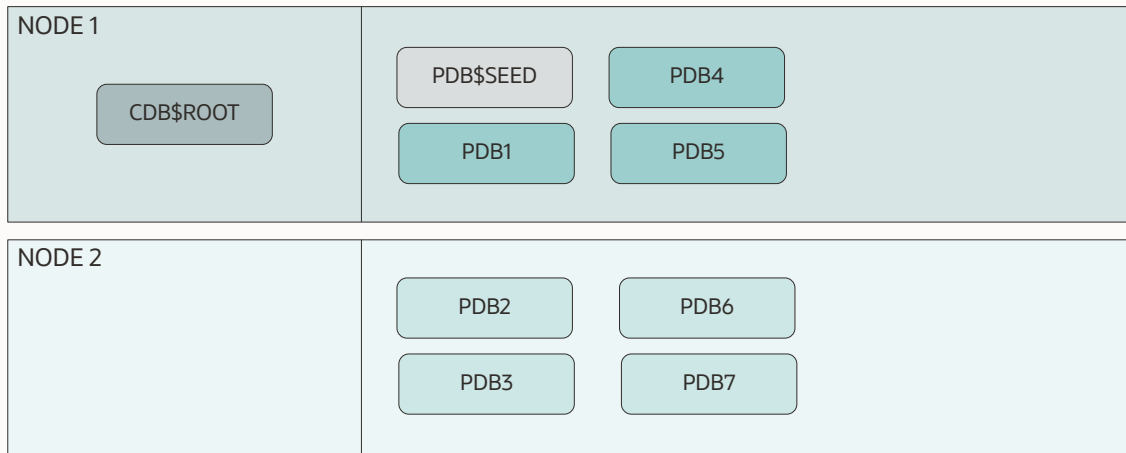




# Distributed Patching



# Distributed Patching



# Distributed Patching

To enable distributed patching

```
$ cat RACCDB.cfg  
  
upg1.source_home=/u01/app/oracle/product/23/dbhome_23_04  
upg1.target_home=/u01/app/oracle/product/23/dbhome_23_05  
upg1.sid=RACCDB  
upg1.tune_setting=proactive_fixups=true,distributed_upgrade=true  
  
$ java -jar autoupgrade.jar -config RACCDB.cfg -mode deploy
```



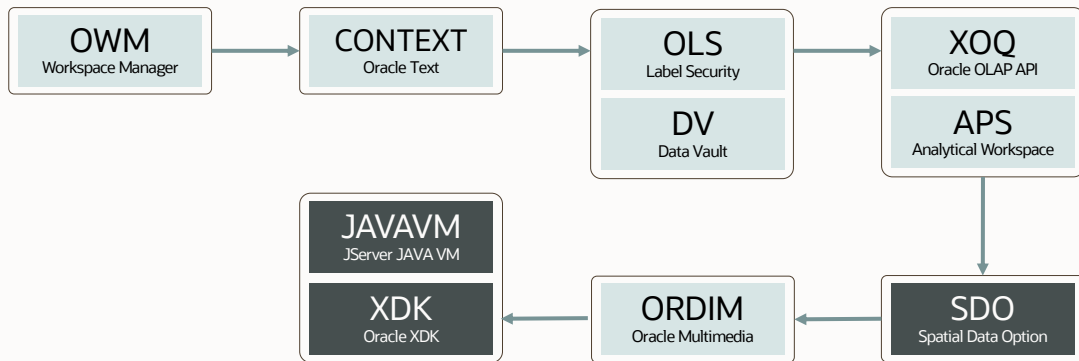
Less components, **faster** patching

Typical candidates:

- JAVAVM
- ORDIM
- SDO

# Component Clean Up Order

If required, remove components **before** upgrade/plugin



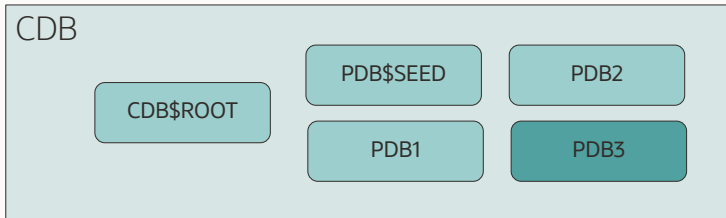
# Different Components in PDBs

Having different components doesn't increase patching time

- Initially, having different components lead to different patching plans
  - PDB1 and PDB2 were patched **sequentially** with different plans
  - Timings summed up
- Since Oracle 19.16.0 this is **not** the case anymore
  - PDB1 and PDB2 can be patched in parallel
  - During patch run, *no-op* scripts will be replaced with `nothing.sql`

➔ Faster overall patching in CDB environments

# Datapatch Error



- Patching fails in PDB3

```
SQL> shutdown immediate
```

```
SQL> startup
```

```
SQL> select name, open_mode, restricted from v$pdb;
```

NAME	OPEN_MODE	RESTRICTED
-----	-----	-----
PDB\$SEED	READ ONLY	NO
PDB1	READ WRITE	NO
PDB2	READ WRITE	NO
PDB3	READ WRITE	YES



--Use with caution. Patching issue must be resolved!

```
alter system set "_pdb_datapatch_violation_restricted"=FALSE
```

```
SQL> shutdown immediate
```

```
SQL> startup
```

```
SQL> select name, open_mode, restricted from v$pdb;
```

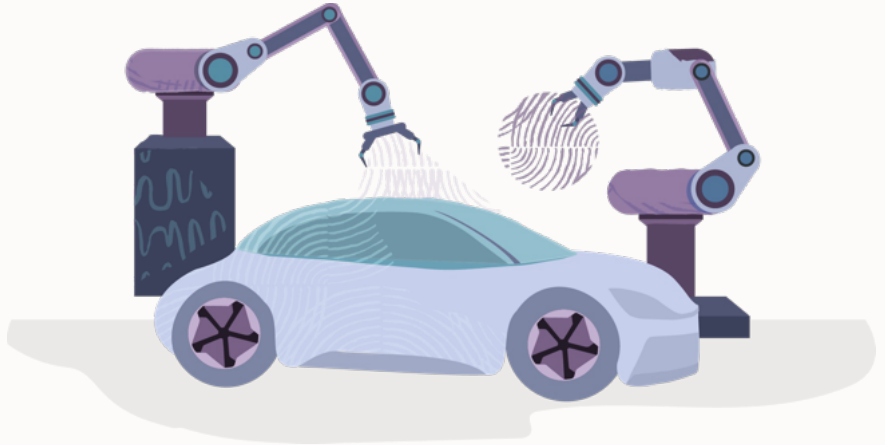
NAME	OPEN_MODE	RESTRICTED
-----	-----	-----
PDB\$SEED	READ ONLY	NO
PDB1	READ WRITE	NO
PDB2	READ WRITE	NO
PDB3	READ WRITE	NO



You must resolve the patching issue

- Use underscore parameter with caution

# Upgrading



# Multitenant Upgrade Approaches

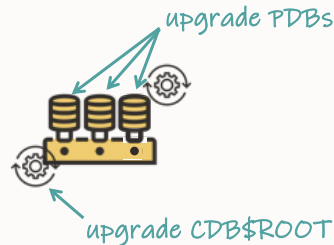
## PDB Upgrade

- Happens either for unplug/plugin or for non-CDB plugins followed by upgrade
- Can be done with multiple PDBs together



## CDB Upgrade

- Upgrade the entire CDB with all PDBs
- CDB\$ROOT will be always upgraded first



# Multitenant Upgrade Approaches

## PDB Upgrade

- Pros
  - Flexibility
  - Fast
  - Control
- Cons
  - You need at least another CDB
  - Resource constraints
  - PDBs need to be cloned or moved
  - Flashback Database can't be used

## CDB Upgrade

- Pros
  - Less work, more automation
  - Upgrade many-as-one
  - Happens in-place
  - No extra resources required
  - Flashback Database protection
- Cons
  - Less control
  - Common SLAs needed

# Multitenant Upgrade Approaches

## PDB Upgrade

Non-CDB to PDB upgrade

```
upg1.source_home=/u01/app/oracle/prod/19  
upg1.target_home=/u01/app/oracle/prod/23  
upg1.sid=NONCDB19  
upg1.target_cdb=CDB23
```

Unplug-plug upgrade

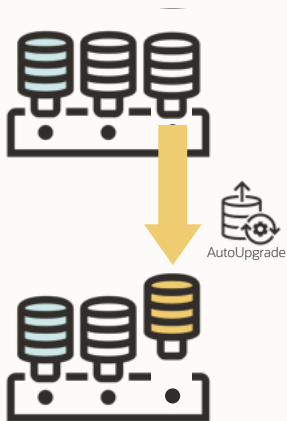
```
upg1.source_home=/u01/app/oracle/prod/19  
upg1.target_home=/u01/app/oracle/prod/23  
upg1.sid=CDB19  
upg1.target_cdb=CDB23  
upg1.pdbs=PDB2, PDB3
```

## CDB Upgrade

Entire-CDB upgrade

```
upg1.source_home=/u01/app/oracle/product/19  
upg1.target_home=/u01/app/oracle/product/23  
upg1.sid=CDB
```

# PDB Unplug – Plug - Upgrade



PDB gets upgraded with parallel processes

- Minimum 1
- Maximum 8
- Default 2
- You can override the default with:

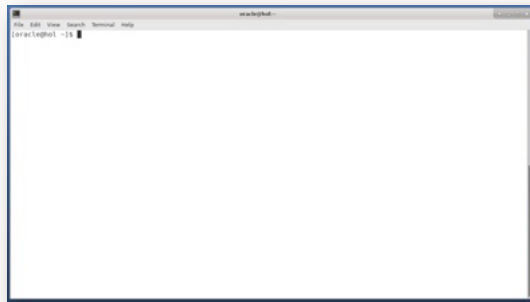
```
prefix.catctl_options=-N 4
```



# PDB Unplug – Plug - Upgrade

## Demo

```
upg1.sid=CDB12102  
upg1.target_cdb=CDB19  
upg1.pdb$=pdb1  
upg1.source_home=/u01/app/oracle/product/12102  
upg1.target_home=/u01/app/oracle/product/19
```



[Watch on YouTube](#)

# PDB Unplug – Plug - Upgrade

Upgrade several PDBs

```
upg1.pdbs=pdb1,pdb2,pdb3
```

Rename a PDB

```
upg1.pdbs=pdb1  
upg1.target_pdb_name.pdb1=sales
```

Copy data files on plug-in

```
upg1.pdbs=pdb1  
upg1.target_pdb_copy_option.pdb1=file_name_convert=('pdb1','sales')
```

# Container Database Upgrade



**CDB\$ROOT gets upgraded always at first with multiple processes**

- Minimum 1
- Maximum 8
- Default 4
- You can override the default with:

```
prefix.catctl_options=-n 8
```

# Container Database Upgrade



## Workers assigned per PDB

- Minimum 1
- Maximum 8
- Default 2
- You can override the default with:

```
prefix.catctl_options=-N 2
```

# Container Database Upgrade



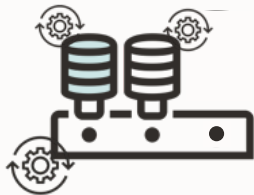
## Parallelism calculation

$$\frac{\text{Total number of processors (n)}}{\text{Workers per PDB (N)}} = \text{PDBs upgraded simultaneously}$$

- Default:  $\frac{\text{CPU\_COUNT}}{2} = \text{PDBs upgraded simultaneously}$
- You can override the defaults with:

```
prefix.catctl_options=-n 16 -N 4
```

# Single Tenant Container Database



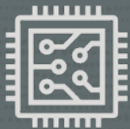
## Single tenant upgrades take longer

- CDB\$ROOT gets upgraded at first
- PDB\$SEED and PDB get upgraded in parallel

# Container Database Upgrade



Scale by upgrading  
more PDBs simultaneously



During upgrade, CPU is a vital resource





# Upgrade benchmark

Oracle Database 12.1.0.2 to Oracle Database 19c

16 OPCUs

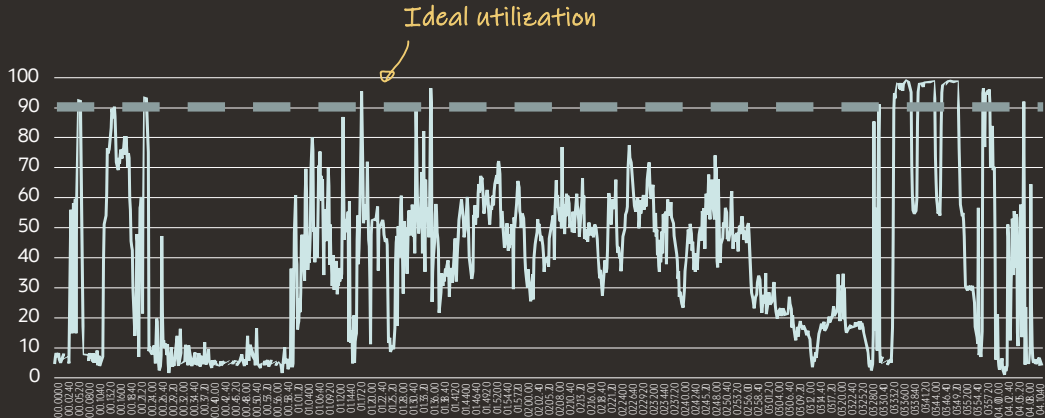
768 GB memory

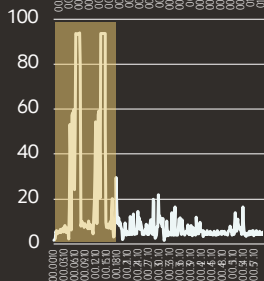
CDB with 52 PDBs

Many database components (17 in total)

CPU_COUNT	32
SGA_TARGET	80G
PGAAggregate_TARGET	20G

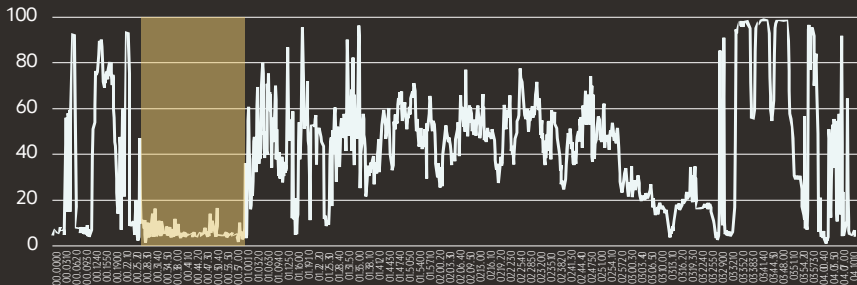
# Upgrade Benchmark





## Dictionary and fixed objects

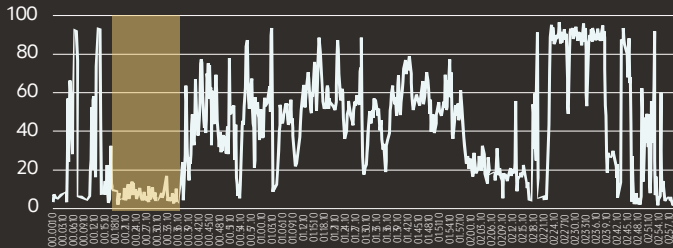
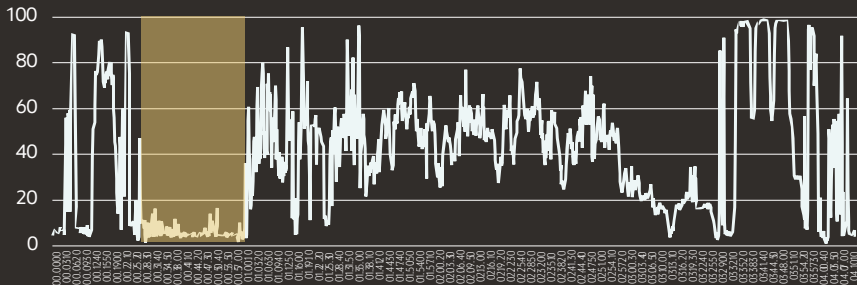
# Upgrade Benchmark



## Upgrade CDB\$ROOT

- AutoUpgrade automatically assigns 8 parallel processes to CDB\$ROOT upgrade
- Speed up the upgrade? Consider **removal of unused components**

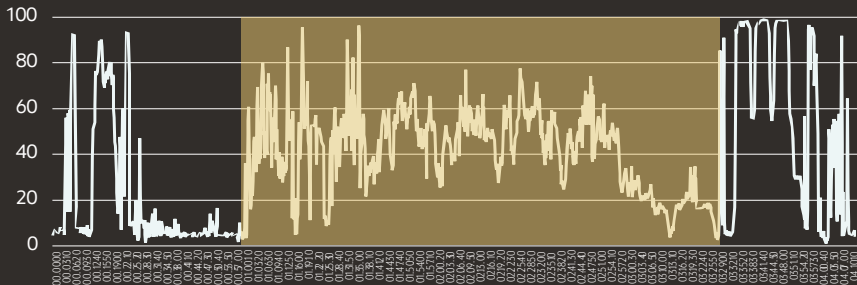
# Upgrade Benchmark



## Upgrade CDB\$ROOT

- Removing all components
- Result:  
**13 minutes faster**

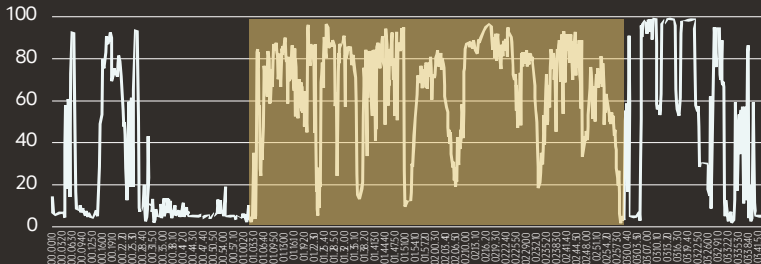
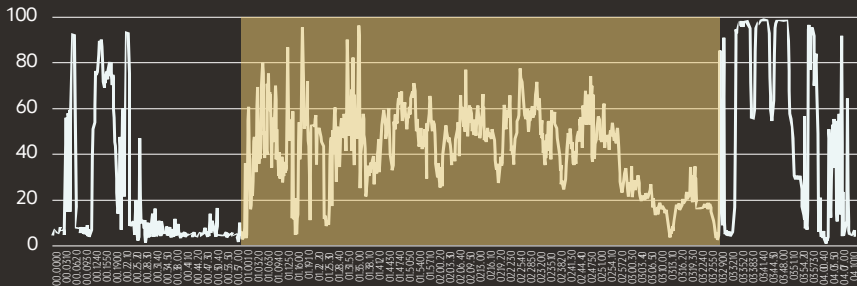
# Upgrade Benchmark



## Upgrade PDB\$SEED and 52 PDBs

- AutoUpgrade assigns 16 PDBs to be upgraded in parallel
  - CPU\_COUNT = 32 / 2 workers per PDB
- Speed up the upgrade? Consider **increasing number of parallel processes**

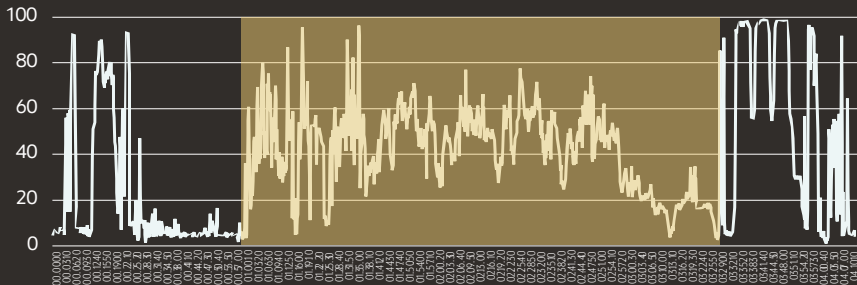
# Upgrade Benchmark



## Upgrade PDBs

- 54 parallel processes  
`upg1.catctl_options=-n 54`
- 26 minutes faster

# Upgrade Benchmark

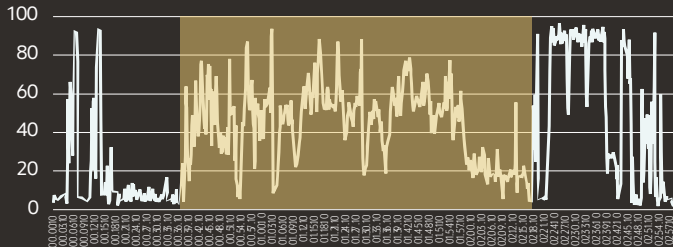
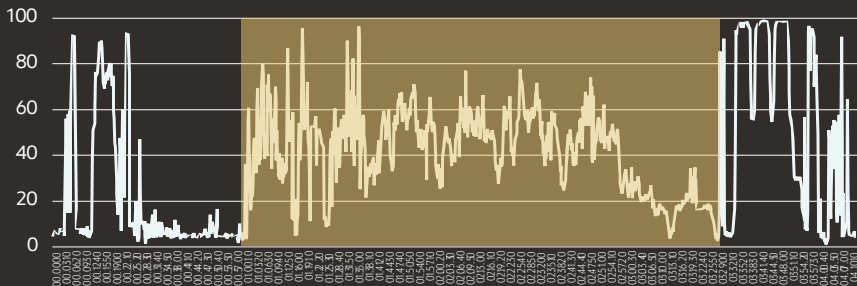


## Upgrading CDB\$ROOT, PDB\$SEED and 52 PDBs

- Speed up the upgrade? Consider removal of unused components



# Upgrade Benchmark

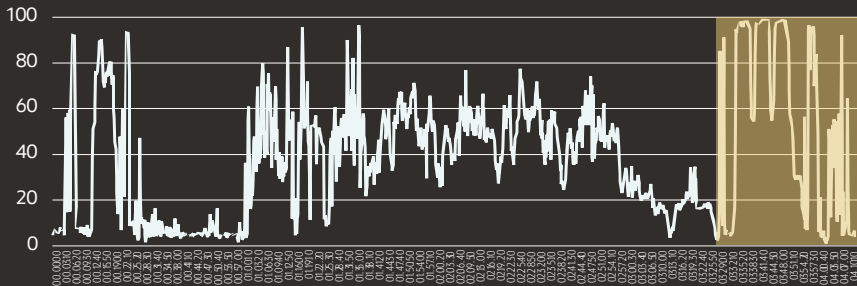


## Upgrading all containers

- Removing all components
- Increased parallel processes
- Result:

**48 minutes faster**

# Upgrade Benchmark



## Recompilation and post-upgrade fixups

- Recompilation is already optimized very efficiently
- Potentially, skip or postpone the time zone upgrade

# Upgrade Benchmark Results



Gather dictionary and  
fixed objects stats  
before upgrade

**5% improvement**



Remove unused  
components from  
root and all PDBs

**19% improvement**



Increase number of  
PDBs upgraded in  
parallel

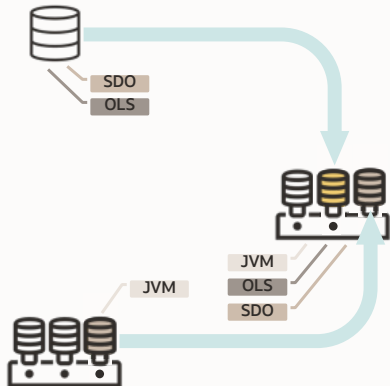
**10% improvement**



Implement all  
recommendations

**32% improvement**

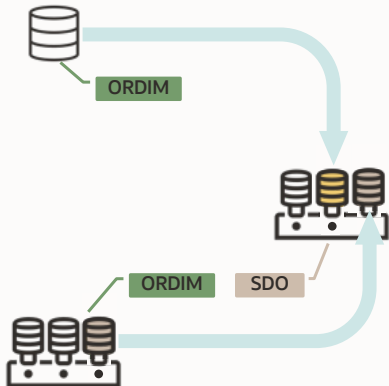
# Component Considerations



CDB\$ROOT must have the same or a superset of components installed

- Otherwise, a plug in violation will be signaled
- The PDB will not open unrestricted

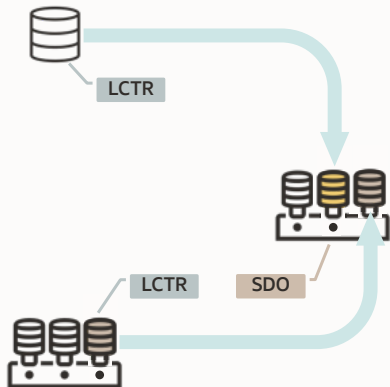
# Special Case: Multimedia



When **Multimedia (ORDIM)** is installed in source, then SDO (Spatial Data Option) must be installed in CDB\$ROOT

- `select username from dba_users where username='MDSYS';`
- Otherwise, a plug in violation will be signaled
- The PDB will not open unrestricted

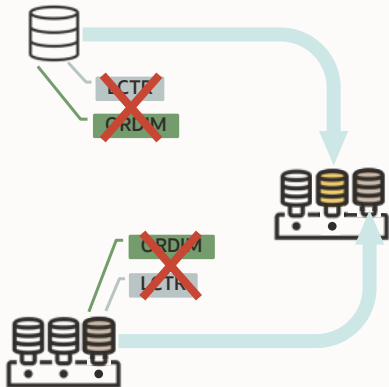
# Special Case: Locator



When the **Locator** is in use in the source, then SDO (Spatial Data Option) must be installed in CDB\$ROOT

- Otherwise, a plug in violation will be signaled
- The PDB will not open unrestricted

# Alternative Way: Cleanup



If you want to avoid installing SDO into CDB\$ROOT, remove ORDIM and Locator beforehand

- `select * from dba_registry  
where comp_id in ('ORDIM','LCTR');`
- Removal options?
  - [Blog post: ORDIM cleanup](#)
  - [Blog post: LCTR treatment](#)

```
--There are three recompilation scripts available:  
--utlrp.sql      => classic one  
--utlprp.sql     => parallel recompile - needs '--pN' option  
--utlprpom.sql  => only Oracle maintained - needs '--pN' option
```

```
cd $ORACLE_HOME/rdbms/admin  
perl catcon.pl \  
    -b recomp -l /tmp \  
    -n 10 \  
    utlprpom.sql '--p16'
```



# Replay Upgrade

---



Replay Upgrade is a performance feature used to upgrade a single PDB

- Available since Oracle Database 21c

--The database automatically starts an upgrade  
--when you plug in a lower-release PDB

```
SQL> alter pluggable database pdb1 open;
```

Pluggable database altered.

Elapsed: 00:06:01.95

```
SQL> select property_name, property_value
      from   database_properties
      where  property_name like '%OPEN%';
```

PROPERTY_NAME	PROPERTY_VALUE
-----	
CONVERT_NONCDB_ON_OPEN	true
UPGRADE_PDB_ON_OPEN	true

```
SQL> select property_name, property_value  
       from   database_properties  
       where  property_name like '%OPEN%';
```

PROPERTY_NAME	PROPERTY_VALUE
-----	
CONVERT_NONCDB_ON_OPEN	true
UPGRADE_PDB_ON_OPEN	true



# Traditional Upgrade

Phase 1

Phase 2

Phase 3

Phase 4

Phase 5

Phase 6

Phase 7

Phase 8

...

Phase  $nnn$



# Traditional Upgrade


Phase 1

Phase 2

Phase 3

Phase 4

Phase 5



@a2300932.sql  
@a2300933.sql  
@a23009xx.sql  
@c2300000.sql

Phase 6

Phase 7

Phase 8

...

Phase *nnn*

# Traditional Upgrade

@a2300932.sql

```
VARIABLE initfile VARCHAR2(32)
COLUMN :initfile NEW_VALUE init_file NOPRINT;

Rem =====
Rem SQLJTYPE
Rem =====

BEGIN
  IF sys.dbms_registry.is_loaded('JAVAVM',sys.dbms_registry.release_version) = 1 THEN
    :initfile := 'initsjty.sql';
  ELSE
    :initfile := 'nothing.sql';
  END IF;
END;
/
SELECT :initfile FROM DUAL;
@@&init_file
```



# Traditional Upgrade

@@&init\_file

```
.  
. [more PL/SQL code]  
. .  
CREATE TABLE SYS.T1 ...  
CREATE INDEX SYS.T1I1 ...  
. .  
[more PL/SQL code]  
. .
```



# Comparison

## Traditional

Phase 1  
Phase 2  
Phase 3  
Phase 4  
Phase 5  
Phase 6  
Phase 7  
Phase 8  
...  
Phase *nnn*

## Replay

DROP INDEX SYSTEM.IDX\$FLOW ...  
CREATE OR REPLACE ...  
ALTER TYPE ...  
CREATE FUNCTION ...  
CREATE TABLE SYS.T1 ...  
CREATE INDEX SYS.T1I1 ...  
DROP INDEX MDSYS.IDX\$IK ...  
DROP TABLE MDSYS.TBL\$TT ...  
CREATE OR REPLACE ...  
ALTER TYPE ...  
GRANT SELECT ON ...  
CREATE VIEW ...

```
select sqlstmt from pdb_sync$;
```

```
sqlstmt
```

```
-----
```

```
ALTER SESSION SET "_oracle_script_counter"=7
```

```
alter pluggable database application app$cdb$pdbonly$ncdbtopdb begin install '1.0.upgmode'
```

```
alter session set "_enable_view_pdb"=false
```

```
alter session set NLS_LENGTH_SEMANTICS=BYTE
```

```
INSERT INTO sys.utl_recomp_skip_list select obj# from obj$ where BITAND(flags, 4194304)=0 ...
```

```
create or replace view sys.cdb$common_root_objects sharing=object as
```

```
select u.name owner, o.name object_name, o.type# object_type, o.namespace nsp,
```

```
       o.subname object_subname, o.signature object_sig,
```

```
       decode(bitand(o.flags, (65536+131072+4294967296)),
```

```
       4294967296+65536, 'EDL', 131072, 'DL', 'MDL') sharing
```

```
from sys.obj$ o, sys.user$ u
```

```
where o.owner#=u.user# and bitand(o.flags, (65536+131072+4294967296)) <> 0
```

```
and bitand(o.flags,0)=0
```

# Traditional vs Replay

## Traditional

- Triggered by AutoUpgrade
- Runs `catalog.sql` / `catproc.sql`
- Many **CREATE OR REPLACE** statements for objects that didn't change
- Customizable
- Used by AutoUpgrade

## Replay

- Triggered by **OPEN** command
- Runs the captured statements
- Only statements that actually do some change
- Automated

# No-Op Operations

During replay, *no-op* statements will be skipped

- 12.2.0.1 → 21c upgrade
  - 74531 total statements - 50% are no-ops
- 18c → 19c upgrade
  - 68374 total statements - 73% are no-ops



# Replay Upgrade

## Before upgrade

- Asses the upgrade readiness and perform pre-upgrade fixups

```
export ORACLE_SID=CDB1
export ORACLE_HOME=/u01/app/oracle/product/19
export ORACLE_TARGET_HOME=/u01/app/oracle/product/23
java -jar autoupgrade.jar -config_values "pdbs=PDB1" -mode analyze

java -jar autoupgrade.jar -config_values "pdbs=PDB1" -mode fixups
```



# Replay Upgrade

After upgrade

- Call Datapatch

```
$ORACLE_HOME/OPatch/datapatch -pdb PDB1 -verbose
```

- Call AutoUpgrade

```
export ORACLE_SID=CDB23
```

```
export ORACLE_HOME=/u01/app/oracle/product/23
```

```
java -jar autoupgrade.jar \  
    -preupgrade "dir=/tmp/alog,inclusion_list=PDB1" \  
    -mode postfixups
```

```
SQL> alter pluggable database pdb1 open;  
alter pluggable database pdb1 open  
*
```

ERROR at line 1:

ORA-60510: encountered an error during Replay Upgrade



# Replay Upgrade – on failure?

If Replay Upgrade fails

- Check for errors:
  - `SELECT * FROM DBA_REPLAY_UPGRADE_ERRORS`
  - `SELECT * FROM DBA_APP_ERRORS`
  - `SELECT app_version, app_status  
FROM DBA_APPLICATIONS  
WHERE app_name='APP$CDB$CATALOG';`
  - Check alert log
  - Trace files
- Revert to traditional upgrade

--To disable replay upgrade

```
ALTER DATABASE UPGRADE SYNC OFF;
```

--Or

```
ALTER DATABASE PROPERTY SET UPGRADE_PDB_ON_OPEN='false';
```

--To disable convert on open

```
ALTER DATABASE PROPERTY SET CONVERT_NONCDB_ON_OPEN='false';
```

# Replay Upgrade

[Documentation](#)



# Performance



# Proactive Fixups



Faster Upgrades with many PDBs

Proactive Fixups result in faster  
upgrades  for CDBs with many PDBs

- `prefix.tune_setting=proactive_fixups=true`

# Proactive Fixups?

Performance feature

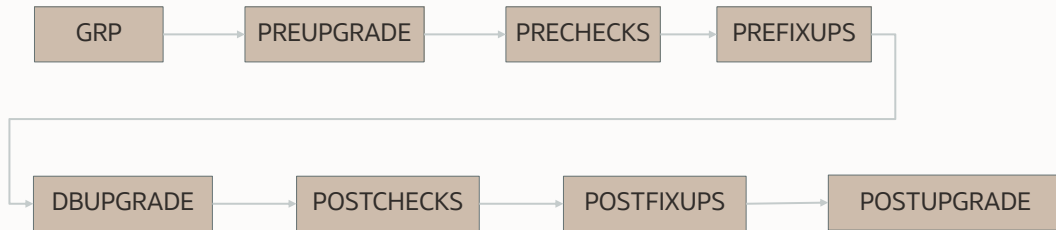
Start PDB post-upgrade tasks as soon as a PDB has been upgraded

- Independently of other PDBs

Isolates errors in PDBs

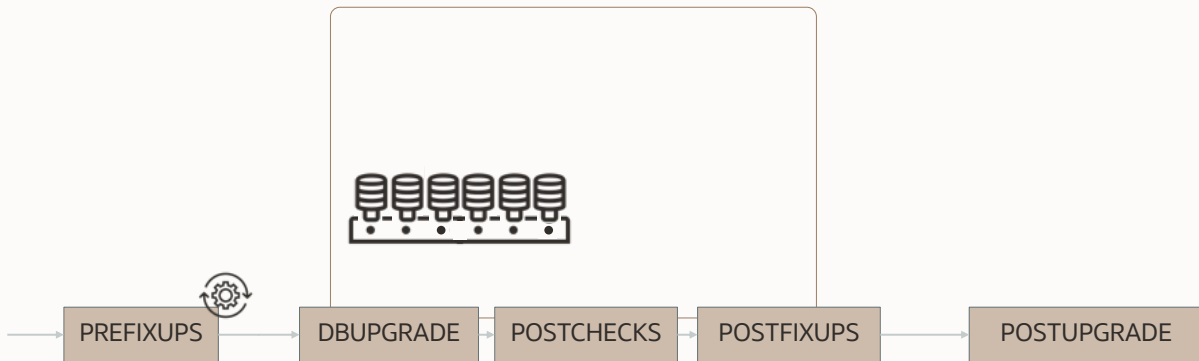
Valid for CDB upgrades only

# Classic Upgrade Flow

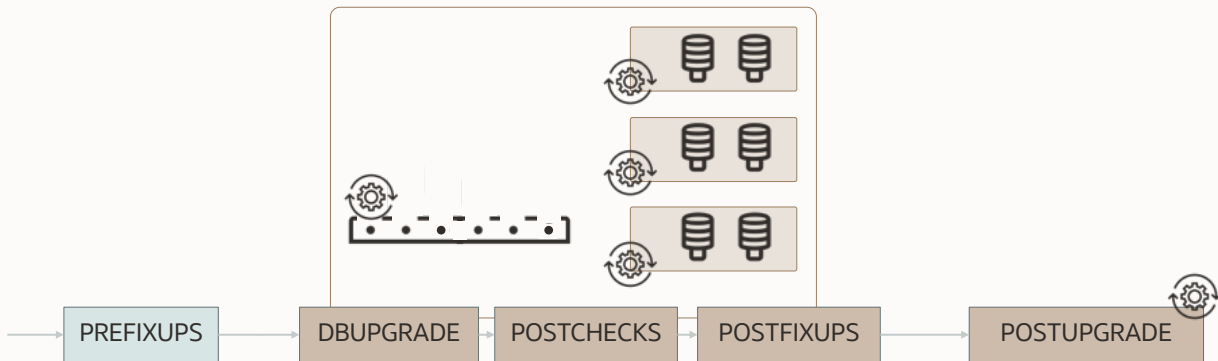




# Proactive Fixups Flow



# Proactive Fixups Flow



# Proactive Fixups Command Output

## Stage-Progress Per Container

+-----+-----+-----+		
Database	Stage	Progress
+-----+-----+-----+		
PDB\$SEED	DBUPGRADE	91 %
PDB01	POSTFIXUPS	0 %
PDB02	DBUPGRADE	20 %
PDB03	POSTFIXUPS	25 %
PDB04	POSTFIXUPS	75 %
PDB05	POSTFIXUPS	10 %
PDB06	DBUPGRADE	6 %
PDB07	DBUPGRADE	91 %
PDB08	DBUPGRADE	91 %
PDB09	DBUPGRADE	91 %
+-----+-----+-----+		

# Performance Gain

4 PDBs + ROOT | 4 Cores

## Default

INFO	PREUPGRADE	<1 min
INFO	PRECHECKS	1 min
INFO	PREFIXUPS	8 min
INFO	DRAIN	<1 min
INFO	DBUPGRADE	143 min
INFO	POSTCHECKS	2 min
INFO	POSTFIXUPS	34 min
INFO	POSTUPGRADE	1 min

**TOTAL 179 min**

## Proactive Fixups

INFO	PREUPGRADE	<1 min
INFO	PRECHECKS	1 min
INFO	PREFIXUPS	7 min
INFO	DRAIN	<1 min
INFO	DBUPGRADE	130 min
INFO	POSTCHECKS	<1 min
INFO	POSTFIXUPS	<1 min
INFO	POSTUPGRADE	1 min

**TOTAL 130 min**

# Performance Gain

16 PDBs + ROOT | 8 Cores | Defaults

## Default

INFO	PREUPGRADE	<1 min
INFO	PRECHECKS	<1 min
INFO	PREFIXUPS	<1 min
INFO	DRAIN	2 min
INFO	DBUPGRADE	210 min
INFO	POSTCHECKS	3 min
INFO	POSTFIXUPS	46 min
INFO	POSTUPGRADE	<1 min

**TOTAL 259 min**

## Proactive Fixups

INFO	PREUPGRADE	<1 min
INFO	PRECHECKS	<1 min
INFO	PREFIXUPS	14 min
INFO	DRAIN	2 min
INFO	DBUPGRADE	195 min
INFO	POSTCHECKS	<1 min
INFO	POSTFIXUPS	<1 min
INFO	POSTUPGRADE	1 min

**TOTAL 195 min**



The more PDBs, the greater the benefit



## Proactive Fixups isolate each PDB

- Errors in any given PDB don't effect others

# PDB Isolation

## DEFAULT



Error in a PDB upgrade:

- Entire job halts
- Job can't complete

## PROACTIVE FIXUPS



Error in a PDB upgrade:

- Other upgrades continue
- Job completes





Restore points protect on CDB-level only.  
You can only flashback the entire CDB.

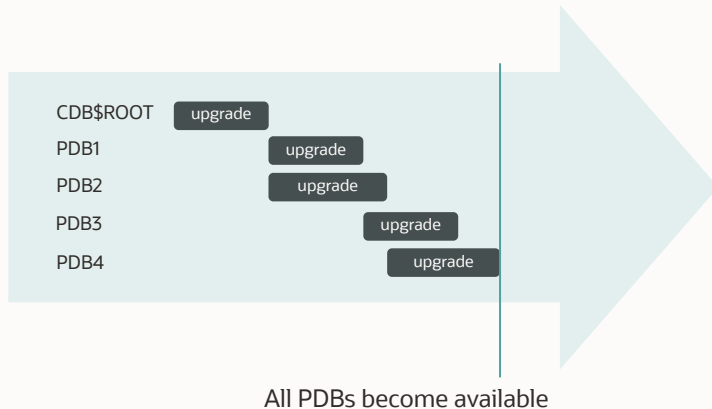
# Some PDBs are more important

Control the order of the upgrade

# Proactive Fixups Availability

## DEFAULT

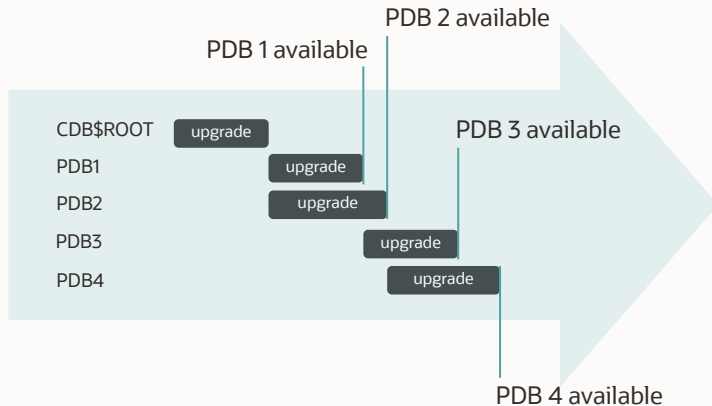
`prefix.make_pdb_available=false`



# Proactive Fixups Availability

## IMMEDIATELY AVAILABLE

`prefix.make_pdb_available=true`





## Control the order of PDBs

- Make sure to specify all PDBs – otherwise, they'll be skipped, e.g.  
*prefix.pdbs=PDB1,PDB2,PDB3,PDB4*

```
alter pluggable database SALESPROD priority 1;
```

```
alter pluggable database SALESDEV priority 2;
```

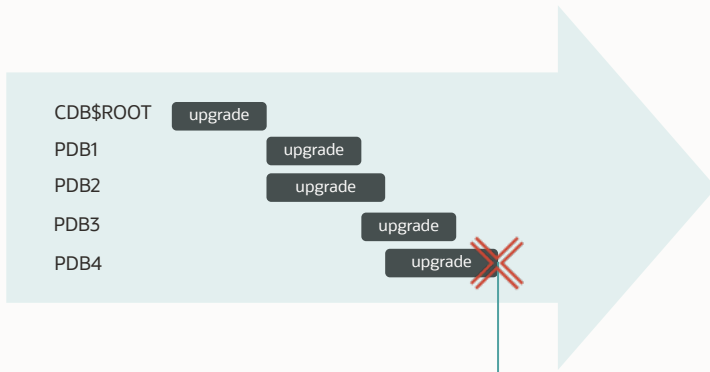
```
alter pluggable database SALESUAT priority 2;
```

```
alter pluggable database SALESTEST priority 3;
```

# PDB Availability

## IMMEDIATELY AVAILABLE

*prefix.make\_pdb\_available=true*



PDB 4 crashes ...  
Flashback entire CDB?

# Distributed Upgrade

Leverage multiple cluster nodes



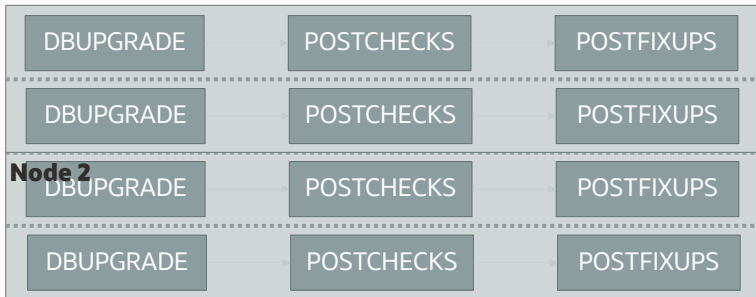


Distributed upgrade uses **all** nodes in a cluster resulting in faster upgrades of CDBs

- Applies to RAC only
- Requires Proactive Fixups

# Distributed Upgrade Concept

## Node 1



# How does Distributed Upgrade work?

- Performance feature
- Valid for CDB upgrades on RAC only
- First, CDB\$ROOT upgrades on local node  
CLUSTER\_DATABASE=FALSE
- Then, leverage resources on all nodes to upgrade PDBs  
CLUSTER\_DATABASE=TRUE



# Regular Upgrade

## NODE 1

CDB\$ROOT

PDB\$SEED

PDB2

PDB4

PDB6

PDB1

PDB3

PDB5

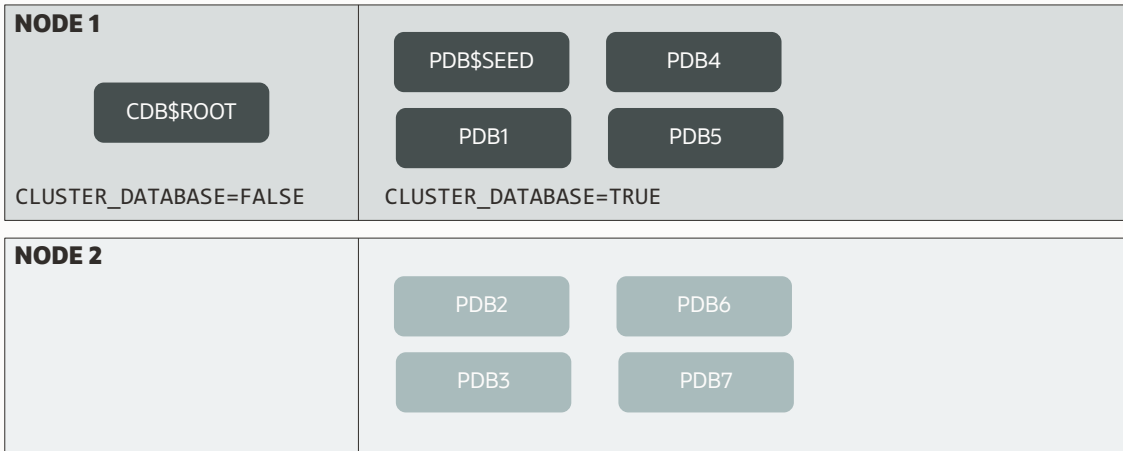
PDB7

CLUSTER\_DATABASE=FALSE

## NODE 2



# Distributed Upgrade



# Distributed Upgrade Console Output

## Stage-Progress Per Container

Database	Stage	Progress	Node
PDB\$SEED	DBUPGRADE	91 %	au1
PDB01	POSTFIXUPS	0 %	au1
PDB03	POSTFIXUPS	0 %	au1
PDB04	POSTFIXUPS	0 %	au1
PDB05	POSTFIXUPS	0 %	au1
PDB02	DBUPGRADE	91 %	au2
PDB06	DBUPGRADE	91 %	au2
PDB07	DBUPGRADE	91 %	au2
PDB08	DBUPGRADE	91 %	au2
PDB09	DBUPGRADE	91 %	au2

# Distributed Upgrade

Enable distributed upgrade:

```
$ cat RACDB.cfg

upg1.source_home=/u01/app/oracle/product/19
upg1.target_home=/u01/app/oracle/product/23
upg1.sid=RACDB
upg1.tune_setting=distributed_upgrade=true

$ java -jar autoupgrade.jar -config RACDB.cfg -mode deploy
```



# 41% faster

In benchmark, time saved by using  
distributed upgrade

2 node RAC database  
4 CPUs each  
CDB with 8 PDBs





By default, AutoUpgrade uses two nodes

--Control how many nodes will be used

upg1.tune\_setting=distributed\_upgrade=true,active\_nodes\_limit=n

# Time Zone Upgrade



Near-Zero Downtime?



All available time zone files get shipped since Oracle Database 19.18.0

- Does not apply to Oracle Database 21c
- Files are in `$ORACLE_HOME/oracore/zoneinfo`

# Time Zone Check

Check current version:

```
alter session set container='CDB$ROOT';  
alter system set "_exclude_seed_cdb_view"=false scope=both;
```

```
select value$, con_id from containers(SYS.PROPS$) where  
NAME='DST_PRIMARY_TT_VERSION' order by con_id;
```

VALUE\$	CON_ID
32	1
32	2
32	4

# AutoUpgrade

Config file parameter: *prefix.timezone\_upg=YES*

- Default for upgrades: YES
- Default for patching: NO
- In case *DST-source > DST-target*, AutoUpgrade copies necessary files

```
upg1.source_home=/u01/app/oracle/product/19  
upg1.target_home=/u01/app/oracle/product/23  
upg1.sid=CDB  
upg1.timezone_upg=NO
```

# Manual Time Zone Upgrade

Make sure all PDBs are open unrestricted

Make sure all PDBs restart automatically

- This is very important due to the restart happening

```
$ORACLE_HOME/perl/bin/perl $ORACLE_HOME/rdbms/admin/catcon.pl -b tzcheck  
-d $ORACLE_HOME/rdbms/admin -n 1 -l /tmp utltz_upg_check.sql
```

```
$ORACLE_HOME/perl/bin/perl $ORACLE_HOME/rdbms/admin/catcon.pl -b tzapply  
-d $ORACLE_HOME/rdbms/admin -n 1 -l /tmp utltz_upg_apply.sql
```

## How to patch all PDBs with the a new time zone file? 3

Posted on December 18, 2018 by Mike Dietrich [Patch Recommendation](#) [Single/Multitenant](#)

[Time Zone: SGT](#)

Yesterday I wrote about how to adjust the time zone setting in the `sysdate` as by default the time zone scripts won't touch the `sysdate` when you execute them. And in addition, MOS [Note 1509653.1](#) tells you, that the `sysdate` can't be adjusted. But this leads to a weird mix of time zone settings across a Multitenant deployment. Which I'd guess is not desired. Following a tweet reply by Marco Mischke I realized: I explained how to patch the PDB\$SEED – but I didn't explain **how to patch all PDBs with the a new time zone file?**



Photo by Lauren Mizzoni on Unsplash

[Blog: How to patch all your PDBs with a new time zone patch?](#)





## Near-zero downtime time zone upgrade

- Introduced in Oracle Database 21c
- Provided check/apply scripts work only from 23.4 onward

# Near-Zero Downtime Time Zone Upgrade

Parameter:

```
TIMEZONE_VERSION_UPGRADE_ONLINE=TRUE;
```

- No STARTUP UPGRADE anymore
- Complete database **restart** is still required
  - You decide the point of restart
  - Before the restart happens, database needs to do conversions
- Be aware:
  - Tables will be rebuilt with ONLINE MOVE
  - No further capacity checks happen

[Blog post for more details](#)



# Wrapping Up

# Words of Advice



- 1 Start with simple databases
- 2 Leave time to learn and adjust
- 3 Proceed with bigger databases

# Further Reading

## Oracle Support:

- Oracle Multitenant: Frequently Asked Questions (Doc ID [1511619.1](#))
- How to migrate a non pluggable database that uses TDE to pluggable database ? (Doc ID [1678525.1](#))

## Blog posts:

- [Database Migration from non-CDB to PDB – Typical Plugin Issues and Workarounds](#)
- [Upgrade & Plug In: With ASM, Data Guard, TDE and no Keystore Password](#)



## There are many **benefits** to explore

- Application containers
- Faster cloning
- Faster provisioning
- Faster redeployment
- Sparse clones
- Resource consolidation
- Save resources
- Improved functionality
- Better management
- More secure
- Separation of duties
- Easier operations
- Many-as-one
- Faster updates
- Faster patching
- Enables self-service

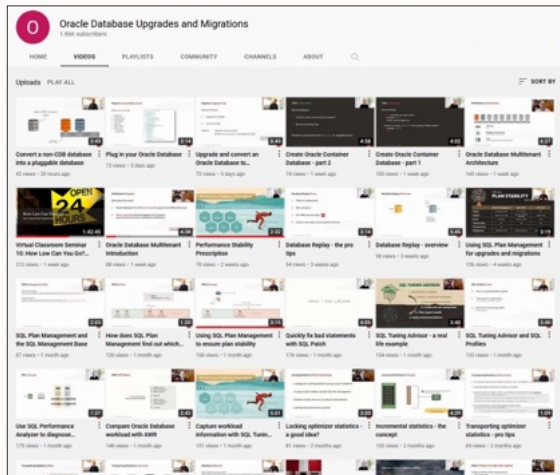


*It's better to fail in our lab,  
than in production*

Try multitenant migration in our [Hands-On Lab](#)

For free using Oracle LiveLabs

# YouTube | @UpgradeNow



[Link](#)

- 300+ videos
- New videos every week
- No marketing
- No buzzwords
- All tech





# Thank You

---

